## NUCLEAR REGULATORY COMMISSION

[NRC-2013-0001]

### **Sunshine Act Meeting Notice**

**DATE:** Week of October 28, 2013. **PLACE:** Commissioners' Conference Room, 11555 Rockville Pike, Rockville,

Maryland.

STATUS: Public and Closed. Week of October 28, 2013

Wednesday, October 30, 2013

10:00 a.m. Discussion of Security Issues and Management and Personnel Issues (Closed—Ex. 1, 2, and 6)

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\*The schedule for Commission meetings is subject to change on short notice. To verify the status of meetings, call (recording)—301–415–1292. Contact person for more information: Rochelle Bavol, 301–415–1651.

Additional Information

By a vote of 5–0 on October 28 and 29, 2013, the Commission determined pursuant to U.S.C. 552b(e) and § 9.107(a) of the Commission's rules that the above referenced meeting be held with less than one week notice to the public. The meeting is scheduled on October 30, 2013.

The NRC Commission Meeting Schedule can be found on the Internet at: http://www.nrc.gov/public-involve/

public-meetings/schedule.html.

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The NRC provides reasonable accommodation to individuals with disabilities where appropriate. If you need a reasonable accommodation to participate in these public meetings, or need this meeting notice or the transcript or other information from the public meetings in another format (e.g. braille, large print), please notify Kimberly Meyer, NRC Disability Program Manager, at 301–287–0727, or by email at kimberly.meyer-chambers@nrc.gov. Determinations on requests for reasonable accommodation will be made on a case-by-case basis.

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Mombors of the public

Members of the public may request to receive this information electronically. If you would like to be added to the distribution, please contact the Office of the Secretary, Washington, DC 20555, (301–415–1969), or send an email to Darlene.Wright@nrc.gov.

Dated: October 29, 2013.

Rochelle C. Bavol,

Policy Coordinator, Office of the Secretary. [FR Doc. 2013–26371 Filed 10–30–13; 4:15 pm]

BILLING CODE 7590-01-P

### OFFICE OF PERSONNEL MANAGEMENT

#### **Federal Salary Council**

**AGENCY:** Office of Personnel

Management.

**ACTION:** Notice of meeting.

**SUMMARY:** The Federal Salary Council meeting originally scheduled for November 5, 2013 (FR Vol. 78, No. 192, page 61404) has been rescheduled for December 17, 2013, at the time and location shown below. The Council is an advisory body composed of representatives of Federal employee organizations and experts in the fields of labor relations and pay policy. The Council makes recommendations to the President's Pay Agent (the Secretary of Labor and the Directors of the Office of Management and Budget and the Office of Personnel Management) about the locality pay program for General Schedule employees under section 5304 of title 5, United States Code. The Council's recommendations cover the establishment or modification of locality pay areas, the coverage of salary surveys, the process of comparing Federal and non-Federal rates of pay, and the level of comparability payments that should be paid.

The Council will hear public testimony about the locality pay program, review the results of pay comparisons, and formulate its recommendations to the President's Pay Agent on pay comparison methods, locality pay rates, and locality pay areas and boundaries for 2015. The meeting is open to the public. Please contact the Office of Personnel Management at the address shown below if you wish to submit testimony or present material to the Council at the meeting.

DATES: December 17, 2013, at 9:00 a.m.

Location: Office of Personnel

Management, 1900 E Street NW.,

Pendleton Room 5th Floor, Washington,

DC.

#### FOR FURTHER INFORMATION CONTACT:

Mark A. Allen, Acting Deputy Associate Director, Pay and Leave, Office of Personnel Management, 1900 E Street NW., Room 7H31, Washington, DC 20415–8200. Phone (202) 606–2838; FAX (202) 606–0824; or email at payleave-policy@opm.gov.

For the President's Pay Agent.

#### Elaine Kaplan,

Acting Director.

[FR Doc. 2013-26013 Filed 10-31-13; 8:45 am]

BILLING CODE 6325-39-P

## SECURITIES AND EXCHANGE COMMISSION

### Submission for OMB Review; Comment Request

Upon Written Request, Copies Available From: Securities and Exchange Commission, Office of Investor Education and Advocacy, Washington, DC 20549–0213.

Extension:

Form N–2, OMB Control No. 3235–0026, SEC File No. 270–21.

Notice is hereby given that pursuant to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 et seq.) the Securities and Exchange Commission (the "Commission") is soliciting comments on the collections of information summarized below. The Commission plans to submit this existing collection of information to the Office of Management and Budget ("OMB") for extension and approval.

The title for the collection of information is "Form N-2 (17 CFR 239.14 and 274.11a-1) under the Securities Act of 1933 and under the Investment Company Act of 1940, Registration Statement of Closed-End Management Investment Companies.' Form N-2 is the form used by closedend management investment companies ("closed-end funds") to register as investment companies under the Investment Company Act of 1940 (15 U.S.C. 80a-1 et seq.) ("Investment Company Act") and to register their securities under the Securities Act of 1933 (15 U.S.C. 77a et seq.) ("Securities Act"). The primary purpose of the registration process is to provide disclosure of financial and other information current and potential investors for the purpose of evaluating an investment in a security. Form N-2 also permits closed-end funds to provide investors with a prospectus containing information required in a registration statement prior to the sale or at the time of confirmation of delivery of securities. The form also may be used by the Commission in its regulatory review, inspection, and policy-making roles.

The Commission estimates that there are 162 initial registration statements and 29 post-effective amendments to initial registration statements filed on Form N–2 annually and that the average

number of portfolios referenced in each initial filing and post-effective amendment is 1. The Commission further estimates that the hour burden for preparing and filing an initial registration statement on Form N-2 is 515 hours per portfolio, and the hour burden for preparing and filing a posteffective amendment on Form N-2 is 107 hours per portfolio. The estimated annual hour burden for preparing and filing initial registration statements is 83,430 hours (162 initial registration statements  $\times$  1 portfolio  $\times$  515 hours per portfolio). The estimated annual hour burden for preparing and filing posteffective amendments is 3,103 hours (29 post-effective amendments × 1 portfolio  $\times$  107 hours per portfolio). The estimated total annual hour burden for Form N-2, therefore, is estimated to be 86,533 hours (83,430 hours + 3,103 hours).

The information collection requirements imposed by Form N–2 are mandatory. Responses to the collection of information will not be kept confidential. An agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a currently valid control number.

The public may view the background documentation for this information collection at the following Web site: www.reginfo.gov. Comments should be directed to: (i) Desk Officer for the Securities and Exchange Commission, Office of Information and Regulatory Affairs, Office of Management and Budget, Room 10102, New Executive Office Building, Washington, DC 20503, or by sending an email to: Shagufta Ahmed@omb.eop.gov; and (ii) Thomas Bayer, Chief Information Officer, Securities and Exchange Commission, c/o Remi Pavlik-Simon, 100 F Street NE., Washington, DC 20549 or by sending an email to: PRA Mailbox@ sec.gov. Comments must be submitted to OMB within 30 days of this notice.

Dated: October 28, 2013.

#### Kevin M. O'Neill,

Deputy Secretary.

[FR Doc. 2013-26035 Filed 10-31-13; 8:45 am]

BILLING CODE 8011-01-P

## SECURITIES AND EXCHANGE COMMISSION

# Submission for OMB Review; Comment Request

Upon Written Request, Copies Available From: Securities and Exchange Commission, Office of Investor Education and Advocacy, Washington, DC 20549–0213. Extension:

Rule 15c3–5, SEC File No. 270–601, OMB Control No. 3235–0673.

Notice is hereby given that, pursuant to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 et seq.) ("PRA"), the Securities and Exchange Commission ("Commission") has submitted to the Office of Management and Budget ("OMB") a request for approval of extension of the previously approved collection of information provided for in Rule 15c3–5 (17 CFR 240.15c3–5) under the Securities and Exchange Act of 1934 (15 U.S.C. 78a et seq.) ("Exchange Act").

Rule 15c3–5 under the Exchange Act requires brokers or dealers with access to trading directly on an exchange or alternative trading system ("ATS"), including those providing sponsored or direct market access to customers or other persons, to implement risk management controls and supervisory procedures reasonably designed to manage the financial, regulatory, and other risks of this business activity.

The rule requires brokers or dealers to establish, document, and maintain certain risk management controls and supervisory procedures as well as regularly review such controls and procedures, and document the review, and remediate issues discovered to assure overall effectiveness of such controls and procedures. Each such broker or dealer is required to preserve a copy of its supervisory procedures and a written description of its risk management controls as part of its books and records in a manner consistent with Rule 17a–4(e)(7) under the Exchange Act. Such regular review is required to be conducted in accordance with written procedures and is required to be documented. The broker or dealer is required to preserve a copy of such written procedures, and documentation of each such review, as part of its books and records in a manner consistent with Rule 17a–4(e)(7) under the Exchange Act, and Rule 17a-4(b) under the Exchange Act, respectively.

In addition, the Chief Executive Officer (or equivalent officer) is required to certify annually that the broker or dealer's risk management controls and supervisory procedures comply with the rule, and that the broker-dealer conducted such review. Such certifications are required to be preserved by the broker or dealer as part of its books and records in a manner consistent with Rule 17a–4(b) under the Exchange Act. Compliance with Rule 15c3–5 is mandatory.

Respondents consist of broker-dealers with access to trading directly on an exchange or ATS. The Commission estimates that there are currently 870

respondents. To comply with Rule 15c3-5, these respondents will spend approximately 139,200 hours per year (160 hours per broker-dealer  $\times$  870 broker-dealers = 139,200 hours). At an average internal cost per burden hour of approximately \$390.57, the resultant total related internal cost of compliance for these respondents is \$54,367,170 per year (139,200 burden hours multiplied by approximately \$390.57/hour). In addition, for hardware and software expenses, the Commission estimates that the average annual external cost would be approximately \$20,500 per broker-dealer, or \$17,835,000 (\$20,500 per broker-dealer × 870 broker-dealers = \$17,835,000)

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information under the PRA unless it displays a currently valid OMB control number.

The public may view background documentation for this information collection at the following Web site: www.reginfo.gov. Comments should be directed to: (i) Desk Officer for the Securities and Exchange Commission, Office of Information and Regulatory Affairs, Office of Management and Budget, Room 10102, New Executive Office Building, Washington, DC 20503, or by sending an email to: *Shagufta* Ahmed@omb.eop.gov; and (ii) Thomas Bayer, Director/Chief Information Officer, Securities and Exchange Commission, c/o Remi Pavlik-Simon, 100 F Street NE., Washington, DC 20549, or by sending an email to: PRA\_ Mailbox@sec.gov. Comments must be submitted to OMB within 30 days of this notice.

Dated: October 28, 2013.

### Kevin M. O'Neill,

Deputy Secretary.

[FR Doc. 2013–26036 Filed 10–31–13; 8:45 am]

BILLING CODE 8011-01-P

## SECURITIES AND EXCHANGE COMMISSION

[Release No. IC-30765]

### Notice of Applications for Deregistration Under Section 8(f) of the Investment Company Act of 1940

October 25, 2013.

The following is a notice of applications for deregistration under section 8(f) of the Investment Company Act of 1940 for the month of October, 2013. A copy of each application may be obtained via the Commission's Web site by searching for the file number, or for an applicant using the Company name box, at <a href="http://www.sec.gov/search/">http://www.sec.gov/search/</a>