

Agency name	Organization name	Position title	Authorization number	Vacate date
DEPARTMENT OF EDUCATION.	Office of the Deputy Secretary .....	Special Assistant .....	DB110019	8/4/2013
DEPARTMENT OF ENERGY.	National Nuclear Security Administration .....	Special Assistant .....	DE120009	8/9/2013
	Office of the Secretary .....	Special Assistant to the Chief of Staff.	DE130042	8/16/2013
DEPARTMENT OF HOMELAND SECURITY.	U.S. Immigration and Customs Enforcement .....	Director of Congressional Relations.	DM090458	8/10/2013
	Office of the Assistant Secretary for Public Affairs.	Press Assistant .....	DM110127	8/10/2013
	Office of the Under Secretary for National Protection and Programs Directorate.	Program Coordinator .....	DM110192	8/23/2013
DEPARTMENT OF JUSTICE.	Office of Public Affairs .....	Deputy Director .....	DJ120009	8/2/2013
DEPARTMENT OF LABOR.	Office of the Deputy Secretary .....	Senior Advisor .....	DL100053	8/10/2013
DEPARTMENT OF THE INTERIOR.	Secretary's Immediate Office .....	White House Liaison .....	DI120009	8/10/2013
		Special Assistant For Advance.	DI110049	8/16/2013
DEPARTMENT OF THE TREASURY.	Assistant Secretary (Legislative Affairs) .....	Special Assistant .....	DY110094	8/10/2013
DEPARTMENT OF TRANSPORTATION.	General Counsel .....	Special Assistant to the General Counsel.	DT100050	8/2/2013
	Public Affairs .....	Associate Director for Speech-Writing.	DT120057	8/16/2013
		Press Secretary .....	DT120026	8/23/2013
ENVIRONMENTAL PROTECTION AGENCY.	Office of the Associate Administrator for Congressional and Intergovernmental Relations.	Deputy Associate Administrator for Office of Congressional Affairs.	EP110039	8/10/2013
	Office of the Administrator .....	Deputy White House Liaison.	EP120008	8/24/2013
EXPORT-IMPORT BANK.	Export-Import Bank .....	Deputy Chief of Staff .....	EB110012	8/23/2013
FEDERAL ENERGY REGULATORY COMMISSION.	Office of the Chairman .....	Program Analyst .....	DR110007	8/10/2013
FEDERAL MINE SAFETY AND HEALTH REVIEW COMMISSION.	Office of the Commissioners .....	Attorney Advisor (General).	FR120001	8/7/2013

**Authority:** 5 U.S.C. 3301 and 3302; E.O. 10577, 3 CFR, 1954–1958 Comp., p. 218.

U.S. Office of Personnel Management.

**Katherine Archuleta,**  
Director.

[FR Doc. 2013–28666 Filed 11–27–13; 8:45 am]

**BILLING CODE 6325–39–P**

## OFFICE OF PERSONNEL MANAGEMENT

### Excepted Service

**AGENCY:** U.S. Office of Personnel Management (OPM).

**ACTION:** Notice.

**SUMMARY:** This notice identifies Schedule A, B, and C appointing authorities applicable to a single agency that were established or revoked from September 1, 2013, to September 30, 2013.

### FOR FURTHER INFORMATION CONTACT:

Senior Executive Resources Services, Senior Executive Services and Performance Management, Employee Services, 202–606–2246.

**SUPPLEMENTARY INFORMATION:** In accordance with 5 CFR 213.103, Schedule A, B, and C appointing authorities available for use by all agencies are codified in the Code of Federal Regulations (CFR). Schedule A, B, and C appointing authorities applicable to a single agency are not codified in the CFR, but the Office of Personnel Management (OPM) publishes a notice of agency-specific authorities established or revoked each month in the **Federal Register** at [www.gpo.gov/fdsys/](http://www.gpo.gov/fdsys/). OPM also publishes an annual notice of the consolidated listing of all Schedule A, B, and C appointing authorities, current as of June 30, in the **Federal Register**.

### Schedule A

*11. Department of Homeland Security (Sch. A, 213.3111)*

(d) General—

(1) Not to exceed 1,000 positions to perform cyber risk and strategic analysis, incident handling and malware/vulnerability analysis, program management, distributed control systems security, cyber incident response, cyber exercise facilitation and management, cyber vulnerability detection and assessment, network and systems engineering, enterprise architecture, intelligence analysis, investigation, investigative analysis and cyber-related infrastructure interdependency analysis requiring unique qualifications currently not established by OPM. Positions will be at the General Schedule (GS) grade levels 09–15. No new appointments may be made under this authority after December 31, 2014.

**Schedule B**

No schedule B authorities to report during September 2013.

**Schedule C**

The following Schedule C appointing authorities were approved during September 2013.

Agency name	Organization name	Position title	Authorization number	Effective date
DEPARTMENT OF AGRICULTURE.	Rural Housing Service .....	State Director—Hawaii .....	DA130150	9/19/2013
	Farm Service Agency .....	State Executive Director—West Virginia.	DA130190	9/19/2013
		State Executive Director—Pennsylvania.	DA130194	9/19/2013
		State Executive Director—New Jersey.	DA130202	9/19/2013
	Office of the Under Secretary for Rural Development.	Special assistant .....	DA130218	9/5/2013
	Office of the Assistant Secretary for Congressional Relations.	Confidential Assistant .....	DA130217	9/6/2013
DEPARTMENT OF COMMERCE.	Office of Communications .....	Deputy Director .....	DA130220	9/19/2013
	Office of the Director .....	Director, Office of Faith Based and Neighborhood Partnerships.	DC130090	9/4/2013
	Office of the Chief of Staff .....	Director of Scheduling and Advance	DC130093	9/4/2013
	Office of the Deputy Secretary .....	Special Assistant .....	DC130094	9/4/2013
COMMISSION ON CIVIL RIGHTS.	Office of the General Counsel .....	Special Assistant .....	DC130091	9/9/2013
	Commissioners .....	Special Assistant .....	CC130006	9/11/2013
DEPARTMENT OF DEFENSE.	Office of the Assistant Secretary of Defense (International Security Affairs).	Special Assistant for Europe and NATO.	DD130120	9/6/2013
DEPARTMENT OF EDUCATION.	Office of the Secretary .....	Advance Officer .....	DD130123	9/25/2013
	Office of the General Counsel .....	Confidential Assistant .....	DB130065	9/4/2013
	Office of the Under Secretary .....	Special Assistant .....	DB130059	9/11/2013
		Executive Director .....	DB130067	9/12/2013
		Deputy Director, White House Initiative on Historically Black College.	DB130068	9/12/2013
	Office of Elementary and Secondary Education.	Deputy Assistant Secretary for Policy and Programs.	DB130069	9/13/2013
	Office of the Deputy Secretary .....	Special Counsel .....	DB130071	9/20/2013
	Office of the Under Secretary .....	Associate Assistant Deputy Secretary	DB130072	9/20/2013
	Office of Vocational and Adult Education.	Confidential Assistant .....	DB130073	9/23/2013
	Office of Nuclear Energy .....	Special Advisor .....	DE130101	9/4/2013
DEPARTMENT OF ENERGY.	Office of Public Affairs .....	Speechwriter .....	DE130102	9/4/2013
	Office of Management .....	Special Assistant .....	DE130097	9/11/2013
	Loan Programs Office .....	Special Advisor .....	DE130117	9/24/2013
	Office of Assistant Secretary for Congressional and Intergovernmental Affairs.	Director of External Affairs .....	DE130118	9/30/2013
	Office of Assistant Secretary for Congressional and Intergovernmental Affairs.	Senior Advisor .....	DE130122	9/30/2013
	Office of the Associate Administrator for Congressional and Intergovernmental Relations.	Deputy Associate Administrator for Office of Congressional Affairs.	EP130031	9/11/2013
ENVIRONMENTAL PROTECTION AGENCY.	Office of the Associate Administrator for External Affairs and Environmental Education.	Senior Speech Writer .....	EP130049	9/19/2013
	Office of the Chairman .....	Program Analyst .....	DR130007	9/6/2013
FEDERAL ENERGY REGULATORY COMMISSION.	Office of Communications and Marketing.	Director of Public Engagement .....	GS130025	9/27/2013
GENERAL SERVICES ADMINISTRATION. DEPARTMENT OF HEALTH AND HUMAN SERVICES.	Office of Intergovernmental and External Affairs.	Regional Director, Chicago, Illinois—Region V .....	DH130119	9/5/2013
	Office of the Assistant Secretary for Planning and Evaluation.	Director of Public Health Policy (Office of Health Reform).	DH130122	9/5/2013
	Administration for Community Living	Confidential Assistant .....	DH130121	9/5/2013
	Office of the Assistant Secretary for Health.	Associate Director .....	DH130117	9/12/2013

Agency name	Organization name	Position title	Authorization number	Effective date
DEPARTMENT OF HOMELAND SECURITY.	Office of the Assistant Secretary for Public Affairs.	Confidential Assistant .....	DH130120	9/5/2013
	Office of the Assistant Secretary for Public Affairs.	Digital Communications Coordinator	DH130129	9/27/2013
		Press Secretary .....	DM130168	9/13/2013
	Office of the Under Secretary for National Protection and Programs Directorate.	Advisor .....	DM130166	9/16/2013
DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT.	Office of the Chief of Staff .....	White House Liaison .....	DM130171	9/24/2013
	Federal Emergency Management Agency.	Associate Director for Public Affairs/ Press Secretary.	DM130178	9/30/2013
	Office of the Secretary .....	Special Assistant (2) .....	DU130038	9/4/2013
DEPARTMENT OF JUSTICE.	Office of Legislative Affairs .....	Chief of Staff and Attorney Advisor ...	DU130041	9/11/2013
			DJ130089	9/4/2013
DEPARTMENT OF LABOR.	Office of Public Affairs .....	Speechwriter .....	DJ130093	9/5/2013
	Office of Public Affairs .....	Director of External Partnership .....	DL130056	9/5/2013
OFFICE OF NATIONAL DRUG CONTROL POLICY.	Office of the Secretary .....	Special Assistant .....	DL130059	9/19/2013
		Director of Public Engagement .....	DL130058	9/13/2013
		Special Assistant .....	DL130063	9/26/2013
	Intergovernmental Public Liaison .....	Public Engagement Specialist .....	QQ130004	9/16/2013
OFFICE OF SCIENCE AND TECHNOLOGY POLICY.	Office of the Director .....	Policy and Administrative Coordinator	QQ130005	9/18/2013
	Office of Science and Technology Policy.	Executive Assistant .....	TS130003	9/16/2013
OVERSEAS PRIVATE INVESTMENT CORPORATION.	Office of the President .....	Deputy Chief of Staff .....	PQ130004	9/27/2013
PRESIDENT'S COMMISSION ON WHITE HOUSE FELLOWSHIPS.	President's Commission on White House Fellowships.	Public Relations Associate .....	WH130003	9/11/2013
SECURITIES AND EXCHANGE COMMISSION.	Office of the Chairman .....	IT Specialist .....	SE130006	9/19/2013
SMALL BUSINESS ADMINISTRATION.	Office of the Administrator .....	Deputy Scheduler .....	SB130023	9/5/2013
SOCIAL SECURITY ADMINISTRATION.	Office of the Commissioner .....	Senior Advisor .....	SZ130016	9/20/2013
DEPARTMENT OF STATE.	Office of the Secretary .....	Senior Advisor .....	DS130122	9/6/2013
		Deputy Chief of Staff for Policy .....	DS130116	9/11/2013
		Supervisory Public Affairs Specialist	DS130060	9/11/2013
	Office of the Under Secretary for Management.	Staff Assistant .....	DS130124	9/18/2013
		Special Assistant .....	DS130120	9/19/2013
	Office of the Under Secretary for Arms Control and International Security Affairs.	Special Assistant .....	DS130120	9/19/2013
TRADE AND DEVELOPMENT AGENCY.	Office of the Director .....	Chief of Staff .....	TD130004	9/26/2013
DEPARTMENT OF TRANSPORTATION.	Office of the Secretary .....	White House Liaison .....	DT130041	9/9/2013

The following Schedule C appointing authorities were revoked during September 2013.

Agency name	Organization name	Position title	Authorization number	Vacate date
DEPARTMENT OF EDUCATION.	Office of the General Counsel .....	Confidential Assistant .....	DB120017	9/7/2013
	Office of the Under Secretary .....	Special Assistant .....	DB120021	9/21/2013

Agency name	Organization name	Position title	Authorization number	Vacate date
DEPARTMENT OF HEALTH AND HUMAN SERVICES.	Office of the Assistant Secretary for Public Affairs.	Senior Speechwriter .....	DH130040	9/6/2013
DEPARTMENT OF HOMELAND SECURITY.	Office of the Assistant Secretary for Public Affairs.	Director of Strategic Communications	DM100106	9/7/2013
	Office of the Assistant Secretary for Policy.	Special Assistant .....	DM110195	9/7/2013
	Immediate Office of the Deputy Secretary.	Confidential Assistant .....	DM090285	9/21/2013
	Office of the Assistant Secretary for Public Affairs.	Deputy Press Secretary .....	DM110234	9/21/2013
DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT.	Northwest/Alaska (Seattle) .....	Regional Administrator .....	DU100045	9/7/2013
	Office of the General Counsel .....	Senior Counsel .....	DU110014	9/14/2013
DEPARTMENT OF JUSTICE.	Office of Legislative Affairs .....	Attorney Advisor .....	DJ110034	9/7/2013
	Civil Rights Division .....	Counsel .....	DJ100176	9/21/2013
DEPARTMENT OF LABOR.	Office of Public Affairs .....	Special Assistant .....	DL090126	9/7/2013
DEPARTMENT OF STATE.	Office of the Under Secretary for Arms Control and International Security Affairs.	Staff Assistant .....	DS120073	9/4/2013
DEPARTMENT OF THE INTERIOR.	Secretary's Immediate Office .....	Special Assistant .....	DI120031	9/6/2013
	Bureau of Safety and Environmental Enforcement.	Senior Advisor .....	DI110089	9/27/2013
DEPARTMENT OF TRANSPORTATION.	Office of the Secretary .....	White House Liaison .....	DT090059	9/9/2013
ENVIRONMENTAL PROTECTION AGENCY.	Office of the Associate Administrator for Congressional and Intergovernmental Relations.	Senior Advisor .....	EP130019	9/14/2013
	Office of the Associate Administrator for External Affairs and Environmental Education.	Director, Office of Public Engagement.	EP110021	9/21/2013
EXPORT-IMPORT BANK.	Export Finance .....	Senior Vice-President for Export Finance.	EB060001	9/30/2013
OFFICE OF SCIENCE AND TECHNOLOGY POLICY.	Office of Science and Technology Policy.	Executive Assistant .....	TS100005	9/6/2013
TRADE AND DEVELOPMENT AGENCY.	Office of the Director .....	Chief of Staff .....	TD120001	9/28/2013

**Authority:** 5 U.S.C. 3301 and 3302; E.O. 10577, 3 CFR, 1954-1958 Comp., p. 218.

U.S. Office of Personnel Management.

**Katherine Archuleta,**

*Director.*

[FR Doc. 2013-28664 Filed 11-27-13; 8:45 am]

**BILLING CODE 6325-39-P**

## SECURITIES AND EXCHANGE COMMISSION

### Proposed Collection; Comment Request

*Upon Written Request, Copies Available*

From: Securities and Exchange Commission, Office of Investor Education and Advocacy, Washington, DC 20549-0213.

*Extension:*

Rule 301 and Forms ATS and ATS-R, SEC File No. 270-451, OMB Control No. 3235-0509.

Notice is hereby given that, pursuant to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 *et seq.*), the Securities and Exchange Commission ("Commission") is soliciting comments on the collection of information summarized below. The Commission plans to submit this existing collection of information to the Office of Management and Budget for extension and approval.

Regulation ATS provides a regulatory structure for alternative trading systems. Regulation ATS allows an alternative trading system to choose between registering as a broker-dealer and complying with Regulation ATS, or registering as a national securities exchange. Regulation ATS provides the regulatory framework for those

alternative trading systems that choose to be regulated as broker-dealers. Rule 301 of Regulation ATS contains certain notice and reporting requirements, as well as additional obligations that apply only to alternative trading systems with significant volume. The Rule requires all alternative trading systems that wish to comply with Regulation ATS to file an initial operation report on Form ATS. The initial operation report requires information regarding operation of the system including the method of operation, access criteria and the types of securities traded. Alternative trading systems are also required to supply updates on Form ATS to the Commission, describing material changes to the system, and quarterly transaction reports on Form ATS-R. Alternative trading systems are also required to file cessation of operations reports on Form ATS.