

interested in speaking during the public comment period should review the Guidelines for Public Comment at Meetings posted on the Commission website.

Dated: July 6, 2004.

**Thomas R. Kitsos,**

*Executive Director, Commission on Ocean Policy.*

[FR Doc. 04-15691 Filed 7-9-04; 8:45 am]

BILLING CODE 6820-WM-U

## PEACE CORPS

### Proposed Information Collection Requests

**AGENCY:** Peace Corps.

**ACTION:** Notice of public use form review request to the Office of Management and Budget (OMB Control Number 0420-0002).

**SUMMARY:** Pursuant to the Paperwork Reduction Act of 1981 (44 U.S.C. Chapter 35), the Peace Corps has submitted to the Office of Management and Budget a request for approval of information collections, OMB Control Number 0420-0002, the Peace Corps Week Survey Questionnaire. The purpose of this notice is to allow for public comments on whether the proposed collection of information is necessary for the proper performance of the functions of the Peace Corps, including whether their information will have practical use; the accuracy of the agency's estimate of the burden of the proposed collections information, including the validity of the methodology and assumptions used; ways to enhance the quality, utility and the clarity of the information to be collected; and, ways to minimize the burden of the collection of information on those who are to respond, including through the use of automated collection techniques, when appropriate, and other forms of information technology. A copy of the information collection may be obtained from Agnes Ousley, Office of Domestic Programs, Peace Corps, 1111 20th Street, NW., Room 2163, Washington, DC 20526. Ms Ousley may be contacted by telephone at 202-692-1429 or 800-424-8580, Peace Corps Headquarters, ext 1429, by e-mail at [aousley@peacecorps.gov](mailto:aousley@peacecorps.gov). Comments on the form should also be addressed to the attention of Ms. Ousley by September 10, 2004.

### Information Collection Abstract

*Title:* Peace Corps Week Survey Questionnaire.

*Need For and Use of this Information:* This collection of information is

necessary because the Peace Corps' Office of Domestic Programs will use this information to determine how to better serve the needs of the participants. The information gathered will be used for the following purposes: programmatic improvements, to determine customer satisfaction, and to increase our awareness of participants' activities. Additionally, information regarding the activities and events sponsored by the participant's may be used in press releases on the Peace Corps' anniversary celebration. Finally, information regarding participants' activities will be disseminated to the Peace Corps 11 regional offices for press/public relations, and recruitment purposes.

*Respondents:* Returned Peace Corps Volunteers and family members of currently serving Peace Corps Volunteers.

*Respondent's Obligation to Reply:* Voluntary.

*Burden on the Public:*

- a. Annual reporting burden: 2,500 hours.
- b. Annual record keeping burden: 0.
- c. Estimated average burden per response: 5 minutes.
- d. Frequency of response: one time.
- e. Estimated number of likely respondents: 2,500.
- f. Estimated cost to respondents: \$2.15.

Responses will be returned by postage-paid business reply card, fax, e-mail, and downloaded from the Peace Corps Web site: ([www.peacecorps.gov](http://www.peacecorps.gov)).

This notice is being issued in Washington, DC on July 2, 2004.

**Ed Anderson,**

*Chief Information Officer.*

[FR Doc. 04-15668 Filed 7-9-04; 8:45 am]

BILLING CODE 6051-01-M

## POSTAL SERVICE

### Board of Governors; Sunshine Act Meeting

**TIME AND DATES:** 10:30 a.m., Monday, July 19, 2004; 8 a.m., Tuesday, July 20, 2004.

**PLACE:** San Francisco, California, at the Ritz-Carlton Hotel, 600 Stockton at California Street, in Salon III.

**STATUS:** July 19—10:30 a.m. (Closed); July 20—8 a.m. (Open).

### MATTERS TO BE CONSIDERED:

**Monday, July 19—10:30 a.m. (Closed)**

1. Financial Update.
2. Preliminary Report on Goals and Performance Assessment for Fiscal Year 2005.

3. Preliminary Fiscal Year 2005 Integrated Financial Plan and Financial Outlook.

4. Implementation of Postal Rate Commission Recommendation for Electronic Return Receipt.

5. Strategic Planning.

6. Personnel Matters and Compensation Issues.

### Tuesday, July 20—8 a.m. (Open)

1. Minutes of the Previous Meeting, June 15, 2004.

2. Remarks of the Postmaster General and CEO.

3. Committee Reports.

4. Capital Investments.

a. Springfield, Massachusetts, Logistics and Distribution Center.

b. Pontiac, Michigan, Northeast Metro Processing and Distribution Center.

c. Informational Briefing on Letter Mail Automation.

5. Quarterly Report on Financial Performance.

6. Report on the San Francisco District.

7. Tentative Agenda for the September 13-14, 2004, meeting in Boston, Massachusetts.

### FOR FURTHER INFORMATION CONTACT:

William T. Johnstone, Secretary of the Board, U.S. Postal Service, 475 L'Enfant Plaza, SW., Washington, DC 20260-1000. Telephone (202) 268-4800.

**William T. Johnstone,**

*Secretary.*

[FR Doc. 04-15844 Filed 7-8-04; 2:17 pm]

BILLING CODE 7710-12-M

## RAILROAD RETIREMENT BOARD

### Agency Forms Submitted for OMB Review

*Summary:* In accordance with the Paperwork Reduction Act of 1995 (44 U.S.C. chapter 35), the Railroad Retirement Board (RRB) has submitted the following proposal(s) for the collection of information to the Office of Management and Budget for review and approval.

*Summary of Proposal(s):*

(1) *Collection title:* Certification Regarding Rights to Unemployment Benefits.

(2) *Form(s) submitted:* UI-45.

(3) *OMB Number:* 3220-0079.

(4) *Expiration date of current OMB clearance:* 08/31/04.

(5) *Type of request:* Revision of a currently approved collection.

(6) *Respondents:* Individuals or households.

(7) *Estimated annual number of respondents:* 1,950.

(8) *Total annual responses*: 2,900.

(9) *Total annual reporting hours*: 487.

(10) *Collection description*: In administering the disqualification for the voluntary leaving of work provision of section 4 of the Railroad Unemployment Insurance Act, the Railroad Retirement Board investigates an unemployment claim that indicates that the claimant left voluntarily. The certification obtains information needed to determine if the leaving was for good cause.

#### **ADDITIONAL INFORMATION OR COMMENTS:**

Copies of the forms and supporting documents can be obtained from Charles Mierzwa, the agency clearance officer (312-751-3363) or [Charles.Mierzwa@rrb.gov](mailto:Charles.Mierzwa@rrb.gov).

Comments regarding the information collection should be addressed to Ronald J. Hodapp, Railroad Retirement Board, 844 North Rush Street, Chicago, Illinois, 60611-2092 or [Ronald.Hodapp@rrb.gov](mailto:Ronald.Hodapp@rrb.gov) and to the OMB Desk Officer for the RRB, at the Office of Management and Budget, Room 10230, New Executive Office Building, Washington, DC 20503.

**Charles Mierzwa,**  
Clearance Officer.

[FR Doc. 04-15672 Filed 7-9-04; 8:45 am]

**BILLING CODE 7905-01-M**

## **SECURITIES AND EXCHANGE COMMISSION**

### **Submission for OMB Review; Comment Request**

Upon Written Request, Copies Available From: Securities and Exchange Commission, Office of Filings and Information Services, Washington, DC 20549.

#### **Extension:**

Rule 17j-1; SEC File No. 270-239; OMB Control No. 3235-0224.

Notice is hereby given that, pursuant to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501-3520), the Securities and Exchange Commission (the "Commission") has submitted to the Office of Management and Budget ("OMB") a request for extension and approval of the collection of information discussed below.

Rule 17j-1 under the Investment Company Act of 1940 (15 U.S.C. 80a) (the "Investment Company Act"), which the Commission adopted in 1980<sup>1</sup> and

amended in 1999,<sup>2</sup> implements section 17(j) of the Act. Section 17(j) makes it unlawful for persons affiliated with a registered investment company or with the investment company's investment adviser or principal underwriter (each, a "17j-1 organization"), in connection with the purchase or sale of securities held or to be acquired by the investment company, to engage in any fraudulent, deceptive, or manipulative act or practice in contravention of the Commission's rules and regulations. Section 17(j) authorizes the Commission to promulgate rules requiring the adoption of codes of ethics.

In order to implement section 17(j), rule 17j-1 imposes certain requirements on 17j-1 organizations and "Access Persons"<sup>3</sup> of those organizations. The rule prohibits fraudulent, deceptive or manipulative acts by persons affiliated with a rule 17j-1 organization in connection with their personal securities transactions in securities held or to be acquired by the fund. The rule requires each 17j-1 organization<sup>4</sup> to: (i) Adopt a written codes of ethics, (ii) submit the code and any material changes to the code, along with a certification that it has adopted procedures reasonably necessary to prevent Access Persons from violating the code of ethics, to the fund board for approval, (iii) use reasonable diligence and institute procedures reasonably necessary to prevent violations of the code, (iv) submit a written report at least annually to the fund describing any issues arising under the code and procedures and certifying that the 17j-1 entity has adopted procedures reasonably necessary to prevent Access Persons from violating the code, (v) identify Access Persons and notify them of their reporting obligations, and (vi) maintain and make available to the Commission for review certain records related to the code of ethics and transaction reporting by Access Persons.

<sup>2</sup> Personal Investment Activities of Investment Company Personnel, Investment Company Act Release No. 23958 (Aug. 20, 1999) [64 FR 46821-01 (Aug. 27, 1999)].

<sup>3</sup> Rule 17j-1(a)(1) defines an "access person" as "any director, officer, general partner, or advisory person of a fund or of a fund's investment adviser" and as "any director, officer, or general partner of a principal underwriter who, in the ordinary course of business, makes, participates in or obtains information regarding, the purchase or sale of Covered Securities by the Fund for which the principal underwriter acts, or whose functions or duties in the ordinary course of business relate to the making of any recommendation to the Fund regarding the purchase or sale of Covered Securities."

<sup>4</sup> Money market funds and funds that do not invest in "Covered Securities," as defined in paragraph (a)(4) of the rule, are excepted.

The rule requires each Access Person of a fund (other than a money market fund or a fund that does not invest in Covered Securities) and of an investment adviser or principal underwriter of the fund, who is not subject to an exception,<sup>5</sup> to file: (i) Within ten days of becoming an Access Person, a dated initial holdings report that sets forth certain information with respect to the access person's securities and accounts, (ii) within ten days of the end of each calendar quarter, a dated quarterly transaction report providing certain information with respect to any securities transactions during the quarter and any account established by the Access Person in which any securities were held during the quarter, and (iii) dated annual holding reports providing information with respect to each covered security the Access Person beneficially owns and accounts in which securities are held for his or her benefit. In addition, rule 17j-1 requires investment personnel of a fund or its investment adviser, before acquiring beneficial ownership in securities through an initial public offering (IPO) or in a private placement, to obtain approval from the fund or the fund's investment adviser.

The requirements that the management of a rule 17j-1 organization provide the fund's board with new and amended codes of ethics and an annual issues and certification report are intended to enhance board oversight of personal investment policies applicable to the fund and the personal investment activities of Access Persons. The requirements that Access Persons provide initial holdings reports, quarterly transaction reports, and annual holdings reports and request approval for purchases of securities through IPOs and private placements are intended to help fund compliance personnel and the Commission's examinations staff monitor potential conflicts of interest and detect potentially abusive activities. The requirement that each rule 17j-1 organization maintain certain records is intended to assist the organization and the Commission's examinations staff in determining if there have been violations of rule 17j-1.

The Commission estimates that each year a total of 73,976 Access Persons and 17j-1 organizations are subject to the rule's reporting requirements. Respondents provide approximately 102,230 responses each year. The total

<sup>5</sup> Rule 17j-1(d)(2) exempts Access Persons from reporting in five instances in which reporting would be duplicative or would not serve the purposes of the rule.

<sup>1</sup> Prevention of Certain Unlawful Activities With Respect To Registered Investment Companies, Investment Company Act Release No. 11421 (Oct. 31, 1980) [45 FR 73915 (Nov. 7, 1980)].