

instructions for submitting comments through the Portal.

Please submit your comments using only one method.

**FOR FURTHER INFORMATION CONTACT:**

Amanda Olear, Associate Director, Division of Swap Dealer and Intermediary Oversight, Commodity Futures Trading Commission, 1155 21st Street NW., Washington, DC 20581; (202) 418-5283; email: [aolear@cftc.gov](mailto:aolear@cftc.gov), and refer to OMB Control No. 3038-0023.

**SUPPLEMENTARY INFORMATION:** Under the PRA, Federal agencies must obtain approval from the Office of Management and Budget ("OMB") for each collection of information they conduct or sponsor. "Collection of Information" is defined in 44 U.S.C. 3502(3) and 5 CFR 1320.3 and includes agency requests or requirements that members of the public submit reports, keep records, or provide information to a third party. Section 3506(c)(2)(A) of the PRA, 44 U.S.C. 3506(c)(2)(A), requires Federal agencies to provide a 60-day notice in the **Federal Register** concerning each proposed collection of information before submitting the collection to OMB for approval. To comply with this requirement, the CFTC is publishing notice of the proposed collection of information listed below.

**Title:** "Commodity Pool Operators and Commodity Trading Advisors: Amendments to Compliance Obligations," (OMB Control No. 3038-0023). This is a request for extension of a currently approved information collection.

**Abstract:** Pursuant to the Commodity Exchange Act, as amended by the Dodd-Frank Wall Street Reform and Consumer Protection Act ("Dodd-Frank Act"), Public Law 111-203, 124 Stat. 1376 (2010), the CFTC promulgated rules and forms relating to registration with the Commission applicable to intermediaries, and employees and principals thereof, operating in the futures, options, swaps, and retail forex markets. There were no new requirements imposed; however, due to amendments to the Commodity Exchange Act made by the Dodd-Frank Act, there was an increase in registrants in certain registration categories.

With respect to the collection of information, the CFTC invites comments on:

- Whether the proposed collection of information is necessary for the proper performance of the functions of the Commission, including whether the information will have a practical use;
- The accuracy of the Commission's estimate of the burden of the proposed

collection of information, including the validity of the methodology and assumptions used;

- Ways to enhance the quality, usefulness, and clarity of the information to be collected; and
- Ways to minimize the burden of collection of information on those who are to respond, including through the use of appropriate automated electronic, mechanical, or other technological collection techniques or other forms of information technology; e.g., permitting electronic submission of responses.

All comments must be submitted in English, or if not, accompanied by an English translation. Comments will be posted as received to <http://www.cftc.gov>. You should submit only information that you wish to make available publicly. If you wish the Commission to consider information that you believe is exempt from disclosure under the Freedom of Information Act, a petition for confidential treatment of the exempt information may be submitted according to the procedures established in § 145.9 of the Commission's regulations.<sup>1</sup>

The Commission reserves the right, but shall have no obligation, to review, pre-screen, filter, redact, refuse or remove any or all of your submission from <http://www.cftc.gov> that it may deem to be inappropriate for publication, such as obscene language. All submissions that have been redacted or removed that contain comments on the merits of the ICR will be retained in the public comment file and will be considered as required under the Administrative Procedure Act and other applicable laws, and may be accessible under the Freedom of Information Act.

**Burden Statement:** The respondent burden for this collection is estimated to be 0.09 hours per response.

**Respondents/Affected Entities:** Futures Commission Merchants, Introducing Brokers, Commodity Pool Operators, Commodity Trading Advisors, Associated Persons, Retail Foreign Exchange Dealers.

**Estimated number of respondents:** 77,857.

**Estimated total annual burden on respondents:** 7,029.8 hours.

**Frequency of collection:** Periodically.

**Authority:** 44 U.S.C. 3501 *et seq.*

Dated: December 11, 2014.

**Christopher J. Kirkpatrick,**  
*Secretary of the Commission.*

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**BILLING CODE 6351-01-P**

<sup>1</sup> 17 CFR 145.9.

## COMMODITY FUTURES TRADING COMMISSION

### Agency Information Collection Activities Under OMB Review

**AGENCY:** Commodity Futures Trading Commission.

**ACTION:** Notice; correction.

**SUMMARY:** This document contains corrections to the Information Collection Request (ICR) notice section "Burden Statement," which the Commodity Futures Trading Commission published in the **Federal Register** on November 19, 2014. The ICR describes the nature of the information collection and its expected costs and burden.

**FOR FURTHER INFORMATION CONTACT:**

Dana Brown, Division of Market Oversight, Commodity Futures Trading Commission, (202) 418-5093; email: [dbrown@cftc.gov](mailto:dbrown@cftc.gov). This contact can also provide a copy of the ICR.

### Correction

In the **Federal Register** of November 19, 2014, in FR Doc. 2014-27402, on page 68870, correct the table entitled ESTIMATED ANNUAL REPORTING BURDEN, which appears across three columns following the *Burden Statement* paragraph in the **SUPPLEMENTARY INFORMATION**, to read:

#### ESTIMATED ANNUAL REPORTING AND RECORDKEEPING BURDEN

| 17 CFR 20                    |         |
|------------------------------|---------|
| Annual Number of Respondents | 3,998   |
| Total Annual Responses ..... | 88,768  |
| Hours per Response .....     | 0.71225 |
| Total hours .....            | 63,225  |

Dated: December 11, 2014.

**Christopher J. Kirkpatrick,**  
*Secretary of the Commission.*

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## DEPARTMENT OF DEFENSE

### Department of the Navy

#### Notice of Availability of Government-Owned Inventions; Available for Licensing

**AGENCY:** Department of the Navy, DOD.

**ACTION:** Notice.

**SUMMARY:** The inventions listed below are those in which the United States Government as represented by the