

compensation to qualify for unemployment or sickness benefits.

| Form No. and title                                                                                    | Annual re-sponses | Estimated completion time (min) | Burden (hours) |
|-------------------------------------------------------------------------------------------------------|-------------------|---------------------------------|----------------|
| UI-9—Applicant's Statement of Employment and Wages .....                                              | 800               | 10                              | 133            |
| UI-23—Claimant's Statement of Service for Railroad Unemployment Insurance Benefits .....              | 600               | 5                               | 50             |
| UI-44—Claim for Credit for Military Service (RUIA) .....                                              | 150               | 5                               | 13             |
| ID-4F—Letter Advising of Ineligibility for RUIA Benefits .....                                        | 25                | 5                               | 2              |
| ID-4U—Letter Advising of Service/Earnings Requirements for RUIA Benefits .....                        | 150               | 5                               | 13             |
| ID-4X—Letter Advising of Service/Earnings Requirements for Sickness Benefits .....                    | 100               | 5                               | 8              |
| ID-4Y—Letter Advising of Ineligibility for Sickness Benefits .....                                    | 25                | 5                               | 2              |
| ID-20-1—Letter Advising that Normal Unemployment Benefits Are About to be Exhausted .....             | 50                | 5                               | 4              |
| ID-20-2—Letter Advising that Normal Sickness Benefits Are about to be Exhausted .....                 | 100               | 5                               | 8              |
| ID-20-4—Letter Advising that Normal Sickness Benefits Are About to be Exhausted/Non-Entitlement ..... | 5                 | 5                               | 1              |
| Total .....                                                                                           | 2,005             | .....                           | 234            |

The renewal of this information collection will continue to RRB's initiative to consolidate information collections by major functional areas. The purpose of the initiative is to bring related collection instruments together in one collection, better manage the instruments, and prepare for the

electronic collection of this information. (A collection instrument can be an individual form, electronic collection, interview, or any other method that collects specific information from the public.

As part of the OMB renewal process, the RRB proposes that this collection

(OMB 3220-0025), Statements of Claimed Railroad Service and Earnings, be renamed RUTA Investigations and Continuing Entitlement. Upon approval by OMB, the RRB intends to merge the following OMB approved collections into this collection by the expected expiration date(s).

| OMB Collection No. and Title                                                                 | RRB Forms                                                           | Expected expiration date |
|----------------------------------------------------------------------------------------------|---------------------------------------------------------------------|--------------------------|
| 3220-0049—Investigation of Claim for Possible Days of Employment or State Benefits Received. | ID-5T, ID-5R(SUP), ID-49R, UI-48 .....                              | 8/31/2000                |
| 3220-0057—Placement Service .....                                                            | ES-2, ES-20a, ES-20b, ES-21, ES-21c, UI-35, & Job Vacancies Report. | 6/30/2001                |
| 3220-0079—Certification Regarding Rights to Unemployment Benefits.                           | UI-45 .....                                                         | 8/31/2002                |
| 3220-0164—Availability to Work .....                                                         | UI-38, UI-38s, ID-8k .....                                          | 5/31/2002                |
| 3220-0171—RUTA Claims Notification System .....                                              | ID-4k .....                                                         | 6/30/2002                |

Revisions to existing collection instruments and, occasionally, a new instrument related to this program function may be required during the three-year cycle of this information collection. The RRB currently estimates the completion time for Form ID-5I, Letter to Non-Railroad Employers on Employment and Earnings of a Claimant at 15 minutes, Form ID-5R(SUP), Report of Employees Paid RUIA Benefits for Every Day in Month Reported as Month of Creditable Service at 10 minutes, Form ID-49R, Letter to Railroad Employer for Payroll Information at 15 minutes, Form UI-48, Claimant's Statement Regarding Benefit Claim for Days of Employment at 12 minutes, Form ES-2, Supplemental Information for Central Register at .25 minutes, Form ES-20a, Notice of Employment Referral at .75 minutes, Form ES-20b, Notice of Employment Referral (Employer) at .5 minutes, ES-21, Referral to State Employment Service, at .68 minutes, Form ES-21c, Report of State Employment Service Office at 1.5 minutes, Form UI-35, Field Office

Record of Claimant Interview at 7 to 10.5 minutes, the Railroad Job Vacancy Report at 10 minutes, Form UI-45, Claimant Statement, Voluntary Leaving of Work, at 15 minutes, Form UI-38, Claimant's Report of Efforts to Find Work at 11.5 minutes, Form UI-38s, School Attendance and Availability Questionnaire at 6 to 10 minutes, Form ID-8k, Letter to Union Representative at 5 minutes, and Form ID-4k, Prepayment Claims Verification Notice at 2 minutes.

After the last information collection is merged and other necessary adjustments are made, the resultant information collection is expected to total approximately 5,200 annual burden hours. A justification for each action described above (merge collection, revised collection instrument, new collection instrument) will be provided to OMB with a correction Change Worksheet (OMB Form 83-C) at the time the action occurs. With the next renewal of this collection, the RRB will update the information collection package to account for the consolidation and other interim adjustment.

#### ADDITIONAL INFORMATION OR COMMENTS:

To request more information or to obtain a copy of the information collection justification, forms, and/or supporting material, please call the RRB Clearance Officer at (312) 751-3363. Comments regarding the information collection should be addressed to Ronald J. Hodapp, Railroad Retirement Board, 844 North Rush Street, Chicago, Illinois 60611-2092. Written comments should be received within 60 days of this notice.

**Chuck Mierzwa,**

*Clearance Officer.*

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#### SECURITIES AND EXCHANGE COMMISSION

#### Request Under Review by Office of Management and Budget

Upon Written Request Copies Available From Securities and Exchange

Commission, Office of Filings and Information Services, Washington, DC 20549.

**Extension:**

Rule 22d-1, SEC File No. 270-275, OMB Control No. 3235-0310

Notice is hereby given that pursuant to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 *et seq.*), the Securities and Exchange Commission (the "Commission") has submitted for extension of OMB approval Rule 22d-1 under the Investment Company Act of 1940 ("Investment Company Act").

Rule 22d-1 [17 CFR 270.22d-1] provides registered investment companies that issue redeemable securities ("funds") an exemption from section 22(d) of the Investment Company Act to the extent necessary to permit scheduled variations in or elimination of the sales load on fund securities for particular classes of investors or transactions, provided certain conditions are met. These conditions require that (1) the scheduled variation be applied uniformly to all offerees in the specified class; (2) existing shareholders and prospective investors be furnished adequate information concerning the scheduled variation, as prescribed in applicable registration statement form requirements; (3) the fund's prospectus and statement of additional information are revised to describe the new scheduled variation before any new sales load variation is made available to purchasers of fund shares; and (4) within one year of first making the scheduled variation available, existing shareholders are advised of any new sales load variation (items (2) through (4), collectively, "notice requirements"). The notice requirements of Rule 22d-1 are designed to ensure that all existing and prospective investors that may be eligible for a reduction or elimination of the sales load receive timely notice about it. The rule imposes an annual burden per fund of approximately 15 minutes, so that the total burden for the approximately 2,400 funds that might rely on the rule is estimated to be 600 hours.

The collection of information under Rule 22d-1 is mandatory. The information provided by Rule 22d-1 is not kept confidential. The Commission may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

The estimate of average burden hours is made solely for the purposes of the Paperwork Reduction Act, and is not

derived from a comprehensive or even a representative survey or study.

General comments regarding the above information should be directed to the following persons: (i) Desk Officer for the Securities and Exchange Commission, Office of Information and Regulatory Affairs, Office of Management and Budget, New Executive Office Building, Washington, D.C. 20503; and (ii) Michael E. Bartell, Associate Executive Director, Office of Information Technology, Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C. 20549.

Comments must be submitted to OMB within 30 days of this notice.

Dated: April 11, 2000.

**Margaret H. McFarland,**

*Deputy Secretary.*

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**BILLING CODE 8010-01-M**

## SECURITIES AND EXCHANGE COMMISSION

### Requests Under Review by Office of Management and Budget

Upon Written Request, Copies Available From: Securities and Exchange Commission, Office of Filings and Information Services, Washington, DC 20549.

**Extension:**

Rule 6e-2, SEC File No. 207-177, OMB Control No. 3235-0177

Notice is hereby given that pursuant to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 *et seq.*), the Securities and Exchange Commission ("Commission") has submitted to the Office of Management and Budget a request for approval of extension on the following rule:

Rule 6e-2 [17 CFR 270.6e-2] under the Investment Company Act of 1940 ("Act") is an exemptive rule which permits separate accounts, formed by life insurance companies, to fund certain variable life insurance products. The rule exempts such separate accounts from the registration requirements under the Act, among others, on condition that they comply with all but certain designated provisions of the Act and meet the other requirements of the rule. The rule sets forth several information collection requirements.

Rule 6e-2 provides a separate account with an exemption from the registration provisions of section 8 of the Act if the account files with the Commission Form N-6EI-1, a notification of claim of exemption.

The rule also exempts a separate account from a number of other series of the Act, provided that the separate account makes certain disclosure in its registration statements, reports to contractholders, proxy solicitations, and submissions to state regulatory authorities, as prescribed by the rule.

Paragraph (b)(9) of Rule 6e-2 provides an exemption from the requirements of section 17(f) of the Act and imposes a reporting burden and certain other conditions. Section 17(f) requires that every registered management company meet various custody requirements for its securities and similar investments. Paragraph (b)(9) applies only to management accounts that offer life insurance contracts subject to Rule 6e-2.

Since 1997, there have been no filings under paragraph (b)(9) of Rule 6e-2 by management accounts. Further, all variable life separate accounts that have filed post-effective amendments to their registration statements during this period have been structured as unit investment trusts and thus have not been subject to the requirements of paragraph (b)(9) of the rule. Therefore, since 1997, there has been no cost or burden to the industry regarding the information collection requirements of paragraph (b)(9) of Rule 6e-2.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless its displays a currently valid control number.

Written comments regarding the above information should be directed to the following persons: (i) Desk Officer for the Securities and Exchange Commission, Office of Information and Regulatory Affairs, Office of Management and Budget, Room 10102, New Executive Office Building, Washington, DC 20503; and (ii) Michael E. Bartell, Associate Executive Director, Office of Information Technology, Securities and Exchange Commission, 450 Fifth Street, NW, Washington, DC 20549. Comments must be submitted to OMB within 30 days of this notice.

Dated: April 10, 2000.

**Margaret H. McFarland,**

*Deputy Secretary.*

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