

*Electronic Comments:*

- Use the Commission's internet comment form (<http://www.sec.gov/rules/sro.shtml>); or
- Send an email to [rule-comments@sec.gov](mailto:rule-comments@sec.gov). Please include File Number SR-BOX-2022-29 on the subject line.

*Paper Comments:*

- Send paper comments in triplicate to Secretary, Securities and Exchange Commission, 100 F Street NE, Washington, DC 20549-1090.

All submissions should refer to File Number SR-BOX-2022-29. This file number should be included on the subject line if email is used. To help the Commission process and review your comments more efficiently, please use only one method. The Commission will post all comments on the Commission's internet website (<http://www.sec.gov/rules/sro.shtml>). Copies of the submission, all subsequent amendments, all written statements with respect to the proposed rule change that are filed with the Commission, and all written communications relating to the proposed rule change between the Commission and any person, other than those that may be withheld from the public in accordance with the provisions of 5 U.S.C. 552, will be available for website viewing and printing in the Commission's Public Reference Room, 100 F Street NE, Washington, DC 20549, on official business days between the hours of 10:00 a.m. and 3:00 p.m. Copies of such filing also will be available for inspection and copying at the principal office of the Exchange. All comments received will be posted without change. Persons submitting comments are cautioned that we do not redact or edit personal identifying information from comment submissions. You should submit only information that you wish to make available publicly. All submissions should refer to File Number SR-BOX-2022-29 and should be submitted on or before December 16, 2022.

For the Commission, by the Division of Trading and Markets, pursuant to delegated authority.<sup>22</sup>

**Sherry R. Haywood,**

*Assistant Secretary.*

[FR Doc. 2022-25666 Filed 11-23-22; 8:45 am]

**BILLING CODE 8011-01-P**

## SECURITIES AND EXCHANGE COMMISSION

[Release No. 34-96354]

### Order Cancelling Registrations of Certain Transfer Agents

November 18, 2022.

On September 27, 2022, notice was published in the **Federal Register** that the Securities and Exchange Commission ("Commission") intended to issue an order, pursuant to section 17A(c)(4)(B) of the Securities Exchange Act of 1934 ("Act"),<sup>1</sup> cancelling the registrations of certain transfer agents.<sup>2</sup> For the reasons discussed below, the Commission is cancelling the registration of the transfer agents identified in the attached Appendix.

**FOR FURTHER INFORMATION CONTACT:** Moshe Rothman, Assistant Director, or Catherine Whiting, Special Counsel, at (202) 551-4990, U.S. Securities and Exchange Commission, Division of Trading and Markets, 100 F Street NE, Washington, DC 20549 or by email to [tradingandmarkets@sec.gov](mailto:tradingandmarkets@sec.gov) with the phrase "Order Cancelling Transfer Agent Registration" in the subject line.

#### Background

Section 17A(c)(4)(B) of the Act provides that if the Commission finds that any transfer agent registered with the Commission is no longer in existence or has ceased to do business as a transfer agent, the Commission shall by order cancel that transfer agent's registration. On September 27, 2022, the Commission published notice of its intention to cancel the registration of certain transfer agents whom it believed were no longer in existence or had ceased doing business as transfer agents.<sup>3</sup>

In the notice, the Commission identified 52 such transfer agents and stated that at any time after November 1, 2022, the Commission intended to issue an order canceling the registrations of any or all of the identified transfer agents. The Commission received no responses to the notice.

Accordingly, the Commission is cancelling the registrations of the 52 transfer agents identified in the Appendix attached to this Order.

#### Order

On the basis of the foregoing, the Commission finds that each of the transfer agents whose name appears in

the attached Appendix either is no longer in existence or has ceased doing business as a transfer agent.

It is therefore ordered pursuant to Section 17A(c)(4)(B) of the Act that the registration as a transfer agent of each of the transfer agents whose name appears in the attached Appendix be and hereby is cancelled.

For the Commission by the Division of Trading and Markets pursuant to delegated authority.<sup>4</sup>

Action as set forth or recommended herein *approved* pursuant to authority delegated by the Commission under Public Law 87-592.

For the Division of Trading and Markets.

**Moshe Rothman,**

*Assistant Director.*

**J. Matthew DeLesDernier,**

*Deputy Secretary.*

#### Appendix

Transfer agent name	File No.
Advanced Fund Administration, LLC	084-06396
Ameritor Financial Corp .....	084-00018
Andesa Services, Inc .....	084-06233
Bank Of Commerce & Trust Co .....	084-06235
Colbent Corp .....	084-05927
Cronos Capital Corp .....	084-00977
Donald Rivers Goolsby Whfit .....	084-06560
Dynamic Transfer Services Corp .....	084-06394
Fidelity Transfer Services, Inc .....	084-06405
Financial Data Services Inc .....	084-01339
First National Bank In Sioux Falls ....	084-06228
Foresight Asset Management LLC ...	084-06051
Gartmore Investors Services, Inc .....	084-06229
Grohe Aktiengesellschaft .....	084-06022
Gulf Registrar And Transfer Corp ....	084-06136
Hartford Investor Services Co LLC ...	084-05882
Interstate Transfer Co .....	084-05573
M & K Produce Inc .....	084-06183
National Western Life Insurance Co	084-00693
Orbitex Fund Services Inc .....	084-01493
Orion Share Transfer LLC .....	084-06295
Patriot Stock Transfer LLC .....	084-06382
Portfolios Inc .....	084-05551
Preferred Partnership Services Inc ...	084-05747
Presidential Life Corp .....	084-00816
Pyxis Global Financial Services .....	084-06463
Republic Stock Transfer Inc .....	084-01124
Reserve Fund .....	084-00449
Reserve Management Corp .....	084-05838
Reserve Petroleum Co .....	084-00630
Reserve Short-Term Investment Trust .....	084-06156
Retirement System Consultants Inc ..	084-01972
SCC Transfer, LLC .....	084-06579
Seligman Common Stock Fund Inc ...	084-00503
Seligman Core Fixed Income Fund Inc .....	084-05921
Seligman High Income Fund Series	084-01266
Seligman New Jersey Municipal Fund Inc .....	084-01686
Seligman Pennsylvania Municipal Fund Series Inc .....	084-01486
Seligman Select Municipal Fund Inc	084-01896
Seligman Tax-Aware Fund, Inc .....	084-05894
Tass LLC .....	084-06115
The Provo Group, Inc .....	084-05890
Travelers Rest Resort Inc .....	084-06056
Truman Stock Transfer LLC .....	084-06320
Universal Stock Transfer Co., Inc ....	084-06308
Wall Street Transfer Agents Inc .....	084-06203
West Coast Stock Transfer, Inc .....	084-06138

<sup>1</sup> 15 U.S.C. 78q-1(c)(4)(B).

<sup>2</sup> Securities Exchange Act Release No. 34-95855 (Sept. 21, 2022), 87 FR 58590 (Sept. 27, 2022).

<sup>3</sup> *Id.*

<sup>4</sup> 17 CFR 200.30-3(a)(22).

<sup>22</sup> 17 CFR 200.30-3(a)(12), (59).

Transfer agent name	File No.
American Heritage Stock Transfer, Inc .....	084-06137
Dominion Filing And Transfer Inc .....	084-06514
European Fund Services S.A .....	084-06182
Pioneer Global Investments Ltd .....	084-05682
Law Debenture Trust Co Of New York .....	084-06087

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## SECURITIES AND EXCHANGE COMMISSION

### Sunshine Act Meetings

**TIME AND DATE:** Notice is hereby given, pursuant to the provisions of the Government in the Sunshine Act, Public Law 94-409, that the Securities and Exchange Commission Investor Advisory Committee will hold a public meeting on Thursday, December 8, 2022. The meeting will begin at 10:00 a.m. (ET) and will be open to the public.

**PLACE:** The meeting will be conducted by remote means. Members of the public may watch the webcast of the meeting on the Commission's website at [www.sec.gov](http://www.sec.gov).

**STATUS:** The meeting will begin at 10:00 a.m. (ET) and will be open to the public via webcast on the Commission's website at [www.sec.gov](http://www.sec.gov). This Sunshine Act notice is being issued because a majority of the Commission may attend the meeting.

**Public Comment:** The public is invited to submit written statements to the Committee. Written statements should be received on or before December 7, 2022.

Written statements may be submitted by any of the following methods:

#### Electronic Statements

- Use the Commission's internet submission form (<http://www.sec.gov/rules/other.shtml>); or
- Send an email message to [rules-comments@sec.gov](mailto:rules-comments@sec.gov). Please include File No. 265-28 on the subject line; or

#### Paper Electronic Statements

- Send paper statements to Vanessa A. Countryman, Secretary, Securities and Exchange Commission, 100 F Street NE, Washington, DC 20549-1090. All submissions should refer to File No. 265-28. This file number should be included on the subject line if email is used. To help us process and review your statement more efficiently, please use only one method.

Statements also will be available for website viewing and printing in the Commission's Public Reference Room, 100 F Street NE, Room 1503,

Washington, DC 20549, on official business days between the hours of 10:00 a.m. and 3:00 p.m. All statements received will be posted without change. Persons submitting comments are cautioned that we do not redact or edit personal identifying information from comment submissions. You should submit only information that you wish to make available publicly.

**MATTERS TO BE CONSIDERED:** The agenda for the meeting includes: welcome, announcement of a new access and inclusion working group, and opening remarks; approval of previous meeting minutes; a panel discussion on account statement disclosure entitled "Do client statements adequately serve investors?"; a panel discussion regarding corporate tax transparency; a panel discussion regarding single-stock exchange-traded funds; subcommittee reports; access and inclusion working group report, and a non-public administrative session.

**CONTACT PERSON FOR MORE INFORMATION:** For further information and to ascertain what, if any, matters have been added, deleted or postponed; please contact Vanessa A. Countryman from the Office of the Secretary at (202) 551-5400.

*Authority:* 5 U.S.C. 552b.

Dated: November 22, 2022.

**Sherry R. Haywood,**

*Assistant Secretary.*

[FR Doc. 2022-25927 Filed 11-22-22; 4:15 pm]

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## SECURITIES AND EXCHANGE COMMISSION

[Release No. 34-96361; File No. SR-NYSE-2022-53]

### Self-Regulatory Organizations; New York Stock Exchange LLC; Notice of Filing and Immediate Effectiveness of Proposed Rule Change To Amend Its Price List

November 18, 2022.

Pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934 (the "Act"),<sup>1</sup> and Rule 19b-4 thereunder,<sup>2</sup> notice is hereby given that on November 14, 2022, New York Stock Exchange LLC ("NYSE" or the "Exchange") filed with the Securities and Exchange Commission (the "Commission") the proposed rule change as described in Items I, II, and III below, which Items have been prepared by the self-regulatory organization. The Commission is publishing this notice to

solicit comments on the proposed rule change from interested persons.

### I. Self-Regulatory Organization's Statement of the Terms of Substance of the Proposed Rule Change

The Exchange proposes to amend its Price List to introduce monthly quoting incentives for Designated Market Makers ("DMM") in assigned Exchange Traded Products ("ETP") for the first 12 months following listing on the Exchange. The Exchange proposes to implement the fee changes effective November 14, 2022. The proposed rule change is available on the Exchange's website at [www.nyse.com](http://www.nyse.com), at the principal office of the Exchange, and at the Commission's Public Reference Room.

### II. Self-Regulatory Organization's Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change

In its filing with the Commission, the self-regulatory organization included statements concerning the purpose of, and basis for, the proposed rule change and discussed any comments it received on the proposed rule change. The text of those statements may be examined at the places specified in Item IV below. The Exchange has prepared summaries, set forth in sections A, B, and C below, of the most significant parts of such statements.

#### A. Self-Regulatory Organization's Statement of the Purpose of, and the Statutory Basis for, the Proposed Rule Change

##### 1. Purpose

The Exchange proposes monthly quoting incentives for DMMs in assigned ETPs for the first 12 months following listing on the Exchange while that ETP is listed on the Exchange.

The proposed change responds to the current competitive environment where order flow providers have a choice of where to direct orders by offering incentives to DMMs to quote and trade at the national best bid or offer ("NBBO")<sup>3</sup> in assigned ETPs during the first 12 months following the ETP's listing on the Exchange. The Exchange also hopes thereby to encourage additional ETPs to list and trade on the Exchange.

The Exchange proposes to implement the fee changes effective November 14, 2022.

<sup>3</sup> See Rule 1.1(r) (definition of NBBO, Best Protected Bid, Best Protected Offer, Protected Best Bid and Offer (PBB)).

<sup>1</sup> 15 U.S.C. 78s(b)(1).

<sup>2</sup> 17 CFR 240.19b-4.