

of Consumer Protection, Division of Consumer Access, (703) 518-1150, [DCAMail@NCUA.gov](mailto:DCAMail@NCUA.gov).

#### SUPPLEMENTARY INFORMATION:

##### I. Abstract and Request for Comments

The FCU Act establishes the rules for FCU chartering and field of membership. 12 U.S.C. 1753(5), 1754, 1759. The NCUA Board, after consideration of public comment, incorporated the Chartering Manual into NCUA's regulations at 12 CFR 701.1, and Appendix B to part 701, in 2008. 73 FR 73392, Dec. 2, 2008. NCUA most recently issued for public comment and amended the Chartering Manual in 2013. 78 FR 13460, Feb. 28, 2013.

NCUA is issuing this notice and request for comment on the reinstatement and amendment of the previously approved information collection PRA number related to the Chartering Manual, 3133-0015. Staff has incorporated into this collection other previously proposed, expired or combined information collections also related to the Chartering Manual, including 3133-0116 and 3133-0178. The collections are not new and the estimated amount of burden hours is based on NCUA's experience with this regulation and the current number of CUs. The amount is generally decreasing as a result of technology and the continuing trend of annual decreases in the number of CUs.

NCUA staff reviewed each of the four chapters and appendices of the Chartering Manual to identify all current information collection requirements. The four chapters are: One, FCU chartering; two, field of membership requirements for FCUs; three, low-income CUs and CUs serving underserved areas; and four, charter conversions; as well as related appendices. NCUA uses the information it collects pursuant to the Chartering Manual to regulate CUs' compliance with the FCU Act and NCUA regulations and to protect the safety and soundness of CUs and the National Credit Union Share Insurance Fund.

As a preliminary matter, those persons choosing to organize a new FCU must comply with certain information collection requirements upon starting the FCU outlined in this Chartering Manual. Over the past three years, organizers have established an average of approximately two new FCUs each year. We estimate each new FCU must spend approximately 160 hours to initially comply with the Chartering Manual's information collection requirements (ICR), for a total annual collection of 320 hours.

For current FCUs, NCUA staff also reviewed each chapter and appendices to the Chartering Manual to estimate current annual burden hours for CUs attached to each ICR. We have listed these estimates below in the Data section.

NCUA does not believe that CUs will incur any additional labor costs as a result of the Chartering Manual requirements since these are in accordance with the CUs' usual and customary business practices. The Chartering Manual addresses integral parts of a CU's operation as a member-owned, not-for-profit financial cooperative. Since a CU could not operate as a chartered and insured credit union without complying with these collections, there is no additional labor cost burden.

The NCUA requests that you send your comments on this collection to the location listed in the addresses section. Your comments should address: (a) The necessity of the information collection for the proper performance of NCUA, including whether the information will have practical utility; (b) the accuracy of our estimate of the burden (hours and cost) of the collection of information, including the validity of any methodology and assumptions used; (c) ways we could enhance the quality, utility, and clarity of the information to be collected; and (d) ways we could minimize the burden of the collection of the information on the respondents such as through the use of automated collection techniques or other forms of information technology. It is NCUA's policy to make all comments available to the public for review.

##### II. Data

*Title:* NCUA Chartering and Field of Membership Manual, 12 CFR 701.1, and App. B to Part 701.

*OMB Number:* 3133-0015.

*Form Numbers:* NCUA Forms 4000, 4001, 4008, 4012, 4015, 4015-EZ, 4221, 4401, 4505, 4506, 9500, 9501, 9600.

*Type of Review:* reinstatement, with change.

*Description:* The NCUA Chartering and Field of Membership Manual sets forth the NCUA's current policies and procedures for granting and permitting change to a federal credit union charter.

*Estimated No. of Respondents/Recordkeepers:* 9,990.

*Frequency of Response:* Recordkeeping, reporting and on occasion.

*Estimated Total Annual Hours Requested:* 15,397.5.

*Estimated Total Annual Cost:* N/A.

Specifically, NCUA Staff identified the following chapters and appendices

as containing ICRs with the following number of respondents and the estimated annual burden in hours, as follows:

##### *Chapter 1. FCU Chartering*

*ICRs:* Business Plan for New Charters, Wording for Proposed FOM, NCUA Forms 4001, 4008, 4012, 9500, 9501.

*Respondents/record-keepers:* 2 per year.

*Estimated annual burden:* 160 hours.

*Total annual hours:* 320 hours.

ICRs related to the Chartering Manual for All FCUs:

##### *Chapter 2. Field of Membership Requirements for FCUs*

*ICR:* Single Common Bond and Multiple Common Bond Amendments, NCUA Forms 4015 and 4015-EZ, and FOM Internet Application (FOMIA).

*Respondents:* 9,915.

*Estimated annual burden:* 30 minutes.

*Total annual hours:* 4,957.5.

*ICR:* Community Charter Conversion and Expansion Applications.

*Respondents:* 39.

*Estimated annual burden:* 160 hours.

*Total annual hours:* 6,240.

##### *Chapter 3. Low-Income CUs and CUs Serving Underserved Areas*

*ICR:* Application to Add an Underserved Area.

*Respondents:* 21.

*Estimated annual burden:* 160 hours.

*Total annual hours:* 3,360.

##### *Chapter 4. Charter Conversions*

*ICR:* NCUA Forms 4000, 4221, 4401, 4505, 4506, 9500, 9501, 9600.

*Respondents/record-keepers:* 13.

*Estimated annual burden:* 40 hours.

*Total annual hours:* 520.

By the National Credit Union Administration Board on August 16, 2013.

**Gerard Poliquin,**

*Secretary of the Board.*

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#### NATIONAL CREDIT UNION ADMINISTRATION

##### Agency Information Collection Activities: Submission to OMB for Reinstatement, With Change, of a Previously Approved Collection; Comment Request

**AGENCY:** National Credit Union Administration (NCUA).

**ACTION:** Request for comment.

**SUMMARY:** The NCUA intends to submit the following information collection to the Office of Management and Budget

(OMB) for review and clearance under the Paperwork Reduction Act of 1995 (Pub. L. 104–13, 44 U.S.C. Chapter 35). This information collection is published to obtain comments from the public. NCUA requires this information collection to comply with the Depository Institution Management Interlocks Act (Interlocks Act) and to determine federally insured credit unions' compliance with NCUA's Management Official Interlocks regulation at 12 CFR Part 711.

**DATES:** Comments will be accepted until October 21, 2013.

**ADDRESSES:** Interested parties are invited to submit written comments to the NCUA Contact and the OMB Reviewer listed below:

**NCUA Contact:** Tracy Crews, National Credit Union Administration, 1775 Duke Street, Alexandria, Virginia 22314–3428, Fax No. 703–837–2861, Email: [OCIOPRA@ncua.gov](mailto:OCIOPRA@ncua.gov).

**OMB Contact:** Office of Management and Budget, ATTN: Desk Officer for the National Credit Union Administration, Office of Information and Regulatory Affairs, Washington, DC 20503.

**FOR FURTHER INFORMATION CONTACT:** Requests for additional information, a copy of the information collection request, or a copy of submitted comments should be directed to Tracy Crews at the National Credit Union Administration, 1775 Duke Street, Alexandria, VA 22314–3428, or at (703) 518–6444. For information about the Interlocks Act and NCUA's regulation at Part 711, please contact NCUA's Office of General Counsel at (703) 518–6540 or [ogcmail@ncua.gov](mailto:ogcmail@ncua.gov).

#### **SUPPLEMENTARY INFORMATION:**

##### **I. Abstract and Request for Comments**

NCUA is reinstating its OMB collection number 3133–0152 for its Management Official Interlocks regulation, 12 CFR part 711, which implements the Interlocks Act for federally insured credit unions. The Interlocks Act generally prohibits financial institution management officials from serving simultaneously with two unaffiliated depository institutions or their holding companies. 12 U.S.C. 3201–3208. For credit unions, the Interlocks Act restricts interlocks between credit unions and other types of financial institutions, not between two or more credit unions. 12 U.S.C. 3204(3). NCUA last substantively revised 12 CFR part 711 in 1999, as part of a coordinated interagency effort with other federal financial regulatory agencies. 64 FR 66356–66360, Nov. 26, 1999.

NCUA finds information collection burdens associated with this regulation still apply and is reinstating this OMB collection number 3133–0152. The information collections associated with Part 711 are as follows.

- Under § 711.3, a credit union may have to maintain records to determine whether the major assets prohibition applies.
- Under § 711.4(h)(1)(i), a credit union must notify NCUA to obtain approval to have a director in common with a diversified savings and loan holding company.
- Under § 711.5, a credit union may have to maintain records to comply with the small market share exemption.
- Under § 711.6(a), a credit union seeking a general exemption to a management official interlocks prohibition in § 711.3 would have to compile information and submit an application to NCUA for approval.

The NCUA requests that you send your comments on this collection to the location(s) listed in the addresses section. Your comments should address: (a) The necessity of the information collection for the proper performance of NCUA, including whether the information will have practical utility; (b) the accuracy of our estimate of the burden (hours and cost) of the collection of information, including the validity of the methodology and assumptions used; (c) ways we could enhance the quality, utility, and clarity of the information to be collected; and (d) ways we could minimize the burden of the collection of the information on the respondents such as through the use of automated collection techniques or other forms of information technology. It is NCUA's policy to make all comments available to the public for review.

##### **II. Data**

**Title:** Management Official Interlocks, 12 CFR Part 711.

**OMB Number:** 3133–0152.

**Form Number:** None.

**Type of Review:** Reinstatement, with change, of a previously approved collection.

**Description:** NCUA's management official interlocks regulation at 12 CFR part 711 directs federally insured credit unions having a common management official with another type financial institution to compile and maintain records and, in some cases, submit an application to NCUA for a general exemption to certain prohibitions, or otherwise obtain NCUA approval.

**Respondents:** All federally insured credit unions.

**Estimated No. of Respondents/Recordkeepers:** 2.

**Estimated Burden Hours per Response:** 3 hours.

**Frequency of Response:**

Recordkeeping; upon application and reporting.

**Estimated Total Annual Burden Hours:** 6.

**Estimated Total Annual Cost:** \$0.

By the National Credit Union Administration Board on August 16, 2013.

**Gerard Poliquin,**

*Secretary of the Board.*

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## **NATIONAL SCIENCE FOUNDATION**

### **Notice of permit applications received under the Antarctic Conservation Act of 1978 (Pub. L. 95–541)**

**AGENCY:** National Science Foundation.

**ACTION:** Notice of permit applications received under the Antarctic Conservation Act of 1978, Public Law 95–541.

**SUMMARY:** The National Science Foundation (NSF) is required to publish a notice of permit applications received to conduct activities regulated under the Antarctic Conservation Act of 1978. NSF has published regulations under the Antarctic Conservation Act at Title 45 Part 670 of the Code of Federal Regulations. This is the required notice of permit applications received.

**DATES:** Interested parties are invited to submit written data, comments, or views with respect to this permit application by September 23, 2013. This application may be inspected by interested parties at the Permit Office, address below.

**ADDRESSES:** Comments should be addressed to Permit Office, Room 755, Division of Polar Programs, National Science Foundation, 4201 Wilson Boulevard, Arlington, Virginia 22230.

**FOR FURTHER INFORMATION CONTACT:** Adrian Dahood, ACA Permit Officer, at the above address or [ACApermits@nsf.gov](mailto:ACApermits@nsf.gov) or (703) 292–7149.

**SUPPLEMENTARY INFORMATION:** The National Science Foundation, as directed by the Antarctic Conservation Act of 1978 (Pub. L. 95–541), as amended by the Antarctic Science, Tourism and Conservation Act of 1996, has developed regulations for the establishment of a permit system for various activities in Antarctica and designation of certain animals and certain geographic areas requiring special protection. The regulations establish such a permit system to