

the source to make a correction to the record. As stated above, this will allow applicants to gain confidence in the reliability and accuracy of the USPTO system when filing applications in DOCX format, and safeguard the applicant should any conversion discrepancies have taken place.

The applicant-generated PDF that accompanies a DOCX filing will not become part of the permanent record unless a petition is filed requesting the USPTO to correct the record in view of the applicant-generated PDF. In the absence of such a petition, the USPTO will dispose of the applicant-generated PDF, and all copies thereof, after a retention period of at least three years after the patent grant or abandonment of the application.

Applicants are strongly encouraged to review their applications, including the USPTO-generated PDF, shortly after filing the application to identify any errors or discrepancies in the record, as discussed above. The applicant should file any necessary petition to correct the record early in prosecution and promptly after discovering any errors or discrepancies.

As an alternative to filing a petition, applicants may be able to correct discrepancies resulting from filing an application in DOCX format by relying on a proper priority or benefit claim. A proper priority or benefit claim under 37 CFR 1.55 or 37 CFR 1.78 to a prior-filed application that is present on the filing date of the application is considered an incorporation by reference of the prior-filed application as to any inadvertently omitted portion of the specification or drawing(s), subject to the conditions and requirements of 37 CFR 1.57(b). Therefore, in some instances, discrepancies resulting from filing an application in DOCX format may be addressed by amending portions of the specification pursuant to the incorporation by reference provisions of 37 CFR 1.57(b), in lieu of a petition. The amendment should be identified as an amendment under 37 CFR 1.57(b), and it must comply with 37 CFR 1.57(b) and 37 CFR 1.121.

The USPTO continues to host training sessions on filing documents in DOCX. Information on filing application documents in DOCX, as well as information on how to submit an applicant-generated PDF and a link to

the DOCX training sessions, is available at [www.uspto.gov/patents/docx](http://www.uspto.gov/patents/docx).

**Kathi Vidal,**

*Under Secretary of Commerce for Intellectual Property and Director of the United States Patent and Trademark Office.*

[FR Doc. 2022-09027 Filed 4-27-22; 8:45 am]

**BILLING CODE 3510-16-P**

## COMMODITY FUTURES TRADING COMMISSION

### Agency Information Collection Activities: Notice of Intent To Extend Collection 3038-0052: Core Principles & Other Requirements for DCMs

**AGENCY:** Commodity Futures Trading Commission.

**ACTION:** Notice.

**SUMMARY:** The Commodity Futures Trading Commission (“Commission” or “CFTC”) is announcing an opportunity for public comment on the proposed renewal of a collection of certain information by the agency. Under the Paperwork Reduction Act (“PRA”), Federal agencies are required to publish notice in the **Federal Register** concerning each proposed collection of information, including each proposed extension of an existing collection of information, and to allow 60 days for public comment. This notice solicits comments on reporting requirements relating to collections of information related to designated contract markets (“DCMs”) under the Commission’s regulations.

**DATES:** Comments must be submitted on or before June 27, 2022.

**ADDRESSES:** You may submit comments, identified by “OMB Control No. 3038-0052” by any of the following methods:

- The Agency’s website, at <https://comments.cftc.gov/>. Follow the instructions for submitting comments through the website.

- **Mail:** Christopher Kirkpatrick, Secretary of the Commission, Commodity Futures Trading Commission, Three Lafayette Centre, 1155 21st Street NW, Washington, DC 20581.

- **Hand Delivery/Courier:** Same as Mail above.

Please submit your comments using only one method. All comments must be submitted in English, or if not, accompanied by an English translation. Comments will be posted as received to <https://www.cftc.gov>.

**FOR FURTHER INFORMATION CONTACT:** Roger Smith, Associate Chief Counsel, Division of Market Oversight, Commodity Futures Trading

Commission, Three Lafayette Centre, 1155 21st Street NW, Washington, DC 20581; 202-418-5344; email: [rsmith@cftc.gov](mailto:rsmith@cftc.gov).

**SUPPLEMENTARY INFORMATION:** Under the PRA, 44 U.S.C. 3501 *et seq.*, Federal agencies must obtain approval from the Office of Management and Budget (“OMB”) for each collection of information they conduct or sponsor. “Collection of Information” is defined in 44 U.S.C. 3502(3) and 5 CFR 1320.3 and includes agency requests or requirements that members of the public submit reports, keep records, or provide information to a third party. Section 3506(c)(2)(A) of the PRA, 44 U.S.C. 3506(c)(2)(A), requires Federal agencies to provide a 60-day notice in the **Federal Register** concerning each proposed collection of information, including each proposed extension of an existing collection of information, before submitting the collection to OMB for approval. To comply with this requirement, the Commission is publishing notice of the proposed extension of the existing collection of information listed below. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.<sup>1</sup>

**Title:** Core Principles & Other Requirements for DCMs (OMB Control No. 3038-0052). This is a request for a revision and extension of a currently approved information collection.

**Abstract:** The regulations governing designated contract markets (“DCMs”) originally were adopted pursuant to the Commodity Futures Modernization Act of 2000, which amended section 5 of the Commodity Exchange Act (“CEA”) to impose requirements concerning the registration<sup>2</sup> and operation of DCMs.<sup>3</sup> The DCM statutory framework subsequently was revised as a result of further amendments to the CEA under Title VII of the Dodd-Frank Wall Street Reform and Consumer Protection Act (“Dodd-Frank Act”).<sup>4</sup> Part 38 of the Commission’s regulations governs the activities of DCMs. The information collected pursuant to Part 38 is

<sup>1</sup> The OMB control numbers for the CFTC regulations were published on December 30, 1981. See 46 FR 63035 (Dec. 30, 1981).

<sup>2</sup> The Commission notes that the terms “registered” and “designated” are used interchangeably and mean the same thing.

<sup>3</sup> 7 U.S.C. 1 *et seq.*

<sup>4</sup> See Dodd-Frank Wall Street Reform and Consumer Protection Act, Public Law 111-203, tit. VII, 124 Stat. 1376 (2010) (codified as amended in various sections of 7 U.S.C.), available at <https://www.cftc.gov/sites/default/files/idc/groups/public/@lrfederalregister/documents/file/2013-12242a.pdf>.

necessary for the Commission to evaluate whether entities operating as, or applying to become, DCMs comply with the Part 38 and other Commission requirements and the CEA's statutory requirements.

Collection 3038–0052 was created in response to the Part 38 regulatory requirements for DCMs. In general, OMB Control Number 3038–0052 covers all information collections in Part 38, including Subpart A and the DCM core principles (*i.e.*, Subparts B through X) as well as the related appendices thereto (*i.e.*, Appendix A—Form DCM; Appendix B—Guidance on, and Acceptable Practices in, Compliance with Core Principles; and Appendix C—Demonstration of Compliance That a Contract Is Not Readily Susceptible to Manipulation). Further, this OMB control number, 3038–0052, also includes all information collections related to Part 9 (“Rules Relating to Review of Exchange Disciplinary, Access Denial or Other Adverse Actions”) to the extent Part 9 is applicable to DCMs.<sup>5</sup> This collection also includes the requirements under regulation 38.251(g) in connection with the reporting of specific market disruption events to the Commission.

This OMB control number, 3038–0052, also includes collections under regulation 1.52 regarding the Enhanced Protections Afforded Customer and Customer Funds Held by Futures Clearing Merchants and Derivatives Clearing Organizations. Commission regulation 1.52 imposes information collection burdens on DCMs.<sup>6</sup>

Additionally, this OMB control number, 3038–0052, also includes collections under regulation 38.1051(n) that relate to system safeguards and cybersecurity testing requirements and requires DCMs to provide the Commission with annual trading volume information.

For the majority of collections under OMB control number 3038–0052, the Commission notes that the number of registered, active DCMs has increased from 14 to 16. This increase in the

number of registered DCMs will increase the total information collection burdens for OMB control number 3038–0052 as shown below.<sup>7</sup>

With respect to the collection of information, the CFTC invites comments on:

- Whether the proposed collection of information is necessary for the proper performance of the functions of the Commission, including whether the information will have a practical use;
- The accuracy of the Commission's estimate of the burden of the proposed collection of information, including the validity of the methodology and assumptions used;

- Ways to enhance the quality, usefulness, and clarity of the information to be collected; and
- Ways to minimize the burden of collection of information on those who are to respond, including through the use of appropriate automated electronic, mechanical, or other technological collection techniques or other forms of information technology; *e.g.*, permitting electronic submission of responses.

You should submit only information that you wish to make available publicly. If you wish for the Commission to consider information that you believe is exempt from disclosure under the Freedom of Information Act, a petition for confidential treatment of the exempt information may be submitted according to the procedures established in § 145.9 of the Commission's regulations.<sup>8</sup>

The Commission reserves the right, but shall have no obligation, to review, pre-screen, filter, redact, refuse or remove any or all of your submission from <https://www.cftc.gov> that it may deem to be inappropriate for publication, such as obscene language. All submissions that have been redacted or removed that contain comments on the merits of the Information Collection Request will be retained in the public comment file and will be considered as required under the Administrative Procedure Act and other applicable laws, and may be accessible under the Freedom of Information Act.

**Burden Statement:** The Commission is revising its estimate of the burden for this collection to reflect the current number of respondents and estimated burden hours. The respondent burden

for this collection is estimated to be as follows:

- *Regulatory & Core Principle Compliance Part 38 (Subparts A–W) and related Appendices:*

*Estimated Number of Respondents:*

16.

*Estimated Average Burden Hours per Respondent:* 330.

*Estimated Total Annual Burden Hours:* 5,280.

*Frequency of Collection:* Per Trading Day.

- *§ 1.52 (Examination Program and Audit of Program):*

*Estimated Number of Respondents:*

16.

*Estimated Average Burden Hours per Respondent:* 49.

*Estimated Total Annual Burden Hours:* 784.

*Frequency of Collection:* Annually.

- *Core Principle 16 “Conflicts of Interest” and Related Acceptable Practices (Annual Assessment Report):*

*Estimated Number of Respondents:*

16.

*Estimated Average Burden Hours per Respondent:* 70.

*Estimated Total Annual Burden Hours:* 1,120.

*Frequency of Collection:* Annually.

- *§ 38.1101 et al. (Quarterly Financial Reports):*

*Estimated Number of Respondents:*

16.

*Estimated Average Burden Hours per Respondent:* 40.

*Estimated Total Annual Burden Hours:* 640.

*Frequency of Collection:* Quarterly.

- *§ 38.1051(n) (Required Production of Annual Trading Volume):*

*Estimated Number of Respondents:*

16.

*Estimated Average Burden Hours per Respondent:* 0.5.

*Estimated Total Annual Burden Hours:* 8.

*Frequency of Collection:* Annually.

- *§ 38.3 and Form DCM (DCM*

*Registration):*

*Estimated Number of Respondents:* 4.

*Estimated Average Burden Hours per Respondent:* 300.

*Estimated Total Annual Burden Hours:* 1,200 hours.

*Frequency of Collection:* As applicable.

- *§ 38.251(g) (Required Market Disruptions Notifications):*

*Estimated Number of Respondents:*

16.

*Estimated Average Burden Hours per Respondent:* 66.4 hours.

*Estimated Total Annual Burden Hours:* 1,062.4 hours.

*Frequency of Collection:* As needed.

- *§§ 38.950 and 38.951*

*(Recordkeeping Related to Compliance with 38.251(g)):*

<sup>5</sup> Section 38.707 specifically references Part 9. Accordingly, the Commission's previous information collection estimates under Part 38 have included compliance with Part 9 to the extent applicable to DCMs. The Commission is referencing DCMs' compliance obligations with Part 9 for the sake of clarity, but this does not represent a new or modified information collection.

<sup>6</sup> The Commission notes that § 38.605 incorporates and references § 1.52. Accordingly, the Commission's previous information collection estimates under Part 38 have included compliance with § 1.52 to the extent applicable to DCMs. The Commission is referencing DCMs' compliance obligations with § 1.52 for the sake of clarity, but this does not represent a new or modified information collection.

<sup>7</sup> For the collections related to Commission regulation 38.251(g), the Commission notes that the number of registered, active DCMs has decreased from 17 to 16. This decrease is reflected below for collections related to Commission regulation 38.251(g). However, despite this decrease, the total information collection burdens for OMB control number 3038–0052 will increase.

<sup>8</sup> 17 CFR 145.9.

*Estimated Number of Respondents:* 16.  
*Estimated Average Burden Hours per Respondent:* 25 hours.

*Estimated Total Annual Burden Hours:* 400 hours.

*Frequency of Collection:* As needed.

• *Total Annual Burden for the Collection:* 10,494.4 hours.

There are no capital costs or operating and maintenance costs associated with this collection.

(Authority: 44 U.S.C. 3501 *et seq.*)

Dated: April 22, 2022.

**Robert Sidman,**

*Deputy Secretary of the Commission.*

[FR Doc. 2022-09014 Filed 4-27-22; 8:45 am]

**BILLING CODE 6351-01-P**

## DEPARTMENT OF DEFENSE

### Defense Acquisition Regulations System

[Docket Number DARS-2022-0010; OMB Control Number 0704-0574]

#### Information Collection Requirements; Defense Federal Acquisition Regulation Supplement; DFARS Part 215, Only One Offer and Related Clauses in DFARS 252

**AGENCY:** Defense Acquisition Regulations System, Department of Defense (DoD).

**ACTION:** Notice and request for comments regarding a proposed extension of an approved information collection requirement.

**SUMMARY:** In compliance with the Paperwork Reduction Act of 1995, DoD announces the proposed extension of a public information collection requirement and seeks public comment on the provisions thereof. *DoD invites comments on:* Whether the proposed collection of information is necessary for the proper performance of the functions of DoD, including whether the information will have practical utility; the accuracy of the estimate of the burden of the proposed information collection; ways to enhance the quality, utility, and clarity of the information to be collected; and ways to minimize the burden of the information collection on respondents, including the use of automated collection techniques or other forms of information technology. The Office of Management and Budget (OMB) has approved this information collection for use through August 31, 2022. DoD proposes that OMB extend its approval for use for three additional years beyond the current expiration date.

**DATES:** DoD will consider all comments received by June 27, 2022.

**ADDRESSES:** You may submit comments, identified by OMB Control Number 0704-0574, using any of the following methods:

○ *Federal eRulemaking Portal:* <https://www.regulations.gov>. Follow the instructions for submitting comments.

○ *Email:* [osd.dfars@mail.mil](mailto:osd.dfars@mail.mil). Include OMB Control Number 0704-0574 in the subject line of the message.

Comments received generally will be posted without change to <https://www.regulations.gov>, including any personal information provided.

**FOR FURTHER INFORMATION CONTACT:** Mr. David E. Johnson, telephone 202-913-5764.

#### SUPPLEMENTARY INFORMATION:

*Title and OMB Number:* Defense Federal Acquisition Regulation Supplement (DFARS) Part 215; Only One Offer and Related Clauses in DFARS 252; OMB Control Number 0704-0574.

*Affected Public:* Businesses or other for-profit and not-for-profit institutions.

*Respondent's Obligation:* Required to obtain or retain benefits.

*Type of Request:* Extension of a currently approved collection.

*Frequency:* On occasion.

*Number of Respondents:* 2,691.

*Responses per Respondent:* 1.33, approximately.

*Annual Responses:* 3,593.

*Average Burden per Response:* 37.7 hours, approximately.

*Annual Burden Hours:* 135,330.

*Reporting Frequency:* On Occasion.

*Needs and Uses:* This information collection pertains to information that an offeror or contractor must submit to DoD if only one offer was received in response to a competitive solicitation, and the contracting officer must request certified cost or pricing data, to meet the standard for adequate price competition that is applicable to DoD. The Government requires this information in order to determine whether an offered price is fair and reasonable and to meet the statutory requirement for certified cost or pricing data. The contracting officer obtains this information through use of DFARS solicitation provisions 252.215-7008, Only One Offer, and DFARS 252.215-7010, Requirements for Certified Cost or Pricing Data and Data Other Than Certified Cost or Pricing Data. These provisions implement 10 U.S.C. 2306a.

**Jennifer D. Johnson,**

*Editor/Publisher, Defense Acquisition Regulations System.*

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**BILLING CODE 5001-06-P**

## DEPARTMENT OF EDUCATION

[Docket No.: ED-2022-SCC-0025]

### Agency Information Collection Activities; Submission to the Office of Management and Budget for Review and Approval; Comment Request; Carl D. Perkins Career and Technical Education Act State Plan

**AGENCY:** Office of Career, Technical, and Adult Education (OCTAE), Department of Education (ED).

**ACTION:** Notice.

**SUMMARY:** In accordance with the Paperwork Reduction Act of 1995, ED is proposing a revision of a currently approved collection.

**DATES:** Interested persons are invited to submit comments on or before May 31, 2022.

**ADDRESSES:** Written comments and recommendations for proposed information collection requests should be sent within 30 days of publication of this notice to [www.reginfo.gov/public/do/PRAMain](http://www.reginfo.gov/public/do/PRAMain). Find this information collection request by selecting "Department of Education" under "Currently Under Review," then check "Only Show ICR for Public Comment" checkbox. Comments may also be sent to [ICDocketmgr@ed.gov](mailto:ICDocketmgr@ed.gov).

**FOR FURTHER INFORMATION CONTACT:** For specific questions related to collection activities, please contact Corinne Sauri, 202-245-6412.

**SUPPLEMENTARY INFORMATION:** The Department of Education (ED), in accordance with the Paperwork Reduction Act of 1995 (PRA) (44 U.S.C. 3506(c)(2)(A)), provides the general public and Federal agencies with an opportunity to comment on proposed, revised, and continuing collections of information. This helps the Department assess the impact of its information collection requirements and minimize the public's reporting burden. It also helps the public understand the Department's information collection requirements and provide the requested data in the desired format. ED is soliciting comments on the proposed information collection request (ICR) that is described below. The Department of Education is especially interested in public comment addressing the following issues: (1) Is this collection necessary to the proper functions of the Department; (2) will this information be processed and used in a timely manner; (3) is the estimate of burden accurate; (4) how might the Department enhance the quality, utility, and clarity of the information to be collected; and (5) how might the Department minimize the