

- c. Legislative Report
- 3. Mid-Year Financial Audit
- 4. Audit Status
- 5. Capital Markets and L Fund
- 6. Human Capital Review/ORM Report
- 7. Quarterly Vendor Financials

Parts Closed to the Public

- 1. Personnel
- 2. Security

CONTACT PERSON FOR MORE INFORMATION:
Kimberly Weaver, Director, Office of External Affairs, (202) 942-1640.

Dated: October 17, 2013.

Megan Grumbine,

Acting Secretary, Federal Retirement Thrift Investment Board.

[FR Doc. 2013-24717 Filed 10-17-13; 4:15 pm]

BILLING CODE 6760-01-P

NATIONAL CREDIT UNION ADMINISTRATION

Sunshine Act; Notice of Agency Meeting

TIME AND DATE: 10:00 a.m., Thursday, October 24, 2013.

PLACE: Board Room, 7th Floor, Room 7047, 1775 Duke Street (All visitors must use Diagonal Road Entrance), Alexandria, VA 22314-3428.

STATUS: Open.

MATTERS TO BE CONSIDERED:

1. Board Briefing, Interagency Rule, NCUA's Rules and Regulations, Loans in Areas Having Special Flood Hazards.
2. Quarterly National Credit Union Share Insurance Fund Report.
3. NCUA's Rules and Regulations, Electronic Filing of Financial Reports.
4. NCUA's Rules and Regulations, Liquidity and Contingency Funding Plans.
5. NCUA's Rules and Regulations, Credit Union Capital Planning and Stress Testing.

RECESS: 11:30 a.m.

TIME AND DATE: 11:45 a.m., Thursday, October 24, 2013.

PLACE: Board Room, 7th Floor, Room 7047, 1775 Duke Street, Alexandria, VA 22314-3428.

STATUS: Closed.

MATTERS TO BE CONSIDERED:

1. Request under Section 205(d) of the Federal Credit Union Act. Closed pursuant to exemption (6).
2. Request under Section 205(d) of the Federal Credit Union Act. Closed pursuant to exemption (6).

FOR FURTHER INFORMATION CONTACT:
Gerard Poliquin, Secretary of the Board, Telephone: 703-518-6304.

Gerard Poliquin,

Secretary of the Board.

[FR Doc. 2013-24650 Filed 10-17-13; 4:15 pm]

BILLING CODE 7535-01-P

POSTAL SERVICE

Board of Governors; Sunshine Act Meeting

DATES AND TIMES: October 22, 2013, at 9:00 a.m.

PLACE: Washington, DC.

STATUS: Closed.

MATTERS TO BE CONSIDERED:

Tuesday, October 22, 2013 at 9:00 a.m.

1. Strategic Issues.
2. Financial Matters.
3. Pricing.
4. Personnel Matters and Compensation Issues.
5. Governors' Executive Session—Discussion of prior agenda items and Board Governance.

CONTACT PERSON FOR MORE INFORMATION:
Julie S. Moore, Secretary of the Board, U.S. Postal Service, 475 L'Enfant Plaza, SW., Washington, DC 20260-1000. Telephone (202) 268-4800.

Julie S. Moore,
Secretary.

[FR Doc. 2013-24723 Filed 10-17-13; 4:15 pm]

BILLING CODE 7710-12-P

SECURITIES AND EXCHANGE COMMISSION

Sunshine Act Meeting

Notice is hereby given, pursuant to the provisions of the Government in the Sunshine Act, Public Law 94-409, that the Securities and Exchange Commission will hold an Open Meeting on October 8, 2013, at 10:00 a.m., and a Closed Meeting at 2:00 p.m., in Room 10800 at the Commission's headquarters building.

The subject matters of October 8, 2013 Open Meeting will be:

1. The Commission will hear oral argument in an appeal by China-Biotics, Inc. from the initial decision of an administrative law judge.

The law judge found that China-Biotics, Inc., an issuer with securities registered under Section 12 of the Securities Exchange Act of 1934, violated Exchange Act Section 13(a) and Rules 13a-1 and 13a-13 by failing to file timely periodic reports. The law judge

found that the company failed to file a timely annual report for its fiscal year ended March 31, 2011 and failed to file timely subsequent quarterly reports. The law judge ordered that the registration of the company's securities be revoked.

The issues likely to be considered at oral argument include whether revocation is in the public interest.

2. The Commission will also hear oral argument in an appeal by Gordon Brent Pierce from an initial decision of an administrative law judge.

The law judge found that Pierce violated Sections 5(a) and 5(c) of the Securities Act of 1933 in connection with unregistered offerings and sales of stock of Lexington Resources, Inc. ("Lexington") through accounts in the names of two offshore companies he controlled (the "Corporate Accounts"). The law judge ordered Pierce to disgorge ill-gotten gains of \$7,247,635.75, plus prejudgment interest.

The issues likely to be considered at oral argument include whether res judicata precluded the bringing of this proceeding (the "Second Proceeding") against Pierce, who was previously found to have traded unregistered shares of Lexington in a personal account; whether Pierce fraudulently concealed evidence that would have enabled the Division of Enforcement to include the charges of unregistered sales through the Corporate Accounts in the earlier proceeding, and if so, whether Pierce's fraudulent concealment allowed the Commission to initiate the Second Proceeding notwithstanding the res judicata doctrine; and whether disgorgement of trading proceeds from the Corporate Accounts is warranted under the circumstances.

The General Counsel of the Commission, or her designee, has certified that, in her opinion, one or more of the exemptions set forth in 5 U.S.C. 552b(c)(3), (5), (7), 9(B) and (10) and 17 CFR 200.402(a)(3), (5), (7), 9(ii) and (10), permit consideration of the scheduled matter at the Closed Meeting.

Commissioner Piwowar, as duty officer, voted to consider the items listed for the Closed Meeting in a closed session.

The subject matters of October 8, 2013 Closed Meeting will be:

Post argument discussions

For further information, please contact the Office of the Secretary at (202) 551-5400.

Dated: October 1, 2013.

Elizabeth M. Murphy,
Secretary.

EDITORIAL NOTE: This document was received by the Office of the Federal Register on October 17, 2013.

[FR Doc. 2013-24582 Filed 10-17-13; 4:15 pm]

BILLING CODE 8011-01-P

SECURITIES AND EXCHANGE COMMISSION

Sunshine Act Meeting

Notice is hereby given, pursuant to the provisions of the Government in the Sunshine Act, Public Law 94-409, that the Securities and Exchange Commission will hold a Closed Meeting on Thursday, October 3, 2013 at 10:30 a.m.

Commissioners, Counsel to the Commissioners, the Secretary to the Commission, and recording secretaries will attend the Closed Meeting. Certain staff members who have an interest in the matters also may be present.

The General Counsel of the Commission, or her designee, has certified that, in her opinion, one or more of the exemptions set forth in 5 U.S.C. 552b(c)(3), (5), (7), 9(B) and (10) and 17 CFR 200.402(a)(3), (5), (7), 9(ii) and (10), permit consideration of the scheduled matter at the Closed Meeting.

Commissioner Stein, as duty officer, voted to consider the items listed for the Closed Meeting in a closed session.

The subject matter of the Closed Meeting will be:

Institution and settlement of injunctive actions;

Institution and settlement of administrative proceedings;

Adjudicatory matters; and

Other matters relating to enforcement proceedings.

At times, changes in Commission priorities require alterations in the scheduling of meeting items.

For further information and to ascertain what, if any, matters have been added, deleted or postponed, please contact the Office of the Secretary at (202) 551-5400.

Dated: September 26, 2013.

Elizabeth M. Murphy,
Secretary.

EDITORIAL NOTE: This document was received by the Office of the Federal Register on October 17, 2013.

[FR Doc. 2013-24581 Filed 10-17-13; 4:15 pm]

BILLING CODE 8011-01-P

SECURITIES AND EXCHANGE COMMISSION

Sunshine Act Meeting

Notice is hereby given, pursuant to the provisions of the Government in the Sunshine Act, Public Law 94-409, that the Securities and Exchange Commission will hold a Closed Meeting on Thursday, October 10, 2013 at 2:00 p.m.

Commissioners, Counsel to the Commissioners, the Secretary to the Commission, and recording secretaries will attend the Closed Meeting. Certain staff members who have an interest in the matters also may be present.

The General Counsel of the Commission, or her designee, has certified that, in her opinion, one or more of the exemptions set forth in 5 U.S.C. 552b(c)(3), (5), (7), 9(B) and (10) and 17 CFR 200.402(a)(3), (5), (7), 9(ii) and (10), permit consideration of the scheduled matter at the Closed Meeting.

Commissioner Piwowar, as duty officer, voted to consider the items listed for the Closed Meeting in a closed session.

The subject matter of the Closed Meeting will be:

Institution and settlement of injunctive actions;

Institution and settlement of administrative proceedings; and
Other matters relating to enforcement proceedings.

At times, changes in Commission priorities require alterations in the scheduling of meeting items.

For further information and to ascertain what, if any, matters have been added, deleted or postponed, please contact the Office of the Secretary at (202) 551-5400.

Dated: October 3, 2013.

Kevin M. O'Neill,
Deputy Secretary.

EDITORIAL NOTE: This document was received by the Office of the Federal Register on October 17, 2013.

[FR Doc. 2013-24583 Filed 10-17-13; 4:15 pm]

BILLING CODE 8011-01-P

SECURITIES AND EXCHANGE COMMISSION

Sunshine Act Meeting

Notice is hereby given, pursuant to the provisions of the Government in the Sunshine Act, Public Law 94-409, that the Securities and Exchange Commission will hold a Closed Meeting on Thursday, October 17, 2013 at 2:00 p.m.

Commissioners, Counsel to the Commissioners, the Secretary to the Commission, and recording secretaries will attend the Closed Meeting. Certain staff members who have an interest in the matters also may be present.

The General Counsel of the Commission, or her designee, has certified that, in her opinion, one or more of the exemptions set forth in 5 U.S.C. 552b(c)(3), (5), (7), 9(B) and (10) and 17 CFR 200.402(a)(3), (5), (7), 9(ii) and (10), permit consideration of the scheduled matter at the Closed Meeting.

Commissioner Gallagher, as duty officer, voted to consider the items listed for the Closed Meeting in a closed session.

The subject matter of the Closed Meeting will be:

Institution and settlement of injunctive actions;

Institution and settlement of administrative proceedings;
Adjudicatory matters; and

Other matters relating to enforcement proceedings.

At times, changes in Commission priorities require alterations in the scheduling of meeting items.

For further information and to ascertain what, if any, matters have been added, deleted or postponed, please contact the Office of the Secretary at (202) 551-5400.

Dated: October 10, 2013.

Kevin M. O'Neill,
Deputy Secretary.

EDITORIAL NOTE: This document was received by the Office of the Federal Register on October 17, 2013.

[FR Doc. 2013-24584 Filed 10-17-13; 4:15 pm]

BILLING CODE 8011-01-P

DEPARTMENT OF TRANSPORTATION

Federal Motor Carrier Safety Administration

Sunshine Act Meetings; Unified Carrier Registration Plan Board of Directors

AGENCY: Federal Motor Carrier Safety Administration (FMCSA), DOT.

ACTION: Notice of Unified Carrier Registration Plan Board of Directors Meeting.

TIME AND DATE: The meeting will be held on October 31, 2013, from 12:00 Noon to 3:00 p.m., Eastern Daylight Time.

PLACE: This meeting will be open to the public via conference call. Any interested person may call 1-877-820-7831, passcode, 908048 to listen and participate in this meeting.