

U.S. Code citation	Civil monetary penalty description	2018 adjustment penalty amounts	CPI-U multiplier	2019 adjusted penalty amounts
15 U.S.C. 80b-3(i) (Investment Advisers Act Sec. 203(i)).	For natural person	9,239	1.02522	9,472
	For any other person	92,383	1.02522	94,713
	For natural person/fraud	92,383	1.02522	94,713
	For any other person/fraud	461,916	1.02522	473,566
	For natural person/fraud/substantial losses or risk of losses to others or gains to self.	184,767	1.02522	189,427
	For any other person/fraud/substantial losses or risk of losses to others or gain to self.	923,831	1.02522	947,130
15 U.S.C. 80b-9(e) (Investment Advisers Act Sec. 209(e)).	For natural person	9,239	1.02522	9,472
	For any other person	92,383	1.02522	94,713
	For natural person/fraud	92,383	1.02522	94,713
	For any other person/fraud	461,916	1.02522	473,566
	For natural person/fraud/substantial losses or risk of losses to others.	184,767	1.02522	189,427
	For any other person/fraud/substantial losses or risk of losses to others.	923,831	1.02522	947,130
15 U.S.C. 7215(c)(4)(D)(i) (Sarbanes-Oxley Act Sec. 105(c)(4)(D)(i)).	For natural person	136,052	1.02522	139,483
15 U.S.C. 7215(c)(4)(D)(ii) (Sarbanes-Oxley Act Sec. 105(c)(4)(D)(ii)).	For any other person	2,721,050	1.02522	2,789,675
15 U.S.C. 7215(c)(4)(D)(ii) (Sarbanes-Oxley Act Sec. 105(c)(4)(D)(ii)).	For natural person	1,020,394	1.02522	1,046,128
	For any other person	20,407,871	1.02522	20,922,558

Pursuant to the 2015 Act and 17 CFR 201.1001, the adjusted penalty amounts in this notice (and all penalty adjustments performed pursuant to the 2015 Act) apply to penalties imposed after the date the adjustment is effective for violations that occurred after November 2, 2015, the 2015 Act's enactment date. These penalty amounts supersede the amounts in the 2018 Adjustment.¹⁴ For violations that occurred on or before November 2, 2015, the penalty amounts in Table I to 17 CFR 201.1001 continue to apply.¹⁵

By the Commission.

Dated: February 13, 2019.

Eduardo A. Aleman,

Deputy Secretary.

[FR Doc. 2019-02699 Filed 2-19-19; 8:45 am]

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SECURITIES AND EXCHANGE COMMISSION

[Release No. 34-85117; File No. SR-NYSEArca-2018-83]

Self-Regulatory Organizations; NYSE Arca, Inc.; Notice of Designation of a Longer Period for Commission Action on a Proposed Rule Change Regarding Investments of the iShares Bloomberg Roll Select Commodity Strategy ETF

February 13, 2019.

On December 19, 2018, NYSE Arca, Inc. filed with the Securities and Exchange Commission ("Commission"), pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934 ("Act")¹ and Rule 19b-4 thereunder,² a proposed rule change regarding investments of the iShares Bloomberg Roll Select Commodity Strategy ETF, shares of which are currently listed and traded on the Exchange under NYSE Arca Rule 8.600-E ("Managed Fund Shares"). The proposed rule change was published for comment in the **Federal Register** on December 31, 2018.³ The Commission has received no comment letters on the proposed rule change.

Section 19(b)(2) of the Act⁴ provides that within 45 days of the publication of notice of the filing of a proposed rule change, or within such longer period up

to 90 days as the Commission may designate if it finds such longer period to be appropriate and publishes its reasons for so finding, or as to which the self-regulatory organization consents, the Commission shall either approve the proposed rule change, disapprove the proposed rule change, or institute proceedings to determine whether the proposed rule change should be disapproved. The 45th day after publication of the notice for this proposed rule change is February 14, 2019. The Commission is extending this 45-day time period.

The Commission finds it appropriate to designate a longer period within which to take action on the proposed rule change so that it has sufficient time to consider the proposed rule change. Accordingly, the Commission, pursuant to Section 19(b)(2) of the Act,⁵ designates March 31, 2019, as the date by which the Commission shall either approve or disapprove, or institute proceedings to determine whether to disapprove, the proposed rule change (File No. SR-NYSEArca-2018-83).

For the Commission, by the Division of Trading and Markets, pursuant to delegated authority.⁶

Eduardo A. Aleman,

Deputy Secretary.

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¹⁴ The penalty amounts in this notice are being published in the **Federal Register** and will not be added to the Code of Federal Regulations in accordance with the 2015 Act and 17 CFR 201.1001(b). See 28 U.S.C. 2461 note Sec. 4(a)(2); 17 CFR 201.1001(b). In addition to being published in the **Federal Register**, the penalty amounts in this notice will be made available on the Commission's website at <https://www.sec.gov/enforce/civil-penalties-inflation-adjustments.htm>, as detailed in 17 CFR 201.1001(b). This website also lists the penalty amounts for violations that occurred on or before November 2, 2015.

¹⁵ 17 CFR 201.1001(a).

¹ 15 U.S.C. 78s(b)(1).

² 17 CFR 240.19b-4.

³ See Securities Exchange Act Release No. 84931 (December 21, 2018), 83 FR 67741.

⁴ 15 U.S.C. 78s(b)(2).

⁵ *Id.*

⁶ 17 CFR 200.30-3(a)(31).