

**DEPARTMENT OF COMMERCE****Patent and Trademark Office****Agency Information Collection Activities; Submission to the Office of Management and Budget (OMB) for Review and Approval; Comment Request; Substantive Submissions Made During the Prosecution of the Trademark Application**

**AGENCY:** United States Patent and Trademark Office, Department of Commerce.

**ACTION:** Notice of information collection; request for comment.

**SUMMARY:** The United States Patent and Trademark Office (USPTO), as required by the Paperwork Reduction Act of 1995, invites comments on the extension and revision of an existing information collection: 0651–0054 Substantive Submissions Made During the Prosecution of the Trademark Application. The purpose of this notice is to allow 60 days for public comment preceding submission of the information collection to OMB.

**DATES:** To ensure consideration, comments regarding this information collection must be received on or before February 2, 2024.

**ADDRESSES:** Interested persons are invited to submit written comments by any of the following methods. Do not submit Confidential Business Information or otherwise sensitive or protected information.

- *Email:* [InformationCollection@uspto.gov](mailto:InformationCollection@uspto.gov). Include “0651–0054 comment” in the subject line of the message.

- *Federal Rulemaking Portal:* <http://www.regulations.gov>.

- *Mail:* Justin Isaac, Office of the Chief Administrative Officer, United States Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313–1450.

**FOR FURTHER INFORMATION CONTACT:** Request for additional information should be directed to Catherine Cain, Attorney Advisor, United States Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313–1450; by telephone at 571–272–8946; or by email to [Catherine.Cain@uspto.gov](mailto:Catherine.Cain@uspto.gov). Additional information about this information collection is also available at <http://www.reginfo.gov> under “Information Collection Review.”

**SUPPLEMENTARY INFORMATION:****I. Abstract**

This collection of information is required by the Trademark Act, 15

U.S.C. 1051 *et seq.*, which provides for the registration of trademarks, service marks, collective trademarks and collective service marks, collective membership marks, and certification marks. Individuals and businesses that use or intend to use such marks in commerce may file an application to register their marks with the United States Patent and Trademark Office (USPTO).

Such individuals and businesses may also submit various communications to the USPTO, including providing additional information needed to process a request to delete a particular filing basis from an application or to divide an application identifying multiple goods and/or services into two or more separate applications. Applicants may seek a six-month extension of time to file a statement that the mark is in use in commerce or submit a petition to revive an application that was abandoned for failure to submit a timely response to an office action or a timely statement of use or extension request. In some circumstances, an applicant may expressly abandon an application by filing a request for withdrawal of the application.

The rules implementing the Trademark Act are set forth in 37 CFR part 2. These rules mandate that each register entry include the mark, the goods and/or services in connection with which the mark is used, ownership information, dates of use, and certain other information. The USPTO also provides similar information concerning pending applications. The register and pending application information may be accessed by an individual or by businesses to determine the availability of a mark. By accessing the USPTO’s information, parties may reduce the possibility of initiating use of a mark previously adopted by another. As a result, the Federal trademark registration process is intended to reduce unnecessary litigation, and its accompanying costs and burdens.

The information in this collection is used to process the substantive submissions made during prosecution of the trademark application. The submissions in this information collection are a matter of public record and are used by the public for a variety of private business purposes related to establishing and enforcing trademark rights. The information is accessible online, through the USPTO website, as well as through various USPTO facilities.

**II. Method of Collection**

Items in this information collection must be submitted electronically. In limited circumstances, applicants may be permitted to submit the information in paper form by mail, fax, or hand delivery.

**III. Data**

*OMB Control Number:* 0651–0054.

*Forms:*

- PTO Form 1553 (Allegation of Use (Statement of Use/Amendment to Allege Use))
  - PTO Form 1554 (Request to Divide Application)
  - PTO Form 1555 (Response to Intent-to-Use/Divisional (ITU/Divisional) Unit Office Action)
  - PTO Form 1556 (Response to Petition to Revive Deficiency Letter)
  - PTO Form 1557 (Petition to Revive with Request to Delete Section 1(b) Basis or to Delete ITU Goods/ Services/Collective Membership Organization After NOA)
  - PTO Form 1581 (Request for Extension of Time to File a Statement of Use)
  - PTO Form 2194 (Petition to Revive Abandoned Application—Failure to Respond Timely to Office Action)
  - PTO Form 2195 (Petition to Revive Abandoned Application—Failure to File Timely Statement of Use or Extension Request)
  - PTO Form 2200 (Request to Delete Section 1(b) Basis, Intent to Use)
  - PTO Form 2202 (Request for Express Abandonment (Withdrawal) of Application)
  - PTO Form 2301 (Petition to Director)
- Type of Review:* Extension and revision of a currently approved information collection.

*Affected Public:* Private sector.

*Respondent’s Obligation:* Required to obtain or retain benefits.

*Estimated Number of Annual Respondents:* 373,293 respondents.

*Estimated Number of Annual Responses:* 373,293 responses.

*Estimated Time per Response:* The USPTO estimates that the responses in this information collection will take the public approximately between 30 minutes (0.5 hours) and 70 minutes (1.17 hours) to complete. This includes the time to gather the necessary information, create the document, and submit the information to the USPTO.

*Estimated Total Annual Respondent Burden Hours:* 265,556 hours.

*Estimated Total Annual Respondent Cost Burden:* \$118,703,532.

TABLE 1—TOTAL BURDEN HOURS AND HOURLY COSTS TO PRIVATE SECTOR RESPONDENTS

Item no.	Item	Estimated annual respondents (a)	Responses per respondent (b)	Estimated annual responses (a) × (b) = (c)	Estimated time for response (hours) (d)	Estimated burden (hour/year) (c) × (d) = (e)	Rate <sup>1</sup> (\$/hour) (f)	Estimated annual respondent burden (e) × (f) = (g)
1	Allegation of Use (Statement of Use/Amendment to Allege Use). (PTO Form 1553)	94,729	1	94,729	1 (60 minutes) ...	94,729	\$447	\$42,343,863
2	Request for Extension of Time to File a Statement of Use (PTO Form 1581).	238,839	1	238,839	0.58 (35 minutes).	138,527	447	61,921,569
3	Petition to Revive Abandoned Application— Failure to Respond Timely to Office Action (PTO Form 2194).	20,665	1	20,665	1 (60 minutes) ...	20,665	447	9,237,255
4	Petition to Revive Abandoned Application— Failure to File Timely Statement of Use or Extension Request (PTO Form 2195).	1,067	1	1,067	0.67 (40 minutes).	715	447	319,605
5	Request to Delete Section 1(b) Basis, Intent to Use (PTO Form 2200).	2,188	1	2,188	0.5 (30 minutes)	1,094	447	489,018
6	Request for Express Abandonment (Withdrawal) of Application (PTO Form 2202).	9,702	1	9,702	0.5 (30 minutes)	4,851	447	2,168,397
7	Request to Divide Application (PTO Form 1554).	3,223	1	3,223	0.67 (40 minutes).	2,159	447	965,073
8	Response to Intent-to-Use/Divisional (ITU/Divisional) Unit Office Action (PTO Form 1555).	5	1	5	1.17 (70 minutes).	6	447	2,682
9	Response to Petition to Revive Deficiency Letter (PTO Form 1556).	436	1	436	0.83 (50 minutes).	362	447	161,814
10	Petition to Director (PTO Form 2301).	2,385	1	2,385	1 (60 minutes) ...	2,385	447	1,066,095
11	Petition to Revive with Request to Delete Section 1(b) Basis or to Delete ITU Goods/ Services/Collective Membership Organization After NOA (PTO Form 1557).	54	1	54	1.17 (70 minutes).	63	447	28,161
Totals		373,293		373,293		265,556		118,703,532

<sup>1</sup> 2023 Report of the Economic Survey, published by the Committee on Economics of Legal Practice of the American Intellectual Property Law Association (AIPLA); pg. F-41. The USPTO uses the average billing rate for intellectual property work in all firms which is \$447 per hour (<https://www.aipla.org/home/news-publications/economic-survey>).

*Estimated Total Annual Respondent Non-hourly Cost Burden:* \$43,517,005. There are no capital start-up, maintenance costs, or recordkeeping costs associated with this information

collection. However, USPTO estimates that the total annual (non-hour) cost burden for this information collection, in the form of filing fees and postage is \$43,517,005.

*Filing Fees*

There are fees associated with submitting certain items in this information collection as outlined in Table 2 below:

TABLE 2—FILING FEES

Item No.	Fee code	Item	Estimated annual responses (a)	Estimated fee amount (b)	Estimated non-hour cost burden (a) × (b) = (c)
1	6002	Allegation of Use (Statement of Use/Amendment to Allege Use) (Paper).	1	\$200	\$200
1	7002 7003	Allegation of Use (Statement of Use/Amendment to Allege Use) (Electronic).	94,729	100	9,472,900

TABLE 2—FILING FEES—Continued

Item No.	Fee code	Item	Estimated annual responses (a)	Estimated fee amount (b)	Estimated non-hour cost burden (a) × (b) = (c)
2 .....	6004	Request for Extension of Time to File a Statement of Use (Paper).	1	225	225
2 .....	7004	Request for Extension of Time to File a Statement of Use (Electronic).	238,839	125	29,854,875
3 .....	6010	Petition to Revive Abandoned Application—Failure to Respond Timely to Office Action (Paper).	1	250	250
3 .....	7010	Petition to Revive Abandoned Application—Failure to Respond Timely to Office Action (Electronic).	20,665	150	3,099,750
4 .....	6010	Petition to Revive Abandoned Application—Failure to File Timely Statement of Use or Extension Request (Paper).	1	250	250
4 .....	7010	Petition to Revive Abandoned Application—Failure to File Timely Statement of Use or Extension Request (Electronic).	1,067	150	160,050
7 .....	6006	Request to Divide Application (Paper) .....	1	200	200
7 .....	7006	Request to Divide Application (Electronic) .....	3,223	100	322,300
10 .....	6005	Petition to Director (Paper) .....	1	350	350
10 .....	7005	Petition to Director (Electronic) .....	2,385	250	596,250
11 .....	6010	Petition to Revive with Request to Delete Section 1(b) Basis or to Delete ITU Goods/Services/Collective Membership Organization After NOA (Paper).	1	250	250
11 .....	7010	Petition to Revive with Request to Delete Section 1(b) Basis or to Delete ITU Goods/Services/Collective Membership Organization After NOA (Electronic).	54	150	8,100
Totals .....			.....	.....	43,515,950

Postage

Although the USPTO requires that the items in this information collection be submitted electronically, in certain circumstances, respondents may be permitted to submit responses by mail through the United States Postal Service (USPS). The USPTO estimates that the average postage cost for a mailed submission, using a Priority Mail legal flat rate envelope, will be \$9.95. The USPTO estimates approximately 106 submissions per year may be mailed to the USPTO, for an estimated total postage cost of \$1,055 per year.

IV. Request for Comments

The USPTO is soliciting public comments to:

(a) Evaluate whether the collection of information is necessary for the proper performance of the functions of the Agency, including whether the information will have practical utility;

(b) Evaluate the accuracy of the Agency’s estimate of the burden of the collection of information, including the validity of the methodology and assumptions used;

(c) Enhance the quality, utility, and clarity of the information to be collected; and

(d) Minimize the burden of the collection of information on those who are to respond, including through the use of appropriate automated,

electronic, mechanical, or other technological collection techniques or other forms of information technology, e.g., permitting electronic submission of responses.

All comments submitted in response to this notice are a matter of public record. USPTO will include or summarize each comment in the request to OMB to approve this information collection. Before including an address, phone number, email address, or other personally identifiable information (PII) in a comment, be aware that the entire comment—including PII—may be made publicly available at any time. While you may ask in your comment to withhold PII from public view, USPTO cannot guarantee that it will be able to do so.

Justin Isaac,

Information Collections Officer, Office of the Chief Administrative Officer, United States Patent and Trademark Office.

[FR Doc. 2023–26556 Filed 12–1–23; 8:45 am]

BILLING CODE 3510–16–P

DEPARTMENT OF EDUCATION

[Docket No.: ED–2023–SCC–0161]

Evaluation of the REL Appalachia Teaching Math to Young Children Toolkit

AGENCY: Institute of Education Sciences (IES), Department of Education (ED).

ACTION: Notice.

SUMMARY: In accordance with the Paperwork Reduction Act (PRA) of 1995, the Department is proposing a new information collection request (ICR).

DATES: Interested persons are invited to submit comments on or before January 3, 2024.

ADDRESSES: Written comments and recommendations for proposed information collection requests should be submitted within 30 days of publication of this notice. Click on this link [www.reginfo.gov/public/do/PRAMain](http://www.reginfo.gov/public/do/PRAMain) to access the site. Find this information collection request (ICR) by selecting “Department of Education” under “Currently Under Review,” then check the “Only Show ICR for Public Comment” checkbox. *Reginfo.gov* provides two links to view documents related to this information collection request. Information collection forms and instructions may be found by clicking on the “View Information