

SR–NYSE–99–38 and should be submitted by November 13, 2001.

IV. Discussion and Commission Findings

The Commission finds that the proposed rule change, as amended, is consistent with the requirements of the Act and the rules and regulations thereunder applicable to a national securities exchange⁶ and, in particular, the requirements of section 6 of the Act⁷ and the rules and regulations thereunder. The Commission finds specifically that the proposed rule change is consistent with section 6(b)(6) of the Act⁸ because it provides an additional option for the appropriate discipline of the NYSE's members and persons associated with its members for certain rule violations. Finally, the Commission finds the proposal is consistent with Securities Exchange Act Rule 19d–1(c)(2)⁹ that governs minor rule violation plans.

The Commission finds good cause for accelerating approval of Amendment No. 2 to the proposed rule change prior to the 30th day after publication in the **Federal Register**. Amendment No. 2 removes the Regulatory Element of the Continuing Education requirements from the list of rules the NYSE administers pursuant to the Plan. The Commission notes that the National Association of Securities Dealers, ("NASD"), at the Commission's request, removed the Continuing Education Regulatory Element requirement from its proposal regarding administration of

rules pursuant to its minor rule violation plan.¹⁰ Amendment No. 2 ensures that the NYSE and the NASD have the same disciplinary options for their members and associated persons with regard to violations of Continuing Education requirements. Accordingly, the Commission finds that good cause exists, consistent with section 6(b)(6) of the Act,¹¹ and section 19(b) of the Act¹² to accelerate approval of Amendment No. 2 to the proposed rule change.

In approving this proposal, the Commission in no way minimizes the importance of compliance with these rules, and all other rules subject to the imposition of fines under the Plan. The Commission believes that the violation of any self-regulatory organizations' rules, as well as Commission rules, is a serious matter. However, in an effort to provide the Exchange with greater flexibility in addressing certain violations, the Plan provides a reasonable means to address rule violations that do not rise to the level of requiring formal disciplinary proceedings. The Commission expects that the NYSE will continue to conduct surveillance with due diligence, and make a determination based on its findings whether fines of more or less than the recommended amount are appropriate for violations of rules under the Plan, on a case by case basis, or if a violation requires formal disciplinary action.

It is therefore ordered, pursuant to section 19(b)(2) of the Act,¹³ and Rule

19d–1(c)(2)¹⁴ thereunder, that the proposed rule change (SR–NYSE–99–38), as amended, be, and it hereby is, approved.

For the Commission, by the Division of Market Regulation, pursuant to delegated authority.¹⁵

Margaret H. McFarland,
Deputy Secretary.

[FR Doc. 01–26591 Filed 10–22–01; 8:45 am]

BILLING CODE 8010–01–M

SMALL BUSINESS ADMINISTRATION

[Declaration of Disaster #3370]

State of Texas

Bee and Maverick Counties and the contiguous counties of Dimmit, Goliad, Karnes, Kinney, Live Oak, Refugio, San Patricio, Uvalde, Webb and Zavala in the State of Texas constitute a disaster area as a result of severe storms and flooding that occurred from August 28 through September 14, 2001. Applications for loans for physical damage may be filed until the close of business on December 17, 2001 and for economic injury until the close of business on July 17, 2002 at the address listed below or other locally announced locations: U.S. Small Business Administration, Disaster Area 3 Office, 4400 Amon Carter Blvd., Suite 102, Ft. Worth, TX 76155.

The interest rates are:

For Physical Damage:

Homeowners with credit available elsewhere	6.750%
Homeowners without credit available elsewhere	3.375
Businesses with credit available elsewhere	8.000
Businesses and non-profit organizations without credit available elsewhere	4.000
Others (including non-profit organizations) with credit available elsewhere	7.125
For Economic Injury:	
Businesses and small agricultural cooperatives without credit available elsewhere	4.000

The number assigned to this disaster for physical damage is 337011 and for economic injury is 9M9600.

(Catalog of Federal Domestic Assistance Program Nos. 59002 and 59008).

Dated: October 17, 2001.

John Whitmore,

Acting Administrator.

[FR Doc. 01–26670 Filed 10–22–01; 8:45 am]

BILLING CODE 8025–01–P

SOCIAL SECURITY ADMINISTRATION

President's Commission to Strengthen Social Security

AGENCY: Social Security Administration (SSA).

ACTION: Announcement of Meeting.

DATES: November 9, 2001 10:00 a.m.–3:30 p.m.

ADDRESSES: Washington, DC–Venue to be determined. Due to unforeseen circumstances the venue has not been

identified to date. This information will be published in the **Federal Register** and posted at www.CSSS.gov as soon as it is available.

SUPPLEMENTARY INFORMATION:

Type of meeting: The meeting will be open to the public between 10:00 a.m. and 3:30 p.m., with a break for lunch between 1:00 p.m. and 2:00 p.m.

Purpose: This is the fifth deliberative meeting of the Commission. No public testimony will be heard at this meeting.

⁶ In approving this proposed rule change, the Commission has considered the proposed rule's impact on efficiency, competition, and capital formation. 15 U.S.C. 78c(f).

⁷ 15 U.S.C. 78f.

⁸ 15 U.S.C. 78f(b)(6).

⁹ 17 CFR 240.19d–1(c)(2).

¹⁰ See Securities Exchange Act Release No. 44512 (July 3, 2001), 66 FR 36812 (July 13, 2001) (SR–NASD–00–39).

¹¹ 15 U.S.C. 78f(b)(6).

¹² 15 U.S.C. 78s(b).

¹³ 15 U.S.C. 78s(b)(2).

¹⁴ 17 CFR 204.19d–1(c)(2).

¹⁵ 17 CFR 200.30–3(a)(12).

However, interested parties are invited to attend the meeting.

Agenda: The Commission will meet commencing Friday, November 9, at 10:00 a.m. and ending at 3:30 p.m., with a break for lunch between 1:00 p.m. and 2:00 p.m. The Commission will be deliberating on Social Security reform options, including how to administer personal accounts.

Records are being kept of all Commission proceedings that are subject to public release under the Federal Advisory Committee Act and are available for public inspection at the Commission's office at the address below. Documents such as meeting announcements, agendas, transcripts, minutes, and Commission reports will be available on the Commission's web page. Anyone requiring information regarding the Commission should contact Commission staff by:

- Internet at <http://www.CSSS.gov>, email to comments@CSSS.gov;
- Mail addressed to President's Commission to Strengthen Social Security, 734 Jackson Place, NW, Washington, DC, 20503;
- Telephone at (202) 343-1255.

Dated: October 16, 2001.

Michael A. Anzick,

Designated Federal Officer.

[FR Doc. 01-26656 Filed 10-22-01; 8:45 am]

BILLING CODE 4191-02-P

SOCIAL SECURITY ADMINISTRATION

Statement of Organization, Functions and Delegations of Authority

This statement amends Part S of the Statement of the Organization, Functions and Delegations of Authority which covers the Social Security Administration (SSA). Notice is given to reissue the Office of the Commissioner (SA) because the following organizations are being deleted: The Office of the Deputy Commissioner of Social Security (SAP), the Office of the Senior Executive Officer (SAK) and the Senior Advisor to the Commissioner (SAL). Notice is also being given that the following organizations are being established: The Office of Strategic Management (SAQ) and the Office of Executive Operations (SAR). The new material and changes are as follows: Section SA.00 *The Office of the Commissioner—(Mission):*

The Office of the Commissioner (OC) is directly responsible for all programs administered by SSA; for State-administered programs directed by SSA; and for certain functions with respect to the black lung benefits program. It provides executive leadership to SSA.

The Office is responsible for development of policy, administrative and program direction, program interpretation and evaluation, maintenance of relations with news media, research oriented to the study of the problems of economic insecurity in American society; and development of recommendations on methods of advancing social and economic security through social insurance and related programs.

Section SA.10 The Office the Commissioner—(Organization):

The Office of the Commissioner, under the leadership of the Commissioner of Social Security, includes:

- A. The Commissioner of Social Security (SA).
- B. The Deputy Commissioner of Social Security (SA).
- C. Immediate Office of the Commissioner (SA).
- D. The Office of the Chief of Staff (SAN).
- E. The Office of Strategic Management (SAQ).
- F. The Office of Executive Operations (SAR).

Section SA.20 The Office of the Commissioner—(Functions):

A. The Commissioner of Social Security (SA) and Deputy Commissioner of Social Security provide executive leadership to SSA and exercise general supervision over its major components. The Chief Information Officer is also located in the immediate Office of the Commissioner and reports directly to the Commissioner on statutorily defined CIO duties and as a key advisor to the Deputy Commissioner of Social Security.

B. The Deputy Commissioner of Social Security (SA) assists the Commissioner in carrying out his/her responsibilities and performs other duties as the Commissioner may prescribe.

C. The Immediate Office of the Commissioner (SA), including the Chief Information Officer, provides the Commissioner and Deputy Commissioner of Social Security with staff assistance on the full range of responsibilities.

D. The Chief of Staff (SAN) provides day-to-day support to the Commissioner and Deputy Commissioner in matters relating to program policy, administration, communications and external liaison.

E. The Office of Strategic Management (SAQ).

1. Directs the development of the Agency's tactical and strategic planning

process, and develops and promulgates planning policies, procedures and methodologies for the Agency.

2. Produces the Agency Strategic Plan, Annual Performance Plan and Annual Performance Report; establishes and implements a framework for the effective integration of planning functions with evaluation and management of SSA resources, projects and activities in accordance with applicable law and regulations; oversees and integrates the Agency's Service Vision objectives into the Agency's strategic and tactical planning processes.

3. Ensures that the budget process focuses resources on priority initiatives that support the Agency's strategic objectives and goals, and that the appropriate measures are built to determine Agency performance, and validates and monitors Agency performance goals attainment.

4. Supports an ongoing market measurement program that collects and assesses employee, customer and stakeholder feedback to be used and provides staff support to the Office of the Commissioner on strategic initiatives and on high priority issues, directing special Agency-level cross-cutting projects.

F. The Office of Executive Operations (SAR).

1. Coordinates priority matters requiring the attention of, or decision by, the Commissioner and directs the tracking and monitoring of actions assigned by the Commissioner to all Agency components.

2. Coordinates and provides liaison for internal communication and correspondence control for OC.

3. Monitors administrative and program policy development and policy implementation activities, and prepares periodic status reports.

4. Ensures that issues requiring the Commissioner's attention are developed timely and coordinated with SSA and other Federal Agencies having an interest in the matter; designs and implements procedures for proper coordination and follows through on specific issues.

5. Expedites regulation development, review, clearance, publication and issuance. Oversees the management of the final stages of regulations development and clearance prior to submittal to the Commissioner.

6. Communicates the objectives, priorities and standards of OC to individuals involved in the preparation of correspondence and memoranda, and ensures that communications signed or approved by OC are consistent with these standards and objectives.