

# SECURITIES AND EXCHANGE COMMISSION

[Release No. 34-71728; File No. SR-NYSEMKT-2014-08]

## Self-Regulatory Organizations; NYSE MKT LLC; Order Approving Proposed Rule Change, as Modified by Amendment No. 1, Amending Various Sections of Both the Limited Liability Company Agreement of NYSE Amex Options LLC Dated as of June 29, 2011 and the Members Agreement Dated as of June 29, 2011 By and Among the Company, NYSE MKT, NYSE Euronext, Banc of America Strategic Investments Corporation, Barclays Electronic Commerce Holdings Inc., Citadel Securities LLC, Citigroup Financial Strategies, Inc., Goldman, Sachs & Co., Datek Online Management Corp. and UBS Americas Inc. in Order To Make Certain Technical Changes Within the Aforementioned Agreements

March 14, 2014.

On January 14, 2014, NYSE MKT LLC ("NYSE MKT" or "Exchange") filed with the Securities and Exchange Commission ("Commission"), pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934 ("Act")<sup>1</sup> and Rule 19b-4 thereunder,<sup>2</sup> a proposed rule change to amend various sections of both the Limited Liability Company Agreement of NYSE Amex Options LLC ("Company") dated as of June 29, 2011 ("LLC Agreement") and the Members Agreement dated as of June 29, 2011 by and among the Company, NYSE MKT,

NYSE Euronext, Banc of America Strategic Investments Corporation, Barclays Electronic Commerce Holdings Inc., Citadel Securities LLC, Citigroup Financial Strategies, Inc., Goldman, Sachs & Co., Datek Online Management Corp. and UBS Americas Inc. (collectively, excluding the Company, NYSE MKT and NYSE Euronext, the "Founding Firms") ("Members Agreement") in order to make certain technical modifications and clarifications to certain provisions of the LLC Agreement and the Members Agreement. The proposed rule change was published for comment in the **Federal Register** on January 31, 2014.<sup>3</sup> The Commission received no comments on the proposal. On March 12, 2014, the Exchange filed Amendment No. 1 to the proposed rule change.<sup>4</sup> This order approves the proposed rule change as modified by Amendment No. 1.

After careful review, the Commission finds that the proposed rule change is consistent with the requirements of the Act and the rules and regulations thereunder applicable to a national securities exchange<sup>5</sup> and, in particular, the requirements of Sections 6(b)(1) and 6(b)(5) of the Act.<sup>6</sup> The proposal makes certain technical modifications and clarifications to certain provisions of the LLC Agreement and the Members Agreement, as well as several typographical corrections. The Commission notes that it previously found the LLC Agreement and the Members Agreement to be consistent with the Act, and in particular Sections

6(b)(1) and 6(b)(5),<sup>7</sup> and that the proposed rule change does not substantively change the relevant provisions of such agreements.<sup>8</sup>

For the foregoing reasons, the Commission believes that the proposed rule change is consistent with the Act.

*It is therefore ordered*, pursuant to Section 19(b)(2) of the Act,<sup>9</sup> that the proposed rule change (SR-NYSEMKT-2014-08), as modified by Amendment No. 1, be, and it hereby is, approved.

For the Commission, by the Division of Trading and Markets, pursuant to delegated authority.<sup>10</sup>

**Kevin M. O'Neill,**  
*Deputy Secretary.*

[FR Doc. 2014-06125 Filed 3-19-14; 8:45 am]

**BILLING CODE 8011-01-P**

## DEPARTMENT OF THE TREASURY

### United States Mint

### Pricing for the 2014 National Baseball Hall of Fame Commemorative Coin Program—Silver and Clad Coin Options

**AGENCY:** United States Mint, Department of the Treasury.

**ACTION:** Notice.

**SUMMARY:** The United States Mint is announcing the following prices for the 2014 National Baseball Hall of Fame Commemorative Coin Program for the silver and clad coin options:

Product	Introductory price	Regular price
2014 National Baseball Hall of Fame Proof \$5 Gold Coin .....	Per pricing grid * .....	Per pricing grid.*
2014 National Baseball Hall of Fame Uncirculated \$5 Gold Coin * .....	Per pricing grid * .....	Per pricing grid.*
2014 National Baseball Hall of Fame Proof Silver Dollar .....	\$51.95 .....	\$56.95.
2014 National Baseball Hall of Fame Uncirculated Silver Dollar .....	\$47.95 .....	\$52.95.
2014 National Baseball Hall of Fame Proof Clad Half-Dollar .....	\$19.95 .....	\$23.95.
2014 National Baseball Hall of Fame Uncirculated Clad Half-Dollar .....	\$18.95 .....	\$22.95.

\* Gold coins will be priced according to the pricing grid posted in 2013.

### FOR FURTHER INFORMATION CONTACT:

Marc Landry, Acting Associate Director for Sales and Marketing, United States Mint, 801 9th Street NW., Washington, DC 20220; or call 202-354-7500.

**Authority:** 31 U.S.C. 5111, 5112 & 9701; Pub. L. 112-152, sec. 6.

Dated: March 14, 2014.

**Richard A. Peterson,**

*Deputy Director, United States Mint.*

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<sup>1</sup> 15 U.S.C. 78s(b)(1).

<sup>2</sup> 17 CFR 240.19b-4.

<sup>3</sup> See Securities Exchange Act Release No. 71408 (January 27, 2014), 79 FR 5481 ("Notice").

<sup>4</sup> Amendment No. 1 made three non-substantive corrections to the rule text marking in Exhibit 5 of the proposal. Because Amendment No. 1 is technical in nature, the Commission is not required to publish it for public comment.

<sup>5</sup> In approving this proposed rule change, the Commission has considered the proposed rule's

impact on efficiency, competition, and capital formation. See 15 U.S.C. 78c(f).

<sup>6</sup> 15 U.S.C. 78f(b)(1) and 78f(b)(5).

<sup>7</sup> See Securities Exchange Act Release No. 64742 (June 24, 2011), 76 FR 38436 (June 30, 2011) (SR-NYSEAmex-2011-18). See also Securities Exchange Act Release No. 67902 (September 21, 2012), 77 FR 59423 (September 27, 2012) (SR-NYSEMKT-2012-23).

<sup>8</sup> The Commission also notes that the Exchange represented in its proposal that the technical

modifications made by the proposed rule change are not intended to substantively change the relevant provisions of the LLC Agreement or the Members Agreement, but only to ensure that such agreements clearly reflect the original intentions of the parties to those agreements. See Notice, *supra* note 3, 79 FR 5482 (January 31, 2014).

<sup>9</sup> 15 U.S.C. 78s(b)(2).

<sup>10</sup> 17 CFR 200.30-3(a)(12).