Section 203(h) of the Act provides, in pertinent part, that if the Commission finds that any person registered under section 203 of the Act, or who has pending an application for registration filed under that section, is no longer in existence, is not engaged in business as an investment adviser, or is prohibited from registering as an investment adviser under section 203A of the Act, the Commission shall by order, cancel the registration of such person.

The registrant indicated on its Form ADV that it is no longer eligible to remain registered with the Commission but has not filed a Form ADV-W to withdraw from Commission registration. As a result, it appears that the registrant is prohibited from registering as an investment adviser under section 203A of the Act. Accordingly, the Commission believes that reasonable grounds exist for finding that the registrant is not eligible to be registered with the Commission as an investment adviser and that the registration should be cancelled pursuant to section 203(h) of the Act.

Notice also is given that any interested person may, by April 3, 2021, at 5:30 p.m., submit to the Commission in writing a request for a hearing on the cancellation, accompanied by a statement as to the nature of his or her interest, the reason for such request, and the issues, if any, of fact or law proposed to be controverted, and he or she may request that he or she be notified if the Commission should order a hearing thereon. Any such communication should be emailed to the Commission's Secretary at Secretarys-Office@sec.gov.

At any time after April 3, 2021, the Commission may issue an order cancelling the registration, upon the basis of the information stated above, unless an order for a hearing on the cancellation shall be issued upon request or upon the Commission's own motion. Persons who requested a hearing, or who requested to be advised as to whether a hearing is ordered, will receive any notices and orders issued in this matter, including the date of the hearing (if ordered) and any postponements thereof. Any adviser whose registration is cancelled under delegated authority may appeal that decision directly to the Commission in accordance with rules 430 and 431 of the Commission's rules of practice (17 CFR 201.430 and 431).

ADDRESSES: The Commission: Secretarys-Office@sec.gov.

FOR FURTHER INFORMATION CONTACT:

Alexis Palascak, Senior Counsel at 202–551–6999; SEC, Division of Investment

Management, Investment Adviser Regulation Office, 100 F Street NE, Washington, DC 20549–8549.

For the Commission, by the Division of Investment Management, pursuant to delegated authority.¹

J. Matthew DeLesDernier,

Assistant Secretary.

[FR Doc. 2021–05170 Filed 3–11–21; 8:45 am]

BILLING CODE 8011-01-P

SOCIAL SECURITY ADMINISTRATION

[Docket No: SSA-2021-0005]

Agency Information Collection Activities: Comment Request

The Social Security Administration (SSA) publishes a list of information collection packages requiring clearance by the Office of Management and Budget (OMB) in compliance with Public Law 104–13, the Paperwork Reduction Act of 1995, effective October 1, 1995. This notice includes new information collections, and revisions of OMB-approved information collections.

SSA is soliciting comments on the accuracy of the agency's burden estimate; the need for the information; its practical utility; ways to enhance its quality, utility, and clarity; and ways to minimize burden on respondents, including the use of automated collection techniques or other forms of information technology. Mail, email, or fax your comments and recommendations on the information collection(s) to the OMB Desk Officer and SSA Reports Clearance Officer at the following addresses or fax numbers. (OMB) Office of Management and

Budget, Attn: Desk Officer for SSA.

Comments: https://www.reginfo.gov/public/do/PRAMain. Submit your comments online referencing Docket ID Number [SSA-2021-0005].

SSA) Social Security Administration, OLCA, Attn: Reports Clearance Director, 3100 West High Rise, 6401 Security Blvd., Baltimore, MD 21235, Fax: 410–966–2830, Email address: OR.Reports.Clearance@ssa.gov.

Or you may submit your comments online through https://www.reginfo.gov/public/do/PRAMain, referencing Docket ID Number [SSA-2021-0005].

SSA submitted the information collections below to OMB for clearance. Your comments regarding these information collections would be most useful if OMB and SSA receive them 30 days from the date of this publication. To be sure we consider your comments,

we must receive them no later than April 12, 2021. Individuals can obtain copies of these OMB clearance packages by writing to *OR.Reports.Clearance@ssa.gov.*

1. Retaining Employment and Talent After Injury/Illness Network (RETAIN) 0960–NEW

Background

The Social Security Administration (SSA) and the U.S. Department of Labor (DOL) are undertaking the Retaining Employment and Talent After Injury/ Illness Network (RETAIN) demonstration. The RETAIN demonstration will test the impact of early intervention strategies to improve stay-at-work/return-to-work (SAW/ RTW) outcomes of individuals who experience work disability while employed. We define "work disability" as an injury, illness, or medical condition that has the potential to inhibit or prevent continued employment or labor force participation.

SAW/RTW programs succeed by returning injured or ill workers to productive work as soon as medically possible during their recovery process, and by providing interim part-time or light duty work and accommodations, as necessary. The RETAIN demonstration is loosely modeled after promising programs operating in Washington State, including the Centers of Occupational Health and Education (COHE), the Early Return to Work (ERTW), and the Stay at Work programs. While these programs operate within the state's workers' compensation system, and are available only to people experiencing work-related injuries or illnesses, the RETAIN demonstration provides opportunities to improve SAW/RTW outcomes for both occupational and non-occupational injuries and illnesses of people who are employed, or at a minimum in the labor force, when their injury or illness occurs.

The primary goals of the RETAIN demonstration are:

1. To increase employment retention and labor force participation of individuals who acquire, or are at risk of developing, work disabilities; and

2. To reduce long-term work disability among RETAIN service users, including the need for Social Security Disability Insurance and Supplemental Security Income.

The ultimate purpose of the demonstration is to validate and expand implementation of evidence-based strategies to accomplish these goals. DOL is funding the intervention approaches and programmatic technical assistance for the demonstration. SSA is

^{1 17} CFR 200.30-5(e)(2).

funding evaluation support, including technical assistance and the full evaluation for the demonstration.

Project Description

The demonstration consists of two phases. The first involves the implementation and assessment of cooperative awards to eight states to conduct planning and start-up activities, including the launch of a small pilot demonstration. During phase 1, SSA will provide evaluation-related technical assistance and planning, and conduct evaluability assessments to assess which states' projects would allow for a rigorous evaluation if continued beyond the pilot phase. DOL will select a subset of the states to continue to phase 2, full implementation.

Phase 2 will include a subset of states for full implementation and evaluation. During phase 2, DOL will fund the operations and program technical assistance activities for the recommended states, and SSA will fund the full set of evaluation activities.

SSA is requesting clearance for the collection of data needed to implement and evaluate RETAIN. The four components of this evaluation, completed during site visits, interviews with RETAIN service users, surveys of RETAIN enrollees, and surveys of RETAIN service providers, include:

• The participation analysis: Using RETAIN service user interviews and

surveys, this analysis will provide insights into which eligible workers choose to participate in the program, in what ways they participate, and how services received vary with participant characteristics. Similarly, it will assess the characteristics of, and if possible, reasons for non-enrollment of non-participants.

- The process analysis: Using staff interviews and logs, this analysis will produce information about operational features that affect service provision; perceptions of the intervention design by service users, providers, administrators, and other stakeholders; the relationships among the partner organizations; each program's fidelity to the research design; and lessons for future programs with similar objectives.
- The impact analysis: This analysis will produce estimates of the effects of the interventions on primary outcomes, including employment and Social Security disability applications, and secondary outcomes, such as health and service usage. SSA will identify evaluation designs for each state to generate impact estimates. The evaluation design could include experimental or non-experimental designs.
- *The cost-benefit analysis:* This analysis will assess whether the benefits of RETAIN justify its costs. We conduct this assessment from a range of perspectives, including those of the

participants, state and Federal governments, SSA, and society as a whole.

The proposed data collections to support these analyses include qualitative and quantitative data. At this time, SSA requests clearance for all of these data collection activities. The qualitative data collection consists of: (1) Semi-structured interviews with program staff and service users; and (2) staff activity logs. The program staff will complete interviews during two rounds of site visits. They will focus on staff's perceptions of the successes and challenges of implementing each state's program. The staff activity logs will house information on staff's time to inform the benefit-cost analysis. The service user interviews will inform SSA's understanding of users' experiences with program services. The quantitative data include SSA's program records and survey data. The survey data collection consists of: (1) Two rounds of follow-up surveys, focusing on individual-level outcomes, with enrollees, all of whom who have experienced a disability onset; and (2) two rounds of surveys with RETAIN providers.

The respondents are staff members selected for staff interviews and staff activity logs, and RETAIN service users, enrollees, and providers.

Type of Request: Request for a new information collection.

Modality of completion	Number of respondents	Frequency of response	Average burden per response (minutes)	Estimated total annual burden (hours)	Average theoretical hourly cost amount (dollars)*	Average wait time in field office (minutes) **	Total annual opportunity cost (dollars)***
		RETAIN 202	1 Burden Figures	3			
Enrollee Survey Round 1 (Respondents) Enrollee Survey Round 1 (Nonrespondents)	320 80	1 1	15 3	80 4	* \$25.72 * 25.72	** 24 ** 24	*** \$5,350 *** 926
Totals	400			84			*** 6,276
		RETAIN 202	2 Burden Figures	3			
Staff Interviews (state administrators/directors) Staff Interviews (program line staff)	4 72 60 540 4 48 3,840 960 960 240 320 80	1 1 1 1 1 1 1 1 1 1 1	105 75 36 6 70 70 15 3 21 3 17	7 90 36 54 5 56 960 48 336 12 91	* 45.23 * 32.58 * 25.72 * 25.72 * 45.23 * 32.58 * 25.72 * 25.72 * 25.72 * 25.72 * 32.58 * 32.58	** 24 ** 24	*** 407 *** 3,870 *** 1,543 *** 6,945 *** 2,450 *** 64,197 *** 11,111 *** 18,518 *** 2,778 *** 7,135 *** 1,173
Totals	7,128			1,699			*** 120,425
		RETAIN 202	3 Burden Figures	S			
Enrollee Survey Round 1 (Respondents) Enrollee Survey Round 1 (Nonrespondents) Enrollee Survey Round 2 (Respondents) Enrollee Survey Round 2 (Nonrespondents) Provider Survey Round 2 (Respondents)	3,840 960 3,840 960 320	1 1 1 1	15 3 21 3 17	960 48 1,344 48 91	*25.72 *25.72 *25.72 *25.72 *32.58	** 24 ** 24 ** 24 ** 24 ** 24	*** 64,197 *** 11,111 *** 74,074 *** 11,111 *** 7,135

Modality of completion	Number of respondents	Frequency of response	Average burden per response (minutes)	Estimated total annual burden (hours)	Average theoretical hourly cost amount (dollars)*	Average wait time in field office (minutes) **	Total annual opportunity cost (dollars) ***
Provider Survey Round 2 (Nonrespondents)	80	1	3	4	* 32.58	** 24	*** 1,173
Totals	10,000			2,495			*** 168,801
		RETAIN 202	4 Burden Figure	S			
Enrollee Survey Round 1 (Respondents) Enrollee Survey Round 1 (Nonrespondents) Enrollee Survey Round 2 (Respondents) Enrollee Survey Round 2 (Nonrespondents)	1,600 400 3,840 960	1 1 1 1	15 3 21 3	400 20 1,344 48	*25.72 *25.72 *25.72 *25.72	** 24 ** 24 ** 24 ** 24	*** 26,749 *** 4,629 *** 74,074 *** 11,111
Totals	6,800			1,812			*** 116,563
	•	RETAIN 202	5 Burden Figure	S			
Enrollee Survey Round 2 (Respondents) Enrollee Survey Round 2 (Nonrespondents)	960 240	1 1	21 3	336 12	* 25.72 * 25.72	** 24 ** 24	*** 18,518 *** 2,778
Totals	1,200			348			*** 21,296
		RETAIN Grand	Total Burden Fig	ures			
Totals	25,528			6,438			*** 433,361

^{*}We based these figures on average U.S. citizen's hourly salary, as reported by Bureau of Labor Statistics data (https://www.bls.gov/oes/current/oes_nat.htm), and average local Government Management and staff hourly wages, as reported by Bureau of Labor Statistics data (https://www.bls.gov/oes/current/oes110000.htm) & (https://www.bls.gov/oes/current/oes131071.htm).

**We based this figure on the average FY 2020 wait times for field offices, based on SSA's current management information data.

***This figure does not represent actual costs that SSA is imposing on recipients of Social Security payments to complete this application; rather, these are theoretical opportunity costs for the additional time respondents will spend to complete the application.

There is no actual charge to respondents to complete the application.

2. Internet and Telephone
Appointment Applications—20 CFR
404.620–404.630, and 416.330–
416.340—0960–NEW. SSA offers both
internet and telephone appointment
options for individuals who wish to
request an appointment when they are
unable to complete one of SSA's online
or automated telephone applications
because they failed the initial
verification checks, or because they
state their reading language preference
is other than English.

iAppointment: iAppointment is an online process that allows members of the public an easy-to-use method to schedule an appointment with the servicing office of their choice. Since the application date can affect when a claimant's benefit begins, iAppointment establishes a protective filing date and provides respondents information related to the date by which they must file their actual application. The iAppointment application propagates information the applicant already entered onto any of SSA's internet applications for SSN, name, date of birth, and gender. Applicants must provide minimal additional information: Mailing address; telephone

number; language preference; type of appointment (Disability, Retirement, Medicare); and whether they prefer a telephone interview or in-office appointment. iAppointment is a customer-centric application. If the available appointment times do not meet the customer's needs, iAppointment allows the user to enter a different zip code to identify another field office, which may offer different appointment times. At this time, SSA only allows domestic first party applicants to use iAppointment. If users indicate they are filing as third parties, iAppointment provides a message directing them to call the National 800 Number for assistance. If a foreign first party user is unable to complete iClaim, iAppointment directs them to contact a Social Security representative, and provides a link to SSA's Service Around the World website.

Enhanced Leads and Appointment System (eLAS): eLAS is an Intranet-based version of the iAppointment screens for use by SSA technicians in both the field offices and call centers. eLAS interacts with iAppointment to ensure we always record the same information whether an individual

requests an appointment through our internet screens or via telephone. eLAS is a non-public facing system that allows SSA employees in the field offices, workload support units, and teleservice centers to use an telephone interview process to schedule appointments and document an individual's intent to file using a script and asking the same questions to each individual. We use eLAS with individuals who use our automated telephone system or who prefer not to use iAppointment to set up their appointment.

The respondents are individuals who are unable to use our internet or automated telephone systems because they failed the initial verification checks; or because they state their reading language preference is other than English.

This is a correction notice: SSA published the incorrect burden information for this collection at 86 FR 667, on 1/6/21. We are providing the correct burden here.

Type of Request: Request for a new information collection.

Modality of completion	Number of respondents	Frequency of response	Average burden per response (minutes)	Estimated total annual burden (hours)	Average theoretical hourly cost amount (dollars)*	Average combined wait time in field office or for teleservice center (minutes) **	Total annual opportunity cost (dollars) ***
iAppointmenteLAS	17,621 5,885,731	1 1	10 10	2,937 980,955	*\$25.72 *25.72	** 21	*** \$75,540 *** 78,213,513
Totals	5,903,352			983,892			*** 78,289,053

*We based these figures on average U.S. worker's hourly wages (based on *BLS.gov* data, https://www.bls.gov/oes/current/oes_nat.htm).

**We based this figure on the combined average FY 2020 wait times for field offices (approximately 24 minutes per respondent) and teleservice centers (approximately 17 minutes per respondent), based on SSA's current management information data.

***This figure does not represent actual costs that SSA is imposing on recipients of Social Security payments to complete this application; rather, these are theoretical opportunity costs for the additional time respondents will spend to complete the application. There is no actual charge to respondents to complete the application.

3. Letter to Employer Requesting Wage Information—20 CFR 416.203 & 416.1110-0960-0138. SSA must establish and verify wage information for Supplemental Security Income (SSI) applicants and recipients when

determining SSI eligibility and payment amounts. SSA collects wage data from employers on Form SSA-L4201 to determine eligibility and proper payment amounts for SSI applicants and recipients. The respondents are

employers of SSI applicants and recipients.

Type of Request: Revision of an OMBapproved information collection.

Modality of completion	Number of respondents	Frequency of response	Average burden per response (minutes)	Estimated total annual burden (hours)	Average theoretical hourly cost amount (dollars)*	Total annual opportunity cost (dollars) **
SSA-L4201	133,000	1	30	66,500	*\$22.79	** \$1,515,535

^{*}We based this figure on the average Payroll and Timekeeping Clerks hourly salary, as reported by Bureau of Labor Statistics data (https://www.bls.gov/oes/current/oes433051.htm).

4. Statement of Funds You Provided to Another and Statement of Funds You Received—20 CFR 416.1103(f)—0960-0481. SSA uses Forms SSA-2854 (Statement of Funds You Provided to Another) and SSA–2855 (Statement of Funds You Received) to gather information to verify if a loan is bona fide for SSI recipients. The SSA-2854 asks the lender for details on the transaction, and Form SSA-2855 asks the borrower the same basic questions independently. Agency personnel then compare the two statements, gather evidence if needed, and make a decision

on the validity of the bona fide status of the loan.

For SSI purposes, we consider a loan bona fide if it meets these requirements:

- Must be between a borrower and lender with the understanding that the borrower has an obligation to repay the monev:
- Must be in effect at the time the cash goes to the borrower, that is, the agreement cannot come after the cash is paid; and
- Must be enforceable under State law, as often there are additional requirements from the State.

SSA collects this information at the time of initial application for SSI, or at any point when an individual alleges being party to an informal loan while receiving SSI. SSA collects information on the informal loan through both interviews and mailed forms. The agency's field personnel conduct the interviews and mail the form(s) for completion, as needed. The respondents are SSI recipients and applicants, and individuals who lend money to them.

Type of Request: Revision of an OMBapproved information collection.

Modality of completion	Number of respondents	Frequency of response	Average burden per response (minutes)	Estimated total annual burden (hours)	Average theoretical hourly cost amount (dollars)*	Average wait time in field office (minutes) **	Total annual opportunity cost (dollars) ***
SSA-2854SSA-2855	20,000 20,000	1 1	15 15	5,000 5,000	* \$25.72 * 25.72	** 24 ** 24	*** \$334,360 *** 334,360
Totals	40,000			10,000			*** 668,720

*We based this figure on average U.S. citizen's hourly salary, as reported by Bureau of Labor Statistics data (https://www.bls.gov/oes/current/oes_nat.htm).

5. Social Security Benefits Application—20 CFR 404.310-404.311, 404.315-404.322, 404.330-404.333, 404.601-404.603, and 404.1501404.1512—0960–0618. Title II of the Social Security Act provides retirement, survivors, and disability benefits to individuals who meet the eligibility

criteria and file the appropriate application. This collection comprises the various application methods for each type of benefits. SSA uses the

^{**}This figure does not represent actual costs that we are imposing on recipients of Social Security payments to complete this application; rather, these are theoretical opportunity costs for the additional time respondents will spend to complete the application. There is no actual charge to respondents to complete the application.

^{**}We based this figure on the average FY 2020 wait times for field offices, based on SSA's current management information data.

***This figure does not represent actual costs that SSA is imposing on recipients of Social Security payments to complete this application; rather, these are theoretical opportunity costs for the additional time respondents will spend to complete the application. There is no actual charge to respondents to complete the

information we gather through the multiple information collection tools in this information collection request to determine applicants' eligibility for specific Social Security benefits, as well as the amount of the benefits. Individuals filing for disability benefits can, and in some instances SSA may require them to, file applications under both Title II, Social Security disability benefits, and Title XVI, SSI payments. We refer to disability applications filed under both titles as "concurrent"

applications." This collection comprises the various application methods for each type of benefits. These methods include the following modalities: Paper forms (Forms SSA–1, SSA–2, and SSA–16); Modernized Claims System (MCS) screens for in-person interview applications; and internet-based iClaim application. SSA uses the information we collect through these modalities to determine: (1) The applicants' eligibility for the above-mentioned Social Security benefits, and (2) the amount of the

benefits. The respondents are applicants for retirement, survivors, and disability benefits under Title II of the Social Security Act, or their representative payees.

This is a correction notice: SSA published the incorrect burden information for this collection at 85 FR 86638, on 12/30/20. We are providing the correct burden here.

Type of Request: Revision of an OMB-approved information collection.

				-	-		
Modality of completion	Number of respondents	Frequency of response	Average burden per response (minutes)	Estimated annual burden (hours)	Average theoretical cost amount (dollars)*	Average wait time in field office (minutes) **	Total annual opportunity cost (dollars) ***
		5	SSA-1				
Paper version/SSA-1 Interview/MCS Internet/iClaim—Domestic Residence:	2,346 1,925,180	1	11 10	430 320,863	*\$25.72 *25.72	** 24 ** 24	*** \$35,185 *** 28,058,842
First PartyThird party initiated (complete and sub-	1,470,043	1	15	367,511	* 25.72		*** 9,452,383
mit)Internet/iClaim—Foreign Residence:	25,706	1	15	6,427	*25.72		*** 165,302
First PartyThird party-initiated (complete and sub-	7,993	1	18	2,398	*25.72		*** 61,677
mit)	645	1	18	194	*25.72		*** 4,990
Totals	3,431,913			697,823			*** 37,778,379
			SSA-2				
Paper version/SSA-2Interview/MCSiClaim	779 407,415 124,499	1 1 1	15 14 15	195 95,064 31,125	*\$25.72 *25.72 *25.72	** 24 ** 24	*** \$13,040 *** 6,636,532 *** 800,535
Totals	532,693			126,384			*** 7,450,107
		S	SA-16				
Paper version/SSA-16Interview/MCSInternet/iClaim—Domestic Residence:	29,485 920,938	1	20 19	9,828 291,630	* 25.72 * 25.72	** 24 ** 24	*** 556,118 *** 16,975,329
First PartyThird party initiated (complete and sub-	503,567	1	15	125,892	* 25.72		*** 3,237,942
mit)Internet/iClaim—Foreign Residence:	528,474	1	15	132,119	*25.72		*** 3,398,101
First PartyThird party-initiated (complete and sub-	781	1	18	234	*25.72		*** 6,018
mit)	123	1	18	37	*25.72		*** 952
Totals	1,983,368			559,740			*** 24,174,460
		Gra	nd Total				
Total	5,947,974			1,383,947			*** 69,402,946

^{*}We based this figure on the average hourly wage for all occupations in May 2019 as reported by the U.S. Bureau of Labor Statistics (https://www.bls.gov/oes/current/oes nat.htm#00-0000).

6. Redetermination of Eligibility for Help with Medicare Prescription Drug Plan Costs—20 CFR 418.3125—0960–0723. Under the Medicare Modernization Act of 2003, SSA conducts low-income subsidy eligibility redeterminations for Medicare beneficiaries who currently receive Medicare Part D subsidy and who meet certain criteria. Respondents complete Form SSA—1026—OCR—SM—REDE under

the following circumstances: (1) When individuals became entitled to the Medicare Part D subsidy during the past 12 months; (2) if they were eligible for the Part D subsidy for more than 12 months; or (3) if they reported a change in income, resources, or household size. Part D beneficiaries complete Form SSA-1026-OCR-SM-SCE when they need to report a potentially subsidychanging event, including the following:

(1) Marriage; (2) spousal separation; (3) divorce; (4) annulment of a marriage; (5) spousal death; or (6) moving back in with one's spouse following a separation. The respondents are current recipients of Medicare Part D lowincome subsidy who will undergo an eligibility redetermination for one of the reasons mentioned above.

Type of Request: Revision of an OMB-approved information collection.

^{**}We based this figure on the average FY 2020 wait times for field offices, based on SSA's current management information data.

***This figure does not represent actual costs that SSA is imposing on recipients of Social Security payments to complete this application; rather, these are theoretical opportunity costs for the additional time respondents will spend to complete the application. There is no actual charge to respondents to complete the application.

Modality of completion	Number of respondents	Frequency of response	Average burden per response (minutes)	Estimated annual burden (hours)	Average theoretical cost amount (dollars)*	Average wait time in field office (minutes) **	Total annual opportunity cost (dollars) ***
SSA-1026-OCR-SM-REDE SSA-1026-OCR-SM-SCE REDE Field Office Interview SCE Field Office Interview	120,220 3,462 50,879 4,441	1 1 1 1	18 18 18 18	36,066 1,039 15,264 1,332	*\$25.72 *25.72 *25.72 *25.72	** 24 ** 24	*** \$927,618 *** 26,723 *** 916,033 *** 79,948
Totals	179,002			53,701			*** 1,950,322

Dated: March 9, 2021.

Naomi Sipple,

Reports Clearance Officer, Social Security Administration.

[FR Doc. 2021-05179 Filed 3-11-21; 8:45 am]

BILLING CODE 4191-02-P

SURFACE TRANSPORTATION BOARD

[Docket No. AB 1310X]

Northwestern Pacific Railroad Company—Discontinuance of Service Exemption—in Marin, Napa, and Sonoma Counties, Cal.

On February 22, 2021, Northwestern Pacific Railroad Company (NWPCO) filed a petition under 49 U.S.C. 10502 for exemption from the prior approval requirements of 49 U.S.C. 10903 to discontinue service over the rail line extending between approximately milepost NWP 89 near the Sonoma-Mendocino County, Cal., border and approximately milepost SP 63.4 at Lombard, Cal., a distance of approximately 87.65 miles, in Marin, Napa, and Sonoma Counties, Cal. (the Line). The Line traverses U.S. Postal Service Zip Codes 95448, 95425, 95492, 95441, 95439, 95403, 95401, 95407, 94928, 94931, 94951, 94954, 94952, 94945, 94949, 94503, 95476, and 94559.

According to NWPCO, it provides service on the Line pursuant to a lease with the North Coast Railroad Authority (NCRA). See Nw. Pac. R.R.—Change in Operators Exemption—N. Coast R.R. Auth., FD 35073 (STB served Aug. 30, 2007). NWPCO states that NCRA owns the portion of the Line between the Sonoma-Mendocino County border and NWP milepost 68.2, in Healdsburg, Cal., and that NCRA has a freight rail operating easement on the portion of the Line between Healdsburg and Lombard, which is owned by Sonoma-Marin Area Rail Transit District (SMART). See Sonoma-Marin Area Rail Transit Dist.-Acquis. Exemption—Nw. Pac. R.R. Auth., FD 34400 (STB served Mar. 10, 2004). NWPCO explains that NCRA is expected to transfer its property

interests and common carrier obligations on the Line to SMART, and that SMART has filed for acquisition authority with the Board. See Sonoma-Marin Area Rail Transit Dist.—Acquis. & Operation Exemption—N. Coast R.R. Auth., FD 36481 (STB served Feb. 18, 2021). NWPCO asserts that SMART would then assume operations on the Line as a rail common carrier 1 and that no customer on the Line would have an interruption in service as a result of the proposed discontinuance.

NWPCO asserts that, because it is seeking discontinuance rather than an abandonment, the question of whether the Line contains any federally granted rights-of-way is inapplicable. NWPCO states, however, that any documentation related to title in its possession would be made available to those requesting it. NWPCO states that the Line over which it seeks discontinuance authority represents the entire scope of its "active rail service," and it therefore requests that the Board decline to impose labor protection conditions.²

By issuance of this notice, the Board is instituting an exemption proceeding pursuant to 49 U.S.C. 10502(b). A final decision will be issued by June 11, 2021.

Because this is a discontinuance proceeding and not an abandonment, interim trail use/rail banking and public use conditions are not appropriate. Because there will be environmental review during any subsequent abandonment, this discontinuance does not require an environmental review. See 49 CFR 1105.6(c)(5), 1105.8(b).

Any offer of financial assistance (OFA) for subsidy under 49 CFR 1152.27(b)(2) will be due no later than 120 days after the filing of the petition for exemption, or 10 days after service of a decision granting the petition for exemption, whichever occurs sooner.3 Persons interested in submitting an OFA must first file a formal expression of intent to file an offer by March 22, 2021, indicating the intent to file an OFA for subsidy and demonstrating that they are preliminarily financially responsible. See 49 CFR 1152.27(c)(1)(i).

All filings in response to this notice must refer to Docket No. AB 1310X and should be filed with the Surface Transportation Board via e-filing on the Board's website. In addition, a copy of each pleading must be served on NWPCO's representative, Justin J. Marks, Clark Hill PLC, 1001 Pennsylvania Ave. NW. Suite 1300 South, Washington, DC 20004. Replies to this petition are due on or before April 1, 2021.

Persons seeking further information concerning discontinuance procedures may contact the Board's Office of Public Assistance, Governmental Affairs, and Compliance at (202) 245–0238 or refer to the full abandonment and discontinuance regulations at 49 CFR part 1152. Questions concerning environmental issues may be directed to the Board's Office of Environmental Analysis at (202) 245-0305. Assistance for the hearing impaired is available through the Federal Relay Service at (800) 877-8339.

Board decisions and notices are available at www.stb.gov.

Decided: March 8, 2021.

By the Board, Allison C. Davis, Director, Office of Proceedings.

Kenyatta Clay

Clearance Clerk.

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^{*}We based this figure on average U.S. citizen's hourly salary, as reported by Bureau of Labor Statistics data (https://www.bls.gov/oes/current/oes_nat.htm).

**We based this figure on the average FY 2020 wait times for field offices, based on SSA's current management information data.

***This figure does not represent actual costs that SSA is imposing on recipients of Social Security payments to complete this application; rather, these are theoretical opportunity costs for the additional time respondents will spend to complete the application. There is no actual charge to respondents to complete the

¹The petition indicates that, at least temporarily. SMART would engage NWPCO as SMART's contract operator on the Line.

² NWPCO states that, for the immediate future, it will retain operating authority over a segment of rail line north of the Line from milepost 89 to milepost 142.5. NWPCO, however, asserts that it has never offered service on this portion of rail line due to an emergency order by the Federal Railroad Administration prohibiting railroad operations.

³ The filing fee for OFAs can be found at 49 CFR 1002.2(f)(25)