

acceptance, and processing when in use. The field test will employ available communications and will interface with current operational systems to exercise all system functions.

The manager, PTM, will review the executive summary of the provider-proposed test plan for limited distribution field testing. The review will be based on, but not limited to, the assessed revenue risk of the system, system impact on Postal Service operations, and requirements for Postal Service resources. Approval may be based in whole or in part on the anticipated mail volume, mail characteristics, and mail origination and destination patterns of the proposed system. For systems designed for use by an individual meter user, product users engaged in field testing must be approved by the Postal Service before they are allowed to participate in the test. These participants must sign a nondisclosure/confidentiality agreement when reporting system security, audit and control issues, deficiencies, or failures to the provider and the Postal Service. This requirement does not apply to users of systems designed for public use.

#### 8. Postage Evidencing System Approval

Postal Service approval of the postage meter (postage evidencing system) is based on the results of an administrative review of the materials and test results generated during the product submission and approval process. In preparation for the administrative review, the provider must update all documentation submitted in compliance with these procedures to ensure accuracy. The Postal Service will prepare a product approval letter detailing the conditions under which the specific product may be manufactured, distributed, and used. The provider must submit the following materials for the Postal Service administrative review:

- (1) Materials prepared for the Postal Service by the independent testing laboratory.
- (2) The final certificate of evaluation from the NVLAP laboratory, where required.
- (3) The results of system infrastructure testing.
- (4) The results of field testing of a limited number of systems.
- (5) The results of any other Postal Service testing of the system.
- (6) The results of provider site security reviews.

#### 9. Intellectual Property

Providers submitting postage evidencing systems to the Postal Service

for approval are responsible for obtaining all intellectual property licenses that may be required to distribute their product in commerce and to allow the Postal Service to process mail bearing the indicia produced by the product.

**Stanley F. Mires,**

*Chief Counsel, Legislative.*

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### SECURITIES AND EXCHANGE COMMISSION

[Release No. 34-45843; File No. S7-12-02]

#### Draft Data Quality Assurance Guidelines

**AGENCY:** Securities and Exchange Commission.

**ACTION:** Notice of draft guidelines and request for comments.

**SUMMARY:** The Securities and Exchange Commission has posted on its website at [www.sec.gov](http://www.sec.gov) draft data quality assurance guidelines. The guidelines describe procedures for ensuring and maximizing the quality of information before it is disseminated to the public, and the procedures by which an affected person may obtain correction, where appropriate, of disseminated information that does not comply with the guidelines. Comments will be considered in developing final data quality assurance guidelines.

**DATES:** Comments must be received on or before June 3, 2002.

**ADDRESSES:** You should send three copies of your comments to Jonathan G. Katz, Secretary, U.S. Securities and Exchange Commission, 450 Fifth Street, NW., Washington, DC 20549-0609. You also may submit your comments electronically to the following address: [dataquality@sec.gov](mailto:dataquality@sec.gov). All comment letters should refer to File No. S7-12-02; this file number should be included in the subject line if you use electronic mail. Comment letters will be available for public inspection and copying at the Commission's Public Reference Room, 450 Fifth Street, NW., Washington, DC 20549-0102. We will post electronically submitted comment letters on the Commission's Internet Web site (<http://www.sec.gov>). We do not edit personal identifying information, such as names or electronic mail addresses, from electronic submissions. Submit only information you wish to make publicly available.

**FOR FURTHER INFORMATION CONTACT:** Questions about the draft guidelines

should be referred to David Fredrickson or Monette Dawson, Office of the General Counsel (202) 942-0890 or (202) 942-0870, Securities and Exchange Commission, 450 Fifth Street, NW., Washington, DC 20549-0606.

By the Commission.

Dated: April 29, 2002.

**Jill M. Peterson,**

*Assistant Secretary.*

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### SECURITIES AND EXCHANGE COMMISSION

[Release No. 34-45817; File No. SR-CBOE-2002-19]

#### Self-Regulatory Organizations; Notice of Filing and Immediate Effectiveness of Proposed Rule Change by the Chicago Board Options Exchange, Incorporated To Amend Its Rules Relating to the Limitation of Liability for Index Licensors

April 24, 2002.

Pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934 ("Act"),<sup>1</sup> and Rule 19b-4<sup>2</sup> thereunder, notice is hereby given that on April 19, 2002, the Chicago Board Options Exchange, Incorporated ("CBOE" or "Exchange") filed with the Securities and Exchange Commission ("Commission") the proposed rule change as described in Items I, II, and III below, which Items have been prepared by the Exchange. The Commission is publishing this notice to solicit comments on the proposed rule change from interested persons.

#### I. Self-Regulatory Organization's Statement of the Terms of Substance of the Proposed Rule Change

The CBOE proposes to amend its rules to make clear that its disclaimer provisions for index licensors apply to any licensor that grants the Exchange a license to use an index or portfolio in connection with the trading of options on exchange-traded funds ("ETFs").

Below is the text of the proposed rule change. Proposed new language is *italicized*.

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#### Chicago Board Options Exchange, Incorporated Rules

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<sup>1</sup> 15 U.S.C. 78s(b)(1).

<sup>2</sup> 17 CFR 240.19b-4.