

ADDRESSES: Written comments and recommendations for proposed information collection requests should be sent within 30 days of publication of this notice to www.reginfo.gov/public/do/PRAMain. Find this particular information collection request by selecting “Institute of Museum and Library Services” under “Currently Under Review,” then check “Only Show ICR for Public Comment” checkbox or mail to Office of Information and Regulatory Affairs, Attn.: OMB Desk Officer for Education, Office of Management and Budget, Room 10235, Washington, DC 20503, 202–395–7316.

FOR FURTHER INFORMATION CONTACT: Connie Bodner, Ph.D., Director of Grants Policy and Management, Office of Grants Policy and Management, Institute of Museum and Library Services, 955 L’Enfant Plaza North SW, Suite 4000, Washington, DC 20024–2135. Dr. Bodner can be reached by telephone at 202–653–4636 or by email at cbodner@imls.gov. Office hours are from 8:30 a.m. to 5 p.m., E.T., Monday through Friday, except Federal holidays.

SUPPLEMENTARY INFORMATION: The Institute of Museum and Library Services is the primary source of federal support for the nation’s libraries and museums. We advance, support, and empower America’s museums, libraries, and related organizations through grant making, research, and policy development. Our vision is a nation where museums and libraries work together to work together to transform the lives of individuals and communities. To learn more, visit www.imls.gov.

Current Actions: The purpose of this collection is to administer the IMLS process by which IMLS awardees request advance or reimbursement payments. The proposed form will be embedded in the electronic grants management system that the agency uses to monitor and service all active awards during the period of performance and through closeout.

The 60-day notice for the IMLS Grant Application Forms was published in the **Federal Register** on October 22, 2020, (85 FR 67379). No comments were received.

Agency: Institute of Museum and Library Services.

Title: Request for Advance or Reimbursement Web Form.
OMB Number: 3137–NEW.
Frequency: Annual.
Affected Public: Library and Museum funding awardees.
Number of Respondents: 5,000.
Average Minutes per Response: 60 minutes.
Total Estimated Number of Annual Burden Hours: 5,000.
Cost Burden (dollars): \$145,500.00.
Total Federal Costs: \$43,750.00.
Dated: January 8, 2021.

Kim Miller,
Senior Grants Management Specialist,
Institute of Museum and Library Services.
 [FR Doc. 2021–00586 Filed 1–13–21; 8:45 am]
BILLING CODE 7036–01–P

NATIONAL FOUNDATION ON THE ARTS AND HUMANITIES

National Endowment for the Humanities

Civil Penalty Adjustments for 2021

AGENCY: National Endowment for the Humanities; National Foundation on the Arts and the Humanities.

ACTION: Notice of civil penalty adjustments for 2021.

SUMMARY: The National Endowment for the Humanities (NEH) is giving notice of the adjusted maximum and minimum civil monetary penalties that it may impose for violations of its New Restrictions on Lobbying, as required by the Federal Civil Penalties Inflation Adjustment Act Improvements Act of 2015 (the 2015 Act). The updated penalty amounts are adjusted for inflation and are effective from January 15, 2021 through January 14, 2022.

DATES: The updated civil penalties in this notice are applicable to penalties assessed on or after January 15, 2021 if the associated violations occurred after November 2, 2015.

FOR FURTHER INFORMATION CONTACT: Elizabeth Voyatzis, Deputy General Counsel, Office of the General Counsel, National Endowment for the Humanities, 400 7th Street SW, Room 4060, Washington, DC 20506; (202) 606–8322; gencounsel@neh.gov.

SUPPLEMENTARY INFORMATION:

1. Background on NEH’s New Restrictions on Lobbying Regulation

On April 21, 2020, NEH published an interim final rule implementing the 2015 Act (28 U.S.C. 2461 note) and adjusting the civil penalties found in its New Restrictions on Lobbying regulation (45 CFR 1168) pursuant to the 2015 Act.¹ The interim final rule incorporated the initial “catch up” adjustment and the annual adjustment for 2020. NEH announced in its interim final rule that, for all future adjustments to penalties under its New Restrictions on Lobbying regulation required by the 2015 Act, NEH will publish a Notice in the **Federal Register** to notify the public of the updated penalty amounts no later than January 15 of each year.

NEH published a final rule on June 11, 2020, adopting the interim final rule without change.²

2. 2021 Adjustment to Civil Penalties Under NEH’s New Restrictions on Lobbying Regulation

For 2020, the penalty range for violations under NEH’s New Restrictions on Lobbying regulation was a minimum of \$20,489 and a maximum of \$204,892.³ Therefore, the new, post-adjustment minimum penalty for 2021 under NEH’s New Restrictions on Lobbying regulation is $\$20,489 \times 1.01182 = \$20,731.18$ which rounds to \$20,731.

The new, post-adjustment maximum penalty for 2021 under NEH’s New Restrictions on Lobbying regulation is $\$204,892 \times 1.01182 = \$207,313.823$, which rounds to \$207,314. These post-adjustment penalties are less than 250 percent of the pre-adjustment penalties, so they do not implicate the post-adjustment amount limitation in the 2015 Act.

Thus, the range of penalties under NEH’s New Restrictions on Lobbying regulation, for the purposes of the 2021 adjustment, is a minimum of \$20,731 and a maximum of \$207,314.

¹ 85 FR 22025.

² 85 FR 35566.

³ Table 1 details the annual adjustments to New Restrictions on Lobbying Civil Monetary Penalties for years 2016–2021.

TABLE 1—ANNUAL ADJUSTMENTS TO NEW RESTRICTIONS ON LOBBYING CIVIL MONETARY PENALTIES, 2016–2021

Year	Baseline penalty range	Applicable multiplier based on percent increase in CPI–U	New baseline penalty range
2016	\$10,000–\$100,000	⁴ 1.89361	\$18,936–\$189,361
2017	\$18,936–\$189,361	⁵ 1.01636	\$19,246–\$192,459
2018	\$19,246–\$192,459	⁶ 1.02041	\$19,639–\$196,387
2019	\$19,639–\$196,387	⁷ 1.02522	\$20,134–\$201,340
2020	\$20,134–\$201,340	⁸ 1.01764	\$20,489–\$204,892
2021	\$20,489–\$204,892	⁹ 1.01182	\$20,731–\$207,314

Dated: January 8, 2021.

Caitlin Cater,

Attorney-Advisor, National Endowment for the Humanities.

[FR Doc. 2021–00582 Filed 1–13–21; 8:45 am]

BILLING CODE 7536–01–P

SECURITIES AND EXCHANGE COMMISSION

[SEC File No. 270–146, OMB Control No. 3235–0134]

Submission for OMB Review; Comment Request

Upon Written Request, Copies Available From: U.S. Securities and Exchange Commission, Office of FOIA Services, Washington, DC 20549–2736

Extension:

Rule 15c1–7

Notice is hereby given that pursuant to the Paperwork Reduction Act of 1995 (“PRA”) (44 U.S.C. 3501 *et seq.*), the Securities and Exchange Commission (“Commission”) has submitted to the Office of Management and Budget (“OMB”) a request for approval of extension of the existing collection of information provided for in Rule 15c1–7 (17 CFR 240.15c1–7) under the Securities Exchange Act of 1934 (15 U.S.C. 78a *et seq.*) (“Exchange Act”).

Rule 15c1–7 states that any act of a broker-dealer designed to effect securities transactions with or for a customer account over which the broker-dealer (directly or through an agent or employee) has discretion will be considered a fraudulent,

manipulative, or deceptive practice under the federal securities laws, unless a record is made of the transaction immediately by the broker-dealer. The record must include (a) the name of the customer, (b) the name, amount, and price of the security, and (c) the date and time when such transaction took place. The Commission estimates that 362 respondents collect information related to approximately 400,000 transactions annually under Rule 15c1–7 and that each respondent would spend approximately 5 minutes on the collection of information for each transaction, for approximately 33,333 aggregate hours per year (approximately 92.1 hours per respondent).

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information under the PRA unless it displays a currently valid OMB control number.

The public may view background documentation for this information collection at the following website: www.reginfo.gov. Find this particular information collection by selecting “Currently under 30-day Review—Open for Public Comments” or by using the search function. Written comments and recommendations for the proposed information collection should be sent within 30 days of publication of this notice to (i) www.reginfo.gov/public/do/PRAMain and (ii) David Bottom, Director/Chief Information Officer, Securities and Exchange Commission, c/o Cynthia Roscoe, 100 F Street NE, Washington, DC 20549, or by sending an email to: PRA_Mailbox@sec.gov.

Dated: January 11, 2021.

J. Matthew DeLesDernier,

Assistant Secretary.

[FR Doc. 2021–00728 Filed 1–13–21; 8:45 am]

BILLING CODE 8011–01–P

SECURITIES AND EXCHANGE COMMISSION

[Release Nos. 33–10919; 34–90882; File No. 265–32]

SEC Small Business Capital Formation Advisory Committee

AGENCY: Securities and Exchange Commission.

ACTION: Notice of meeting.

SUMMARY: The Securities and Exchange Commission Small Business Capital Formation Advisory Committee, established pursuant to Section 40 of the Securities Exchange Act of 1934 as added by the SEC Small Business Advocate Act of 2016, is providing notice that it will hold a public meeting by videoconference. The public is invited to submit written statements to the Committee.

DATES: The meeting will be held on Friday, January 29, 2021, from 10:00 a.m. to 2:30 p.m. (ET) and will be open to the public. Written statements should be received on or before January 29, 2021.

ADDRESSES: The meeting will be conducted by remote means (videoconference). Members of the public may attend the meeting by viewing the webcast on the Commission’s website at www.sec.gov. Written statements may be submitted by any of the following methods:

Electronic Statements

- Use the Commission’s internet submission form (<https://www.sec.gov/rules/submitcomments.htm>); or
- Send an email message to rule-comments@sec.gov. Please include File Number

265–32 on the subject line; or

Paper Statements

- Send paper statements to Vanessa A. Countryman, Secretary, Securities and Exchange Commission, 100 F Street, NE, Washington, DC 20549–1090.

All submissions should refer to File No. 265–32. This file number should be

⁴ OMB Memorandum M–16–06 (February 24, 2016).

⁵ OMB Memorandum M–17–11 (December 16, 2016).

⁶ OMB Memorandum M–18–03 (December 15, 2017).

⁷ OMB Memorandum M–19–04 (December 14, 2018).

⁸ OMB Memorandum M–20–05 (December 16, 2019).

⁹ OMB Memorandum M–21–10 (December 23, 2020).