

University Administrators
10:30 a.m.–11:00 a.m. Discussion
with Center Directors
11:00 a.m.–3:00 p.m. Executive
Session—Closed Session
3:00 p.m.–4:00 p.m. Closeout
Session with Center Directors
Reason for Closing: Topics to be
discussed and evaluated during closed
portions of the site review will include
information of a proprietary or
confidential nature, including technical
information and information on
personnel. These matters are exempt
under 5 U.S.C. 552b(c), (4) and (6) of the
Government in the Sunshine Act.

Dated: March 7, 2017.

Crystal Robinson,
Committee Management Officer.

[FR Doc. 2017-04731 Filed 3-9-17; 8:45 am]

BILLING CODE 7555-01-P

NATIONAL SCIENCE FOUNDATION

Proposal Review Panel for Physics; Notice of Meeting

In accordance with the Federal
Advisory Committee Act (Pub. L. 92–
463, as amended), the National Science
Foundation (NSF) announces the
following meeting:

Name: Proposal Review Panel for the
Division of Physics (1208) (V171446)—
JINA-CEE Site Visit.

Date and Time:

April 24, 2017; 8:30 a.m.–9:00 p.m.

April 25, 2017; 8:30 a.m.–4:00 p.m.

Place: Michigan State University, East
Lansing, MI 48824-1321.

Type of Meeting: Part-Open.

Contact Person: Jean Cottam-Allen,
Program Director for Physics Frontier
Centers, Division of Physics, National
Science Foundation, 4201 Wilson Blvd.,
Room 1015, Arlington, VA 22230;
Telephone: (703) 292-8783.

Purpose of Meeting: Site visit to
provide an evaluation of the progress of
the projects at the host site for the
Division of Physics at the National
Science Foundation.

Agenda

April 24, 2017; 8:30 a.m.–9:00 p.m.

8:30 a.m.–12:00 p.m. Panel Session:
Presentations on Center Overview,
Management and Science

12:00 p.m.–1:30 p.m. Lunch with
Graduate Students and Postdocs

1:30–4:00 p.m. Panel Session:
Continued Science Presentations,
Education and Outreach

4:00 p.m.–5:00 p.m. Executive
Session—CLOSED SESSION

5:00 p.m.–7:00 p.m. Poster Session
7:00 p.m.–9:00 p.m. Executive
Session—CLOSED SESSION

April 25, 2017; 8:30 a.m.–4:00 p.m.

8:30 a.m.–10:30 a.m. Meeting with
University Administrators

11:00 a.m.–12:00 p.m. Discussion with
Center Directors

12:00 p.m.–3:00 p.m. Executive
Session—CLOSED SESSION

3:00 p.m.–4:00 p.m. Closeout Session
with Center Directors

Reason for Closing: Topics to be
discussed and evaluated during closed
portions of the site review will include
information of a proprietary or
confidential nature, including technical
information and information on
personnel. These matters are exempt
under 5 U.S.C. 552b(c), (4) and (6) of the
Government in the Sunshine Act.

Dated: March 7, 2017.

Crystal Robinson,
Committee Management Officer.

[FR Doc. 2017-04732 Filed 3-9-17; 8:45 am]

BILLING CODE 7555-01-P

NUCLEAR REGULATORY COMMISSION

**[Docket No. 50-228; License No. R-98; EA-
17-002; NRC-2012-0286]**

In the Matter of Aerotest Operations, Inc.; Aerotest Radiography and Research Reactor; Order Approving Indirect Transfer of Facility Operating License and Conforming Amendment

AGENCY: Nuclear Regulatory
Commission.

ACTION: Indirect transfer of license;
order.

SUMMARY: The U.S. Nuclear Regulatory
Commission (NRC) is issuing an order
approving an application filed by
Aerotest Operations, Inc. (Aerotest) and
Nuclear Labyrinth LLC (Nuclear
Labyrinth) on May 30, 2012. The
application sought NRC approval of the
indirect transfer of Facility Operating
License No. R-98 for the Aerotest
Radiography and Research Reactor
(ARRR), currently held by Aerotest, to
Nuclear Labyrinth. The NRC's approval
of the indirect license transfer is subject
to certain conditions, which are
described in the order. The order also
approves an amendment to the license
for administrative purposes to reflect
the indirect license transfer. The order
is effective upon issuance.

DATES: The order was issued on
February 28, 2017, and is effective for
one year.

ADDRESSES: Please refer to Docket ID
NRC-2012-0286 when contacting the
NRC about the availability of
information regarding this document.
You may obtain publicly-available
information related to this document
using any of the following methods:

- *Federal Rulemaking Web site:* Go to
<http://www.regulations.gov> and search
for Docket ID NRC-2012-0286. Address
questions about NRC dockets to Carol
Gallagher; telephone: 301-415-3463;
email: Carol.Gallagher@nrc.gov. For
technical questions, contact the
individual listed in the **FOR FURTHER
INFORMATION CONTACT** section of this
document.

- *NRC's Agencywide Documents
Access and Management System
(ADAMS):* You may obtain publicly-
available documents online in the
ADAMS Public Documents collection at
[http://www.nrc.gov/reading-rm/
adams.html](http://www.nrc.gov/reading-rm/adams.html). To begin the search, select
“ADAMS Public Documents” and then
select “Begin Web-based ADAMS
Search.” For problems with ADAMS,
please contact the NRC's Public
Document Room (PDR) reference staff at
1-800-397-4209, 301-415-4737, or by
email to pdr.resource@nrc.gov. For the
convenience of the reader, the ADAMS
accession numbers for documents
related to this action are provided in a
table in the “Availability of Documents”
section of this document.

- *NRC's PDR:* You may examine and
purchase copies of public documents at
the NRC's PDR, Room OWFN-01F21,
One White Flint North, 11555 Rockville
Pike, Rockville, Maryland 20852.

FOR FURTHER INFORMATION CONTACT:
Edward Helvenston, Office of Nuclear
Reactor Regulation, U.S. Nuclear
Regulatory Commission, Washington,
DC 20555-0001; telephone: 301-415-
4067; email:
Edward.Helvenston@nrc.gov.

SUPPLEMENTARY INFORMATION:

I. Order

The text of the order is attached.

II. Availability of Documents

Documents related to this action,
including the indirect license transfer
application and other supporting
documentation, are available to
interested persons as indicated.

Document	ADAMS accession No(s).
Aerotest Operations, Inc. and Nuclear Labyrinth LLC, "Application for Approval of Indirect Transfer of Control of License," May 30, 2012.	ML12152A233, ML12180A384
U.S. Nuclear Regulatory Commission, "Request to Aerotest Operations, Inc. and Nuclear Labyrinth LLC to Supplement the License Transfer Application," July 5, 2012.	ML121740317, ML121740343
Aerotest Operations, Inc. and Nuclear Labyrinth LLC, "Response to Request to Aerotest Operations, Inc. and Nuclear Labyrinth LLC to Supplement the License Transfer Application (TAC No. ME8811)," July 19, 2012.	ML122021201
U.S. Nuclear Regulatory Commission, "Aerotest Operations, Inc.—Acceptance of Requested License Transfer Application (TAC No. ME8811)," August 14, 2012.	ML12213A486
U.S. Nuclear Regulatory Commission, "Aerotest Operations, Inc., and Nuclear Labyrinth LLC—Request for Additional Information Re: Application for Approval of Indirect Transfer of Control of License of Aerotest Radiography and Research Reactor Pursuant to 10 CFR 50.80 (TAC No. ME8811)," September 14, 2012.	ML12242A460, ML12242A479
Aerotest Operations, Inc. and Nuclear Labyrinth LLC, "Response to Request for Additional Information Re: Application for Approval of Indirect Transfer of Control of License of Aerotest Radiography and Research Reactor Pursuant to 10 CFR 50.80 (TAC No. ME8811)," October 15, 2012.	ML12291A508
U.S. Nuclear Regulatory Commission, "Aerotest Operations, Inc., and Nuclear Labyrinth LLC—Request for Additional Information Re: Application for Approval of Indirect Transfer of Control of License of Aerotest Radiography and Research Reactor Pursuant to 10 CFR 50.80 (TAC No. ME8811)," December 10, 2012.	ML12339A181, ML12339A189
Aerotest Operations, Inc. and Nuclear Labyrinth LLC, "Response to Request for Additional Information Re: Application for Approval of Indirect Transfer of Control of License of Aerotest Radiography and Research Reactor Pursuant to 10 CFR 50.80 (TAC No. ME8811)," January 10, 2013.	ML13015A395
U.S. Nuclear Regulatory Commission, "Summary of December 19, 2012, Meeting with Aerotest Operations, Inc., and Nuclear Labyrinth LLC, on the Request for Additional Information on the Proposed Indirect License Transfer Application of the Aerotest Radiography and Research Reactor," January 18, 2013.	ML13018A003
U.S. Nuclear Regulatory Commission, "Denial of License Renewal, Denial of License Transfer, and Issuance of Order to Modify License No. R-98 to Prohibit Operation of the Aerotest Radiography and Research Reactor, Facility Operating License No. R-98 (TAC Nos. ME8811 and MC9596)," July 24, 2013.	ML13120A598, ML13129A001, ML13158A164
U.S. Nuclear Regulatory Commission, Memorandum and Order CLI-14-05, April 10, 2014	ML14100A094
U.S. Nuclear Regulatory Commission, Certification of Record to Commission (LBP-14-10), September 5, 2014	ML14248A614
U.S. Nuclear Regulatory Commission, Memorandum and Order CLI-15-26, December 23, 2015	ML15357A201
U.S. Nuclear Regulatory Commission, "Aerotest Operations Inc. and Nuclear Labyrinth LLC Request for Additional Information Re: Opportunity to Supplement 2012 License Transfer Application (TAC No. MF7221)," January 21, 2016.	ML16020A546
Aerotest Operations, Inc. and Nuclear Labyrinth LLC, "Response to Request for Additional Information Re: Opportunity to Supplement 2012 License Transfer Application (TAC No. MF7221)," April 21, 2016.	ML16117A259
Aerotest Operations, Inc. and Nuclear Labyrinth LLC, "Request for Proprietary Treatment for Supplemental Response to Request for Additional Information Re: Opportunity to Supplement 2012 License Transfer Application (TAC No. MF7221)," June 16, 2016.	ML16176A221
U.S. Nuclear Regulatory Commission, "Aerotest Operations, Inc. and Nuclear Labyrinth LLC Request for Additional Information Re: Supplemented 2012 Application for Approval of Indirect Transfer of Control of License of Aerotest Radiography and Research Reactor (TAC No. MF7221)," July 20, 2016.	ML16182A397
Aerotest Operations, Inc. and Nuclear Labyrinth LLC, "Response to Request for Additional Information Re: Opportunity to Supplement 2012 License Transfer Application (TAC No. MF7221)," August 22, 2016.	ML16245A230
U.S. Nuclear Regulatory Commission, "Aerotest Operations, Inc., Notice of Consideration of Approval of Application Regarding Proposed Indirect Transfer and Conforming Amendments, Opportunity for a Hearing, and Order Imposing Procedures for Access to Sensitive Unclassified Non-Safeguards Information," September 8, 2016, published in the Federal Register on September 23, 2016 (81 FR 65677).	ML16214A125, ML16214A121
Aerotest Operations, Inc. and Nuclear Labyrinth LLC, "Response to Request for Additional Information Re: Opportunity to Supplement 2012 License Transfer Application (TAC No. MF7221)," October 10, 2016.	ML16294A250
Aerotest Operations, Inc. and Nuclear Labyrinth LLC, Electronic mail providing clarification on license transfer proposed technical specifications, October 19, 2016.	ML16294A549
Aerotest Operations, Inc. and Nuclear Labyrinth LLC, Electronic mail providing clarification on non-proprietary treatment of certain financial information, and a correction to license transfer proposed technical specifications, November 2, 2016.	ML16312A345

Dated at Rockville, Maryland, this 28th day of February 2017.

For the Nuclear Regulatory Commission.

Spyros A. Traiforos,

Project Manager, Research and Test Reactors Licensing Branch, Division of Policy and Rulemaking, Office of Nuclear Reactor Regulation.

ATTACHMENT—Order Approving Indirect Transfer of Facility Operating License and Conforming Amendment

In the Matter of Aerotest Operations, Inc.; Aerotest Radiography and Research Reactor; [Docket No. 50–228; License No. R–98; EA–17–002; NRC–2012–0286] (EFFECTIVE UPON ISSUANCE)

I.

Aerotest Operations, Inc. (Aerotest) is the holder of Facility Operating License No. R–98 for the Aerotest Radiography and Research Reactor (ARRR), located in San Ramon, Contra Costa County, California. Aerotest is a wholly owned subsidiary of OEA Aerospace, Inc., a wholly owned subsidiary of OEA, Inc., which, in turn, is a wholly owned subsidiary of Autoliv ASP, Inc. (Autoliv). The ultimate owner is Autoliv, Inc.

II.

By application dated May 30, 2012, as supplemented by letters dated July 19, 2012; October 15, 2012; January 10, 2013; April 21, 2016; June 16, 2016; August 22, 2016; and October 10, 2016, Aerotest and Nuclear Labyrinth LLC (Nuclear Labyrinth) (collectively, “the applicants”) requested U.S. Nuclear Regulatory Commission (NRC) approval, pursuant to Title 10 of the *Code of Federal Regulations* (10 CFR), Section 50.80 (10 CFR 50.80), of the indirect transfer of control of the license for the ARRR. The indirect transfer of control would involve the transfer of ownership of Aerotest from OEA Aerospace, Inc. to Nuclear Labyrinth, and would result from the acquisition of Aerotest by Nuclear Labyrinth through a stock transfer. Nuclear Labyrinth would indirectly own 100 percent of the ARRR through its ownership of Aerotest. There would be no direct transfer of the license. Aerotest would continue to own and operate the facility and hold the license. Although the license would be amended for administrative purposes to reflect the transfer, no physical changes to the ARRR facility or operational changes were proposed in the application. Except for the installation of the Chief Executive Officer and sole owner of Nuclear Labyrinth, Dr. David Slaughter, as president of Aerotest, no management or organizational changes were proposed.

By the application dated May 30, 2012, as supplemented by letters dated July 19, 2012, October 15, 2012, and January 10, 2013, the applicants originally requested NRC consent for the subject indirect license transfer. By letter dated July 24, 2013, the NRC staff denied the applicants’ original indirect license transfer application on the grounds that the applicants had failed to satisfy the NRC’s financial qualifications requirements and that the applicants had not shown that there would be sufficient funds to cover the annual cost of fuel storage until the U.S.

Department of Energy accepts the fuel once the facility permanently ceases operations. The applicants filed a joint demand for a hearing on the denial and, on August 12, 2014, a hearing was conducted, at which the applicants presented new information, relevant to the indirect license transfer application, which had not previously been provided to the NRC staff. Based on the information presented by the applicants and the NRC staff at the hearing, the Commission issued an order, dated December 23, 2015, remanding the license transfer application to the NRC staff for further consideration. Subsequently, the applicants further supplemented the application by letters dated April 21, 2016, June 16, 2016, August 22, 2016, and October 10, 2016.

A notice entitled “Aerotest Operations, Inc.; Aerotest Radiography and Research Reactor; Consideration of Approval of Indirect License Transfer and Conforming Amendment” was published in the **Federal Register** on September 23, 2016 (81 FR 65677). No comments or hearing requests were received.

Under 10 CFR 50.80, no license, or any right thereunder, shall be transferred, directly or indirectly, through transfer of control of the license, unless the NRC gives its consent in writing. Upon review of the information in the application, as supplemented, and other information before the Commission, the NRC staff has determined that the indirect transfer of Facility Operating License No. R–98, as described above, is consistent with the applicable provisions of law, regulations, and orders issued by the NRC, pursuant thereto, subject to the conditions set forth below. The NRC staff has further found that the application for the proposed amendment to the license for administrative purposes to reflect the indirect license transfer complies with the standards and requirements of the Atomic Energy Act of 1954, as amended (the Act), and the Commission’s rules and regulations set forth in 10 CFR Chapter I; the facility will operate in conformity with the application, the provisions of the Act, and the rules and regulations of the Commission; there is reasonable assurance that the activities authorized by the proposed license amendment can be conducted without endangering the health and safety of the public and that such activities will be conducted in compliance with the Commission’s regulations; the issuance of the proposed license amendment will not be inimical to the common defense and security or to the health and safety of the public; and the issuance of the proposed amendment will be in accordance with 10 CFR part 51 of the Commission’s regulations and all applicable requirements have been satisfied. The findings set forth above are supported by a safety evaluation dated February 28, 2017.

III.

Accordingly, pursuant to Sections 161b, 161i, and 184 of the Act, 42 U.S.C. Sections 2201(b), 2201(i), and 2234; and 10 CFR 50.80, *it is hereby ordered* that the application regarding the proposed indirect license transfer, as described herein, is approved, subject to the following conditions:

1. A cash secured irrevocable standby letter of credit from a federally insured bank in the

amount of \$300,000 that conforms to the guidance provided in NRC Regulatory Guide 1.159 must be executed at the time of, or before, the transfer.

2. A decommissioning trust fund for the Aerotest Radiography and Research Reactor (ARRR) that conforms to the guidance provided in NRC Regulatory Guide 1.159 must be established at the time of, or before, the transfer.

3. Autoliv, Inc. shall enter into a Funding Agreement with Nuclear Labyrinth, LLC (Nuclear Labyrinth) at the time of, or before, the transfer. Written notice must be given to the NRC of any changes to the Funding Agreement.

4. The Funding Agreement between Autoliv, Inc. and Nuclear Labyrinth shall provide that upon the closing of the transaction of the acquisition by Nuclear Labyrinth of all of the issued and outstanding shares of stock of Aerotest Operations, Inc. (Aerotest) from the previous ultimate owner of this stock, Autoliv, Inc., or its subsidiaries, will make the following transfers of funds:

A. The sum of \$943,225 to an account designated in writing by Nuclear Labyrinth for the benefit of Aerotest intended to fund the operations and maintenance costs of the ARRR for approximately 12 months (Operating Funds). The Operating Funds may only be used as needed to fund the operations and maintenance costs of the ARRR and may not be used by Nuclear Labyrinth for any other purpose. Upon the written request of Aerotest, Nuclear Labyrinth shall distribute such funds from the Operating Funds as Aerotest determines to be necessary to operate and maintain the ARRR.

B. The sum of \$3,376,030 to the decommissioning trust fund for the ARRR.

C. The sum of \$742,410 (plus the interest on this sum to be calculated from October 1, 2010, to the date of acquisition based on the 13-week Treasury bill rate) and the sum of \$625,000 to a segregated account in the decommissioning trust fund for the ARRR (Nuclear Fuel Disposal Funds). The Nuclear Fuel Disposal Funds may only be used to fund the disposal of the ARRR’s nuclear fuel elements pursuant to U.S. Department of Energy Contract DE–CR01–83NE44484, as amended, and to fund the acquisition of fuel element storage casks, respectively, and may not be used for any other purpose.

D. The sum of \$1,500,000 to the financial protection standby trust for the ARRR.

E. The sum of \$1,125,000 to a segregated account in the decommissioning trust fund for the ARRR (Spent Fuel Management Funds). The Spent Fuel Management Funds may only be used to fund the management of the ARRR’s nuclear fuel elements after the permanent cessation of operations of the ARRR and before the acceptance of the fuel by the U.S. Department of Energy.

It is further ordered that, consistent with 10 CFR 2.1315(b), the conforming license amendment that makes administrative changes to reflect the subject indirect license transfer is approved. The amendment shall be issued and made effective at the time the proposed indirect license transfer action is completed.

It is further ordered that, after receipt of all required regulatory approvals of the

proposed indirect license transfer action, Aerotest shall inform the Director of the Division of Policy and Rulemaking in writing of such receipt and of the date of closing of the transfer no later than 7 business days prior to the date of the closing of the transfer. Should the proposed indirect license transfer not be completed by February 28, 2018, this Order shall become null and void, provided, however, that upon written application and for good cause shown, such date may be extended by order.

This Order is effective upon issuance.

For further details with respect to this Order, see the application dated May 30, 2012, as supplemented by letters dated July 19, 2012, October 15, 2012, January 10, 2013, April 21, 2016, June 16, 2016, August 22, 2016, and October 10, 2016 (Agencywide Documents Access and Management System (ADAMS) Accession Nos. for these documents are listed in the “Availability of Documents” section of the **Federal Register** notice to which this order is attached); other documents listed in the “Availability of Documents” section of the **Federal Register** notice; and the NRC Safety Evaluation dated February 28, 2017, which are available for public inspection at the Commission’s Public Document Room (PDR), located at One White Flint North, Public File Area 01 F21, 11555 Rockville Pike (first floor), Rockville, Maryland. Publicly available documents are accessible electronically through ADAMS in the NRC Library at <http://www.nrc.gov/reading-rm/adams.html>. Persons who encounter problems with ADAMS should contact the NRC’s PDR reference staff by telephone at 1–800–397–4209, or 301–415–4737, or by email at pdr.resource@nrc.gov.

Dated at Rockville, Maryland, this 28th day of February, 2017.

For the Nuclear Regulatory Commission.

Louise Lund,

*Director, Division of Policy and Rulemaking,
Office of Nuclear Reactor Regulation.*

[FR Doc. 2017–04756 Filed 3–9–17; 8:45 am]

BILLING CODE 7590–01–P

SECURITIES AND EXCHANGE COMMISSION

[Release No. 34–80161; File No. SR–BX–2017–015]

Self-Regulatory Organizations; NASDAQ BX, Inc.; Notice of Filing and Immediate Effectiveness of Proposed Rule Change Related to Billing Ports and Other Services

March 6, 2017.

Pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934 (“Act”),¹ and Rule 19b–4 thereunder,² notice is hereby given that on February 21, 2017, NASDAQ BX, Inc. (“BX” or “Exchange”) filed with the Securities and Exchange Commission (“SEC” or

“Commission”) the proposed rule change as described in Items I and II below, which Items have been prepared by the Exchange. The Commission is publishing this notice to solicit comments on the proposed rule change from interested persons.

I. Self-Regulatory Organization’s Statement of the Terms of Substance of the Proposed Rule Change

The Exchange proposes to clarify that BX Options Market port fees and other services in Chapter VX, Section 3 of BX Rules are not prorated.

The text of the proposed rule change is available on the Exchange’s Web site at <http://nasdaqbx.cchwallstreet.com/>, at the principal office of the Exchange, and at the Commission’s Public Reference Room.

II. Self-Regulatory Organization’s Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change

In its filing with the Commission, the Exchange included statements concerning the purpose of and basis for the proposed rule change and discussed any comments it received on the proposed rule change. The text of these statements may be examined at the places specified in Item IV below. The Exchange has prepared summaries, set forth in sections A, B, and C below, of the most significant aspects of such statements.

A. Self-Regulatory Organization’s Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change

1. Purpose

The purpose of the proposed rule change is to include language within Chapter XV, Section 3 to clarify that the port fees and other services noted in this section are not subject to proration.

Chapter XV, Section 3, entitled “BX Options Market—Ports and other Services” includes pricing for TradeInfo BX,³ various port fees and an Extranet Access Fee.⁴ The port fees include

³ TradeInfo allows a BX Options Participant to scan for all orders it submitted to BX Options in a particular security or all orders of a particular type, regardless of their status (open, canceled, executed, etc.) [sic] Also, it permits a participant to cancel open orders at the port or firm mnemonic level. TradeInfo allows a BX Options Participant to manage its order flow and mitigate risk by giving users the ability to view its orders and executions, as well as the ability to perform cancels at the port or firm mnemonic level. Finally, TradeInfo BX has the ability download records of orders and executions for recordkeeping purposes.

⁴ The Extranet Access Fee is a monthly access fee per recipient Customer Premises Equipment (“CPE”) Configuration. A “Customer Premises

Order Entry Ports,⁵ CTI Ports,⁶ BX Depth Ports,⁷ BX TOP Ports,⁸ Order Entry DROP Ports⁹ and SQF Ports.¹⁰

Equipment Configuration” means any line, circuit, router package, or other technical configuration used by an extranet provider to provide a direct access connection to the Exchange market data feeds to a recipient’s site.

⁵ The Order Entry Port Fee is a connectivity fee in connection with routing orders to the Exchange via an external order entry port. BX Options Market Participants access the Exchange’s network through order entry ports. A BX Options Market Participant may have more than one order entry port.

⁶ CTI offers real-time clearing trade updates. A real-time clearing trade update is a message that is sent to a member after an execution has occurred and contains trade details. The message containing the trade details is also simultaneously sent to The Options Clearing Corporation. The trade messages are routed to a member’s connection containing certain information. The administrative and market event messages include, but are not limited to: System event messages to communicate operational-related events; options directory messages to relay basic option symbol and contract information for options traded on the Exchange; complex strategy messages to relay information for those strategies traded on the Exchange; trading action messages to inform market participants when a specific option or strategy is halted or released for trading on the Exchange; and an indicator which distinguishes electronic and non-electronically delivered orders.

⁷ A BX Depth Port provides access to BX Depth, which is a data feed that provides quotation information for individual orders on the BX book, last sale information for trades executed on BX, and Order Imbalance Information as set forth in BX Options Rules Chapter VI, Section 8. BX Depth is the options equivalent of the BX TotalView/ITCH data feed that BX offers under BX Rule 7023 with respect to equities traded on BX. As with TotalView, members use BX Depth to “build” their view of the BX book by adding individual orders that appear on the feed, and subtracting individual orders that are executed. See Chapter VI, Section 1(a)(3)(A).

⁸ BX TOP Port is a data feed that provides the BX Best Bid and Offer (“BBO”) and last sale information for trades executed on BX. The BBO and last sale information are identical to the information that BX sends to the Options Price Regulatory Authority (“OPRA”) and which OPRA disseminates via the consolidated data feed for options. BX TOP Port is the options equivalent of the BX Basic data feed offered for equities under BX Rule 7047. See Chapter VI, Section 1(a)(3)(B).

⁹ The DROP interface provides real time information regarding orders sent to the BX Options Market and executions that occurred on the BX Options Market. The DROP interface is not a trading interface and does not accept order messages.

¹⁰ SQF is an interface that allows BX Market Makers to connect and send quotes and sweeps into the System. Data includes the following: (1) Options Auction Notifications (e.g., opening imbalance, market exhaust, PRISM Auction information, or other information); (2) Options Symbol Directory Messages; (3) System Event Messages (e.g., start of messages, start of system hours, start of quoting, start of opening); (4) Option Trading Action Messages (e.g., halts, resumes); and (5) Quote Messages (quote/sweep messages, risk protection triggers or purge notifications). An Active Purge Port may be configured as a “Purge-only” port of purging option interest from the Exchange’s system and allowing entry of underlying-level purges for a specified range of options and delivery of Purge Notification messages identifying the identification of who submitted the purge and the underlying symbol.

¹ 15 U.S.C. 78s(b)(1).

² 17 CFR 240.19b–4.